## Form **990**

**Return of Organization Exempt From Income Tax** 

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

Α	For t	he 2022 calen	dar year, or tax year begin	ning 7/01	, 202	2, and ending	g 6/3	30	,	<b>20</b> 2023	
В	Check	if applicable:	С		· · · · · · · · · · · · · · · · · · ·					ication number	
		ddress change	PLOUGHSHARES FUN	D INC				94-	27645	520	
		ame change	315 BAY STREET,					E Telepho			
		_	SAN FRANCISCO, C								
	-	nitial return						415	-668-	-2244	
		nal return/terminated							^		
	ıA	mended return								12,174,	
	Ap	pplication pending	<b>F</b> Name and address of principa	ll officer:				a group retur			X No
			SAME AS C ABOVE				H(D) Are all: "No,"	subordinates attach a list.	included See inst	? Yes	No
<u> </u>	Tax-	-exempt status:	X 501(c)(3) 501(c) (	) (insert	no.) 4947(a)(1)	or 527					
J	We	bsite: WW	W.PLOUGHSHARES.O	RG			H(c) Group e	exemption nu	ımber		
K	Form	n of organization:	X Corporation Trust	Association O	ther	L Year of formation	on: 1981	1 M s	tate of le	gal domicile: CA	
Pa	art I	Summar	у		·						
	1	Briefly descri	be the organization's miss	ion or most signi	ficant activities:RI	EDUCE THE	E NUCLI	EAR TH	REAT.		
a			ARES FUND WORKS '								
ũ			NG AND INVESTING								
Ë		WORLD'S	NUCLEAR STOCKPIL	ES, AND TO	PROMOTE STA	BILITY I	N REGI	ONS OF	CON	FLICT.	
Governance	2	Check this bo			s operations or dis				net ass	ets.	
Ğ	3		ting members of the gover						3		17
တ	4		dependent voting members						4		16
ij	5		of individuals employed in						5		21
Activities &	6		of volunteers (estimate if						6		30
ĕ			ed business revenue from						7a		0.
	b	ivet unrelated	I business taxable income	from Form 990-	, Part I, line II				7b	•	0.
		Cambributiana	and arranta (Dart VIII line	16)				rior Year	4.0	Current Ye	
<u>e</u>	8		and grants (Part VIII, line					,293,1	40.	8,824	, /0/.
Revenue	9		vice revenue (Part VIII, line					115 0	26	204	0.5.7
é	10		ncome (Part VIII, column (A	•	•			,115,2			,857.
_	11 12		e (Part VIII, column (A), lir		•			-68,4			,023.
			e – add lines 8 through 11					,339,9		9,103	
	13		imilar amounts paid (Part					,897,0	00.	4,814	,4/5.
	14		to or for members (Part I)								
S	15		er compensation, employed				_	,214,7	24.	2,482	,751.
nse	16a	Professional									
Expenses	b	Total fundrais	sing expenses (Part IX, col	lumn (D), line 25	9	980,647.					
ш	17	Other expens	ses (Part IX, column (A), li	nes 11a-11d, 11t			. 1	,383,8	33.	1,662	276
	18		es. Add lines 13-17 (must		•			,495,5		8,959	
			expenses. Subtract line 1					,155,6			,039.
- 6			- oxponiosor subtract mis :	0				g of Curren		End of Ye	
ots c	20	Total assets	(Part X, line 16)					, 533, 9		31,713	
\sse Bala	21		s (Part X, line 26)					,169,1		3,352	
Net Assets or Fund Balances	22		fund balances. Subtract li								
				ille 21 Holli illie i	20		. 25	,364,7	78.	28,360	,483.
	art II	Signatur									
Und	er penal plete. D	lties of perjury, I de Declaration of prepa	eclare that I have examined this returner (other than officer) is based on	arn, including accompa all information of whice	anying schedules and sta th preparer has any knov	atements, and to t vledge.	he best of m	y knowledge	and belie	f, it is true, correct	, and
C:		Signature of	officer				Date				—
Sig He	gn					г	VPCIIMT	ייי איי	гсшо	D	
пе	:16		BETH WARNER than and title			Ŀ.	XECUII	VE DIR	LUTU	K	
		3, ,	preparer's name	Preparer's signature	<u> </u>	Date	Т	Ohali	r	PTIN	
_					R. Graham		,	Check	J"		
Pa		COREY		U		2/5/202	4	self-employe	ed	202033189	
	epare	ala a		OMPANY LLP,	CPA'S						
US	e On	ily Firm's addre			1029			Firm's EIN	94-	2861940	
			SAN FRANCISCO					Phone no.	(415	<del>/                                    </del>	
Ma	y the	IRS discuss th	is return with the preparer	shown above?	See instructions					X Yes	No

) (Revenue \$

including grants of

7,211,923.

**4d** Other program services (Describe on Schedule O.)

Total program service expenses

(Expenses

4e

# Form 990 (2022) PLOUGHSHARES FUND INC Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	Х	
2	Is the organization required to complete Schedule B, Schedule of Contributors? See instructions	2	Χ	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I.	3		Х
4	<b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i>	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		Х
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		Х
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II.</i>	7		Х
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i>	8		Х
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV.	9		Х
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? If "Yes," complete Schedule D, Part V	10	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X, as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.	11a	Х	
b	Did the organization report an amount for investments — other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.	11b	X	
С	Did the organization report an amount for investments – program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.	11c		Х
d	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	11d		Х
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	Χ	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	11f	Х	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a		Х
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		Х
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		Х
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV.	14b	Х	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	Х	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>	16		Х
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I. See instructions	17		Х
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	X	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III.	19		Х
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		Χ
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Χ	

# Form 990 (2022) PLOUGHSHARES FUND INC Part IV Checklist of Required Schedules (continued)

			Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III.	22	Х	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i>	23	Х	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If a "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a.	24a		Х
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I.	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		Х
26	Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26		Х
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III.	27		Х
	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV, instructions for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV	28a		Х
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		X
С	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If "Yes," complete Schedule L, Part IV.	28c		Х
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M.</i>	30		Х
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		Х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i>	33		Х
	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.	34		Х
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		Х
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		Х
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI.</i>	37		Х
	Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19?  Note: All Form 990 filers are required to complete Schedule O.	38	Х	
Par	t V Statements Regarding Other IRS Filings and Tax Compliance			
	Check if Schedule O contains a response or note to any line in this Part V		Yes	. No
1a	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable		162	INO
	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			
	(gambling) winnings to prize winners?TEEA0104L 09/01/22	1c	000	(2000)
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Form 990 (2022) PLOUGHSHARES FUND INC

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

			Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 21			
	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	Χ	
	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		Х
	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O.	3b		
	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a		37	
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X	
b	If "Yes," enter the name of the foreign country  SWEDEN, LAOS  See index street for Fig. CFN Form 114 Report of Foreign Reply and Fig. 114 Report of Foreign Report of Foreign Reply and Fig. 114 Report of Foreign Report of Fo			
E	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).  Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	Ea		X
	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5a 5b		X
	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		71
		30		
Va	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		Χ
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file			
	Form 8282?	7с		Х
	If "Yes," indicate the number of Forms 8282 filed during the year	_		V
	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  If the organization received a contribution of qualified intellectual property, did the organization file Form 8899	7f		Λ
J	as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring			
	organization have excess business holdings at any time during the year?	8		
9	, , , , , , , , , , , , , , , , , , , ,			
	Did the sponsoring organization make any taxable distributions under section 4966?	9a 9b		
	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	90		
	Initiation fees and capital contributions included on Part VIII, line 12			
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b			
	Section 501(c)(12) organizations. Enter:			
	Gross income from members or shareholders.			
	Gross income from other sources. (Do not net amounts due or paid to other sources			
	against amounts due or received from them.)			
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b Section 501(c)(29) qualified nonprofit health insurance issuers.			
	Is the organization licensed to issue qualified health plans in more than one state?	13a		
а	<b>Note:</b> See the instructions for additional information the organization must report on Schedule O.	130		
b	Enter the amount of reserves the organization is required to maintain by the states in			
	which the organization is licensed to issue qualified health plans			
	Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
	If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation on Schedule O.</i>	14a		- 11
	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or	ידי		
. •	excess parachute payment(s) during the year?	15		Х
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?	16		X
	If "Yes," complete Form 4720, Schedule O.	-		
17	Section 501(c)(21) organizations. Did the trust, or any disqualified or other person engage in any activities that would	17		
	result in the imposition of an excise tax under section 4951, 4952, or 4953?	17		
ΛΛ	TEFA01051 09/01/22	Form	000	0000

JAMES FAANI 315 BAY STREET,

Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI. Section A. Governing Body and Management No Yes 1a Enter the number of voting members of the governing body at the end of the tax year..... 17 If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O. **b** Enter the number of voting members included on line 1a, above, who are independent..... 16 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other Χ 2 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person?..... 3 Χ Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? Χ 4 X Did the organization become aware during the year of a significant diversion of the organization's assets?.... 5 5 Χ Did the organization have members or stockholders?..... 6 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?..... 7a Χ **b** Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?..... Χ 7h Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: a The governing body?.... X 8a X **b** Each committee with authority to act on behalf of the governing body?..... 8b Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O..... 9 **Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code. Yes No 10a Did the organization have local chapters, branches, or affiliates?..... 10a Χ b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?..... Χ **b** Describe on Schedule O the process, if any, used by the organization to review this Form 990. Χ 12a b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise 12b Χ to conflicts?..... **c** Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe on Schedule O how this was done* ... .SEE .SCHEDULE . O ...... Χ 12c 13 Did the organization have a written whistleblower policy?..... 13 Χ 14 Did the organization have a written document retention and destruction policy?..... Χ 14 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? X a The organization's CEO, Executive Director, or top management official... SEE . SCHEDULE...O...... 15a **b** Other officers or key employees of the organization..... 15b X If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions. 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a X taxable entity during the year?..... 16a **b** If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the 16b organization's exempt status with respect to such arrangements?. Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed SEE SCHEDULE O Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply X Upon request Own website Another's website Other (explain on Schedule O) Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to 19 the public during the tax year. SEE SCHEDULE O State the name, address, and telephone number of the person who possesses the organization's books and records.

4TH FLOOR SAN FRANCISCO CA 94133 415-668-2244

## Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII......

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See the instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (box 5 of Form W-2, box 6 of Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

L	Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.									
	<b>(A)</b> Name and title	(B) Average hours per week	director/trustee) 우리교 오 중 욕된고	(D)  Reportable compensation from the organization (W-2/1099- MISC/1099-NFC)	(E)  Reportable compensation from related organizations (W-2/1099-MISC/1099-NFC)	(F) Estimated amount of other compensation from the organization				

		hours	director/trustee			ee)		compensation from	compensation from	Estimated amount of other	
		per week (list any hours for related organiza- tions below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099- MISC/1099-NEC)	related organizations (W-2/1099- MISC/1099-NEC)	compensation from the organization and related organizations
(1) E	MMA BELCHER	40									
P	RESIDENT	0	Χ		Χ				300,966.	0.	49,380.
<b>(2)</b> E	LIZABETH WAGNER	40									
E	XEC. DIRECTOR	0	Χ		Χ				163,045.	0.	55,782.
<b>(3)</b> B	ONNIE FISK	40									
	EVELOP. DIRECTOR	0					Χ		116,085.	0.	48,897.
<b>(4)</b> T	OM Z COLLINA	40									
S	R. POLICY ADVR.	0					Χ		133,269.	0.	30,634.
<b>(5)</b> C	ARA_WAGNER	40									
	R. PROG. OFFICER	0					Χ		116,170.	0.	23,953.
	HIL_AMES	_ 1									
D	IRECTOR	0	Χ						0.	0.	0.
<b>(7)</b> D	OUG_MICHELMAN	_ 1									
	IRECTOR	0	Χ						0.	0.	0.
<b>(8)</b> G	RETCHEN_HUND	_ 1									
D	IRECTOR	0	Χ						0.	0.	0.
	ARSHAD FARAHAT	_ 1									
	IRECTOR	0	Χ						0.	0.	0.
	ERRY GAMBLE BOYER	3.5									
	HAIR	0	Χ		Χ				0.	0.	0.
	OHN_FEIKEMA	_ 1									
	IRECTOR	0	Χ						0.	0.	0.
	ONNIE FOOTE	_ 1									
	IRECTOR	0	Χ						0.	0.	0.
	ARGARET A. TOUGH	_ 1									
	IRECTOR	0	Χ						0.	0.	0.
	THAN KELLY	1									
	IRECTOR	0	Χ						0.	0.	0.

Part VII Section A. Officers, Directors, 110	· · · · · ·	ney	Em	•		es,	and	a Hignest Com	ipensated Emp	oyees	<b>S</b> (cont	inued)
	(B)			((	•							
(A)	Average	(do	not c	check	sition more	than	one	(D)	(E)		(F)	
Name and title	hours per					is both or/trus		Reportable compensation from	Reportable compensation from	Estim	ated am	nount
	week (list any	역 코	SI	Q	Key	em E	급	the organization (W-2/1099-	related organizations (W-2/1099-	compe	nsation	from
	hours for	ndividual trustee or director	Tub.	Officer	y er	Highest co employee	Former	MISC/1099-NEC)	MISC/1099-NEC)	an	rganiza d relate	ed
	related organiza		iona	-	employee	t co	¥			org	anizatio	ns
	- tions below	ins	Į,		yee	mpe						
	dotted line)	ee	nstitutional trustee			Highest compensated employee						
						8						
(15) TYLER WIGG-STEVENSON	1											
DIRECTOR	0	Х						0.	0.			0.
(16) AMY MCGRATH	1											
DIRECTOR	0	Х						0.	0.			0.
(17) DON MORDECAI	1											
DIRECTOR	0	Х						0.	0.			0.
(18) BEN RHODES 1												
DIRECTOR									0.			0.
(19) MICHAEL WEAR	1											
DIRECTOR	0	Χ						0.	0.			0.
(20) SCOTT SAGAN	1											
DIRECTOR	0	Χ						0.	0.			0.
(21) GAEL TARLETON	1											
DIRECTOR	0	Х						0.	0.			0.
(22)								3.				
	1	•										
(23)												
	1											
(24)												
(25)												
1b Subtotal	·							829,535.	0.	2	.08,	646.
c Total from continuation sheets to Part VII, Section	on <b>A</b>							0.	0.			0.
d Total (add lines 1b and 1c)								829,535.	0.	2	.08,	646.
2 Total number of individuals (including but not limited									0 of reportable comp			
from the organization 5												
											Yes	No
3 Did the organization list any former officer, direc	tor. truste	e. ke	ev ei	olam	ove	e. or	hiał	nest compensated	emplovee			
on line 1a? If "Yes,"complete Schedule J for suc	h individu	aĺ								. 3		X
4 For any individual listed on line 1a, is the sum of	f reportab	le co	aam	ensa	ation	and	oth	er compensation	from			
the organization and related organizations greate	er than \$1	50,0	00?	If "	Yes,	" cor	nple	ete Schedule J for		4	37	
such individual										4	X	
5 Did any person listed on line 1a receive or accru for services rendered to the organization? If "Yes	e comper	satio	on fr	om dule	any	unre	late	ed organization or	individual	5		Х
Section B. Independent Contractors	s, compr	,,,,	CIIC	uurc	, , ,	JI 3U	CIT	0013011		.   -		Λ
1 Complete this table for your five highest compen	sated ind	epen	dent	t cor	ntra	ctors	tha	it received more th	han \$100,000 of			
compensation from the organization. Report compen	sation for	the c	alen	dar <u>:</u>	year	endi	ng v	vith or within the or	ganization's tax year			
(A) Name and business address  (B) Description of services Compensation												
Name and business address Description of services Compensation												
2 Total number of independent contractors (including t		ted t	o tho	ose I	liste	d abo	ve)	who received more	than			
\$100,000 of compensation from the organization	0											

#### Form 990 (2022) PLOUGHSHARES FUND INC 94-2764520 Page 9 Part VIII Statement of Revenue Check if Schedule O contains a response or note to any line in this Part VIII..... (B) Related or (A) Total revenue (D) Unrelated Revenue excluded from tax exempt business under sections 512-514 function revenue revenue s, Grants, Amounts 1a Federated campaigns . . . . . . . . **b** Membership dues..... 1b c Fundraising events..... 1с 148,500 Gifts, d Related organizations..... 1d e Government grants (contributions) . . . . 1e Contributions, and Other Sin f All other contributions, gifts, grants, and similar amounts not included above . . . 1f 8,676,207. Noncash contributions included in lines 1a-1f. . . . . . . . . . . . h Total. Add lines 1a-1f . . . . . 8,824,707 **Business Code** Program Service Revenue 2a h All other program service revenue. . . g Total. Add lines 2a-2f ..... Investment income (including dividends, interest, and 369,801 369,801 Income from investment of tax-exempt bond proceeds Royalties..... (i) Real (ii) Personal 6a Gross rents . . . . . . . 6a **b** Less: rental expenses 6b c Rental income or (loss) 6c **d** Net rental income or (loss) (i) Securities (ii) Other **7a** Gross amount from sales of assets 7a 2,970,951 other than inventory Less: cost or other basis 7b and sales expenses 045,895 **7**c c Gain or (loss)..... -74,944d Net gain or (loss)..... <del>-74</del>,944. -74.9448a Gross income from fundraising events Other Revenue (not including \$\_ 148,500. of contributions reported on line 1c). 8a See Part IV, line 18 . . . . . . . . . . . . . . . . 8b **b** Less: direct expenses..... 24,833 c Net income or (loss) from fundraising events . . . . . . . -24.8339a Gross income from gaming activities. 9a **b** Less: direct expenses..... 9b c Net income or (loss) from gaming activities..... **10a** Gross sales of inventory, less..... returns and allowances. . . . . . . . . . 0a **b** Less: cost of goods sold.... 10b c Net income or (loss) from sales of inventory..... **Business Code** Miscellaneous MISCELLANEOUS REVENUE 900099 8,810 8,810 Revenue All other revenue .....

8,810

303,667

0

9,103,541

e Total. Add lines 11a-11d.

**Total revenue.** See instructions.....

12

Part IX Statement of Functional Expenses
Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

	Check if Schedule O contains a response or note to any line in this Part IX											
Do	Do not include amounts reported on lines  (A) (B) (C) (D)  Total expenses  Program service  Management and  Fundraising											
	, , ,	Total expenses	expenses	general expenses	expenses							
1	Grants and other assistance to domestic organizations and domestic governments.											
	See Part IV, line 21	4,272,835.	4,272,835.									
2	Grants and other assistance to domestic individuals. See Part IV, line 22	5,640.	5,640.									
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	536,000.	536,000.									
4 5	Benefits paid to or for members	930,235.	546,632.	120,357.	263,246.							
6	Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.	0.	0.	0.							
7	Other salaries and wages	1,038,987.	736,021.	93,734.	209,232.							
8	Pension plan accruals and contributions	1,030,307.	730,021.	J3, 134.	207,232.							
o	(include section 401(k) and 403(b) employer contributions)	139,064.	90,579.	15,119.	33,366.							
9	Other employee benefits	233,496.	152,088.	25,385.	56,023.							
10	Payroll taxes	140,969.	91,820.	15,326.	33,823.							
11	Fees for services (nonemployees):		-,		,							
а	Management											
	Legal	24,965.	86.	24,879.								
	: Accounting	37,100.		37,100.								
	Lobbying											
	Professional fundraising services. See Part IV, line 17											
	Investment management fees	132,394.		132,394.								
	Other. (If line 11g amount exceeds 10% of line 25, column (A), amount, list line 11g expenses on Schedule 0.)	463,342.	263,086.	163,261.	36,995.							
12	Advertising and promotion	6,128.	5,128.		1,000.							
13	Office expenses	215,301.	41,560.	13,389.	160,352.							
14	Information technology	90,182.	64,646.	7,951.	17,585.							
15	Royalties	470 700	200 007	44 100	100.004							
16	Occupancy	470,783.	302,827.	44,122.	123,834.							
17	Travel.	70,815.	18,914.	37,886.	14,015.							
18	expenses for any federal, state, or local public officials											
19	Conferences, conventions, and meetings	85,391.	40,553.	23,056.	21,782.							
20	Interest											
21 22	Payments to affiliates  Depreciation, depletion, and amortization											
23	Insurance	10,612.	3,962.	5,191.	1,459.							
24	Other expenses. Itemize expenses not covered above. (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A), amount, list line 24e expenses on Schedule O.).	10/0111	0,301.	6,131.	1, 103.							
а	DUES & SUBSCRIPTIONS	40,226.	38,285.	330.	1,611.							
b		6,689.	55,255.	997.	5,692.							
c		5,742.		5,742.								
C	STAFF RECRUITMENT & TRAINING All other expenses	2,606.	1,261.	713.	632.							
	Total functional expenses. Add lines 1 through 24e	8,959,502.	7,211,923.	766,932.	980,647.							
26	· ·	·		·	·							

### Part X Balance Sheet

		Check if Schedule O contains a response or note to	any li	ne in this Part X			
					<b>(A)</b> Beginning of year		<b>(B)</b> End of year
	1	Cash — non-interest-bearing				1	
	2	Savings and temporary cash investments			3,191,558.	2	3,166,151.
	3	Pledges and grants receivable, net			849,628.	3	2,166,982.
	4	Accounts receivable, net			91,973.	4	
	5	Loans and other receivables from any current or form trustee, key employee, creator or founder, substantial controlled entity or family member of any of these per	er offic contrib	er, director, butor, or 35%		5	
	6	Loans and other receivables from other disqualified posection 4958(f)(1)), and persons described in section				6	
	7	Notes and loans receivable, net		· · · · ·		7	
Ø	8	Inventories for sale or use				8	
Assets	9	Prepaid expenses and deferred charges		<u> </u>		9	
As	-	i i					
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a	165,561.			
	b	Less: accumulated depreciation	10b	165,561.		10c	
	11	Investments — publicly traded securities			15,704,368.	11	19,375,814.
	12	Investments – other securities. See Part IV, line 11			7,696,412.	12	5,726,026.
	13	Investments — program-related. See Part IV, line $11.$				13	
	14	Intangible assets		14			
	15	Other assets. See Part IV, line 11		15	1,278,232.		
	16	Total assets. Add lines 1 through 15 (must equal line	33)		27,533,939.	16	31,713,205.
	17	Accounts payable and accrued expenses	177,161.	17	184,404.		
	18	Grants payable			1,992,000.	18	1,995,000.
	19	Deferred revenue				19	
	20	Tax-exempt bond liabilities		<u> </u>		20	
es	21	Escrow or custodial account liability. Complete Part I		<u></u>		21	
Liabilities	22	Loans and other payables to any current or former off key employee, creator or founder, substantial contribu- controlled entity or family member of any of these per	itor, or	35% L		22	
	23	Secured mortgages and notes payable to unrelated th		<u></u>		23	
	24	Unsecured notes and loans payable to unrelated third		<u> </u>		24	
	25	Other liabilities (including federal income tax, payable and other liabilities not included on lines 17-24). Com				25	1,173,318.
	26	Total liabilities. Add lines 17 through 25			2,169,161.	26	3,352,722.
Ses		Organizations that follow FASB ASC 958, check here and complete lines 27, 28, 32, and 33.		X			
aŭ	27				1,482,234.	27	2 //00 102
Bal	28	Net assets with donor restrictions		<u> </u>	23,882,544.	28	2,488,183. 25,872,300.
귤	20	Organizations that do not follow FASB ASC 958, che		<b> </b>	23,002,344.	20	23,012,300.
Net Assets or Fund Balance		and complete lines 29 through 33.					
ō	29	Capital stock or trust principal, or current funds				29	
ži e	30	Paid-in or capital surplus, or land, building, or equipment of the surplus of the		L.		30	
455	31	Retained earnings, endowment, accumulated income,		<u> </u>		31	
et,	32	Total net assets or fund balances		<u></u>	25,364,778.	32	28,360,483.
Ź	33	Total liabilities and net assets/fund balances			27,533,939.	33	31,713,205.

**BAA** TEEA0111L 09/01/22 Form **990** (2022)

Pai	rt XI Reconciliation of Net Assets				
	Check if Schedule O contains a response or note to any line in this Part XI.				
1	Total revenue (must equal Part VIII, column (A), line 12)	1	9,1	03,5	541.
2	Total expenses (must equal Part IX, column (A), line 25)	2	8,9	59,5	502.
3	Revenue less expenses. Subtract line 2 from line 1	3		44,0	
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	25,3		
5	Net unrealized gains (losses) on investments	5		51,6	
6	Donated services and use of facilities	6			
7	Investment expenses	7			
8	Prior period adjustments	8			
9	Other changes in net assets or fund balances (explain on Schedule O)	9			0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,				
	column (B))	10	28,3	60,4	183.
Pai	rt XII Financial Statements and Reporting				
	Check if Schedule O contains a response or note to any line in this Part XII				
				Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other				
	If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.				
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or review				
	separate basis, consolidated basis, or both:	ou on a			
	Separate basis Consolidated basis Both consolidated and separate basis				
b	Were the organization's financial statements audited by an independent accountant?		2b	Χ	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate	ate			
	basis, consolidated basis, or both:				
	Separate basis X Consolidated basis Both consolidated and separate basis				
C	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit review, or compilation of its financial statements and selection of an independent accountant?	, 	2c	Х	
	If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.				
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Guidance, 2 C.F.R Part 200, Subpart F?		3a		Х
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits		3b		
BAA				990 (	(2022)
DAA			FOIII	1 JJU (	(2022)

#### **SCHEDULE A** (Form 990)

Department of the Treasury Internal Revenue Service

### **Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2022

Open to Public Inspection

Name	ame of the organization Employer identification number										
	UGHSHARES FUND INC					94-276452	•				
	t I Reason for Public Cha	<u> </u>				<u> </u>	ctions.				
The c  1 2 3 4	A church, convention of church A school described in section A hospital or a cooperative lands and medical research organization.	nes, or association of cl on <b>170(b)(1)(A)(ii).</b> (Att nospital service organ	nurches described in <b>sec</b> ach Schedule E (Form ization described in <b>sec</b>	tion 170( 990).) ction 170	b)(1)(A)( D(b)(1)(A	i). \)(iii).	Enter the hospital's				
	name, city, and state:										
5	An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)										
6	A federal, state, or local gov	ernment or governme	ental unit described in s	ection 1	<b>70(b)(</b> 1)	(A)(v).					
7	An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in <b>section 170(b)(1)(A)(vi)</b> . (Complete Part II.)										
8	A community trust described	d in <b>section 170(b)(1)(</b>	A)(vi). (Complete Part I	l.)							
9	An agricultural research organ or university or a non-land-grauniversity:	nt college of agriculture		the nam	ne, city,						
10	An organization that normal from activities related to its investment income and unre June 30, 1975. See section	exempt functions, sub lated business taxabl	eject to certain exception income (less section)	ns; and	(2) no r	nore than 33-1/3% of	its support from gross				
11	An organization organized a	nd operated exclusive	ely to test for public safe	ety. See	section	1 509(a)(4).					
12	An organization organized a or more publicly supported or lines 12a through 12d that d	organizations describe	ed in <b>section 509(a)(1)</b> c	r sectio	n 509(a	)(2). See section 509(a	out the purposes of one a)(3). Check the box on				
а	Type I. A supporting organization(s) the power to recomplete Part IV, Sections 2	ion operated, supervise egularly appoint or elect <b>A and B.</b>	d, or controlled by its sur a majority of the directo	ported or rs or trus	rganizat tees of t	ion(s), typically by giving he supporting organizat	g the supported ion. <b>You must</b>				
b	Type II. A supporting organic management of the supporting must complete Part IV, Sect	ı organization vested in	controlled in connection the same persons that c	with its ontrol or	support manage	ed organization(s), by the supported organiza	having control or tion(s). <b>You</b>				
С	Type III functionally integrated	. A supporting organizat	ion operated in connectio	n w <u>i</u> th, ar	nd <u>f</u> unctio	onally integrated with, its	supported				
d	organization(s) (see instruct  Type III non-functionally integ functionally integrated. The instructions). You must com	rated. A supporting org	anization operated in cor	nnection	with its s	supported organization(s t and an attentiveness	s) that is not requirement (see				
е	Check this box if the organize integrated, or Type III non-fu	zation received a writt	en determination from supporting organization	the IRS	that it is	a Type I, Type II, Typ	e III functionally				
f	Enter the number of supported	organizations									
g	Provide the following information	n about the supported	d organization(s).	,			1				
,	Enter the number of supported Provide the following informatic (i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) I organizat in your g docur	overning	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)				
				Yes	No						
(A)											
(B)											
(C)											
(D)											
<u>(E)</u>											
Total											

### Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	tion A. Public Support						
begi	ndar year (or fiscal year nning in)	<b>(a)</b> 2018	<b>(b)</b> 2019	<b>(c)</b> 2020	<b>(d)</b> 2021	<b>(e)</b> 2022	<b>(f)</b> Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	4,740,922.	7,543,575.	5,350,405.	5,293,140.	8,824,707.	31,752,749.
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf			,			0.
3	The value of services or facilities furnished by a governmental unit to the organization without charge						0.
	<b>Total.</b> Add lines 1 through 3 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)	4,740,922.	7,543,575.	5,350,405.	5,293,140.	8,824,707.	31,752,749.
6	<b>Public support.</b> Subtract line 5 from line 4						28,448,185.
Sec	tion B. Total Support						<u> </u>
	ndar year (or fiscal year nning in)	<b>(a)</b> 2018	<b>(b)</b> 2019	<b>(c)</b> 2020	<b>(d)</b> 2021	<b>(e)</b> 2022	<b>(f)</b> Total
7	Amounts from line 4	4,740,922.	7,543,575.	5,350,405.	5,293,140.	8,824,707.	31,752,749.
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	432,130.	297,194.	210,287.	255,779.	369,801.	1,565,191.
9	Net income from unrelated business activities, whether or not the business is regularly carried on	,	,	,	,	,	0.
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.).						0.
	Total support. Add lines 7 through 10					,	33,317,940.
12	Gross receipts from related activ	vities, etc. (see ins	structions)			12	0.
	<b>First 5 years.</b> If the Form 990 is organization, check this box and			third, fourth, or f	ifth tax year as a	section 501(c)(3)	
Sec	tion C. Computation of Pul Public support percentage for 20	blic Support P	ercentage			1	
	Public support percentage for 20 Public support percentage from 3						85.38 % 85.76 %
	<b>33-1/3% support test—2022.</b> If t and <b>stop here.</b> The organization	he organization di	id not check the b	oox on line 13, and	d line 14 is 33-1/3	3% or more, checl	k this box
b	<b>33-1/3% support test—2021.</b> If the and <b>stop here.</b> The organization	e organization did	d not check a box	on line 13 or 16a	a, and line 15 is 3	3-1/3% or more, o	check this box
17a	<b>10%-facts-and-circumstances te</b> or more, and if the organization the organization meets the facts	meets the facts-a	nd-circumstances	test, check this b	box and stop here	. Explain in Part	VI how
	<b>10%-facts-and-circumstances te</b> or more, and if the organization organization meets the facts-and	meets the facts-a d-circumstances to	nd-circumstances est. The organiza	test, check this lation qualifies as a	pox and <b>stop here</b> publicly supporte	e. Explain in Part d organization	VI how the
18	<b>Private foundation.</b> If the organi	zation did not che	ck a box on line	13, 16a, 16b, 17a	, or 17b, check th	is box and see ins	structions

94-2764520

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Sec	tion A. Public Support	Section A Public Support						
	dar year (or fiscal year beginning in)	<b>(a)</b> 2018	<b>(b)</b> 2019	(c) 2020	<b>(d)</b> 2021	<b>(e)</b> 2022	(f) Total	
	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	(a) 2010	(5) 2513	(0) 2020	(a) 2321	(C) ZOZZ	(i) Total	
2	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose.							
3	Gross receipts from activities that are not an unrelated trade or business under section 513.							
4	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf.							
5	The value of services or facilities furnished by a governmental unit to the organization without charge							
	<b>Total.</b> Add lines 1 through 5 Amounts included on lines 1, 2, and 3 received from disqualified persons							
b	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.							
С	Add lines 7a and 7b							
8	<b>Public support.</b> (Subtract line 7c from line 6.)							
	tion B. Total Support				1	T		
	dar year (or fiscal year beginning in)	(a) 2018	<b>(b)</b> 2019	(c) 2020	<b>(d)</b> 2021	<b>(e)</b> 2022	<b>(f)</b> Total	
	Amounts from line 6							
	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975							
	Add lines 10a and 10b.  Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on							
12	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)							
	<b>Total support.</b> (Add lines 9, 10c, 11, and 12.)							
	First 5 years. If the Form 990 is organization, check this box and	stop here						
	tion C. Computation of Pul							
	Public support percentage for 20	•	.,,		•		<u> </u>	
	Public support percentage from 2					16	%	
	tion D. Computation of Inv							
17		•		-	* * * *		<u> </u>	
	Investment income percentage f						% 	
	<b>33-1/3% support tests—2022.</b> If t is not more than 33-1/3%, check	this box and <b>sto</b>	<b>p here.</b> The organ	ization qualifies a	as a publicly supp	orted organization		
	<b>33-1/3% support tests—2021.</b> If the line 18 is not more than 33-1/3% <b>Private foundation.</b> If the organization of the organiz	, check this box	and <b>stop here.</b> Th	e organization qu	ialifies as a public	cly supported organ	ization	

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

#### **Section A. All Supporting Organizations**

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents?  If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.	1		
	the designation. If historic and continuing relationship, explain.	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).	2		
3а	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.	3b		
С	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.	3с		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.	4b		
С	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).	5a		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
С	<b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? If "Yes," complete Part I of Schedule L (Form 990).	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI.</b>	9a		
b	Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>	9b		
С	Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .	9с		
0a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.	10a		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)	10b		

Pa	rt IV	Supporting Organizations (continued)			
1 6	1(17	Toupporting Organizations (continued)		Yes	No
11	Has t	he organization accepted a gift or contribution from any of the following persons?			
	A pers	son who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, overning body of a supported organization?	11a		
	<b>b</b> A fan	nily member of a person described on line 11a above?	11b		
	C A 35%	controlled entity of a person described on line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide detail in Part VI.	11c		
Se	ction I	B. Type I Supporting Organizations			
				Yes	No
1	or mo office organ than were	ne governing body, members of the governing body, officers acting in their official capacity, or membership of one one supported organizations have the power to regularly appoint or elect at least a majority of the organization's area, directors, or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported nization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers g the tax year.	1		
2	that o	ne organization operate for the benefit of any supported organization other than the supported organization(s) operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such fit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the porting organization.	2		
Se	ction (	C. Type II Supporting Organizations			
				Yes	No
1	of eac	a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees ch of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the orting organization was vested in the same persons that controlled or managed the supported organization(s).	1		
Se	ction I	D. All Type III Supporting Organizations	•		I
				Yes	No
1	1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	1			
	organ	inzation's governing documents in effect on the date of notification, to the extent not previously provided.	-		
2	Were organ the or	any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported nization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how rganization maintained a close and continuous working relationship with the supported organization(s).	2		
9					
3	voice all tin	ason of the relationship described on line 2, above, did the organization's supported organizations have a significant in the organization's investment policies and in directing the use of the organization's income or assets at necessary that the second of the organization organization organizations played organizations played	3		
Sa		s regard.  E. Type III Functionally Integrated Supporting Organizations	3		
<b>3</b> E	CHOIL	L. Type III Functionally integrated Supporting Organizations			
1	Check	the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
	a 🗌 T	he organization satisfied the Activities Test. Complete line 2 below.			
	b 🔲 ⊤	he organization is the parent of each of its supported organizations. Complete line 3 below.			
	<b>c</b>	he organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see	instru	uctions	s).
2	Activi	ties Test. Answer lines 2a and 2b below.		Yes	No
	suppo <b>orgar</b>	substantially all of the organization's activities during the tax year directly further the exempt purposes of the organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI identify those supported</b> **nizations and explain how these activities directly furthered their exempt purposes, how the organization was considered to those supported organizations, and how the organization determined that these activities constituted			
		antially all of its activities.	2a		
	more	ne activities described on line 2a, above, constitute activities that, but for the organization's involvement, one or of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the ons for the organization's position that its supported organization(s) would have engaged in these activities			
		or the organization's involvement.	2b		
3	Parer	nt of Supported Organizations. Answer lines 3a and 3b below.			
	<b>a</b> Did th each	ne organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of of the supported organizations? If "Yes" or "No," provide details in <b>Part VI</b> .	3a		
	<b>b</b> Did th	be organization exercise a substantial degree of direction over the policies, programs, and activities of each of its ported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.	3b		

	( I DOOGHDIMMED TOND INC		, , , , , , , , , , , , , , , , , , ,	. 0 10 10
Pa	rt V Type III Non-Functionally Integrated 509(a)(3) Supporting Orga	anizat	ions	
1	Check here if the organization satisfied the Integral Part Test as a qualifying trus instructions. All other Type III non-functionally integrated supporting organization	t on No ns mus	ov. 20, 1970 (explain in st complete Sections A	n Part VI). <b>See</b> A through E.
Sec	tion A – Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3.	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		
Section B — Minimum Asset Amount			(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
	Average monthly value of securities	1a		
	Average monthly cash balances	1b		
	Fair market value of other non-exempt-use assets	1c		
	d Total (add lines 1a, 1b, and 1c)	1d		
	Discount claimed for blockage or other factors     (explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2		
3	Subtract line 2 from line 1d.	3		
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by 0.035.	6		
7	Recoveries of prior-year distributions	7		
8	Minimum Asset Amount (add line 7 to line 6)	8		
Sec	tion C — Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1		
2	Enter 0.85 of line 1.	2		
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3		
4	Enter greater of line 2 or line 3.	4		
5	Income tax imposed in prior year	5		
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6		
7	Check here if the current year is the organization's first as a non-functionally inte (see instructions).	egrated	Type III supporting or	ganization

BAA Schedule A (Form 990) 2022

94-2764520

Pai	﴿ V │Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (contil	าued)		
Sec	ection D — Distributions			
1	Amounts paid to supported organizations to accomplish exempt purposes	1		
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2		
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	3		
4	Amounts paid to acquire exempt-use assets	4		
5	Qualified set-aside amounts (prior IRS approval required — provide details in <b>Part VI</b> )	5		
6	Other distributions (describe in <b>Part VI</b> ). See instructions.	6		
7	<b>Total annual distributions.</b> Add lines 1 through 6.	7		
8	Distributions to attentive supported organizations to which the organization is responsive (provide details			
	in <b>Part VI</b> ). See instructions.	8		
9	Distributable amount for 2022 from Section C, line 6	9		
10	Line 8 amount divided by line 9 amount	10		

Section E — Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2022	(iii) Distributable Amount for 2022
1 Distributable amount for 2022 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2022 (reasonable cause required — explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2022			
<b>a</b> From 2017			
<b>b</b> From 2018			
<b>c</b> From 2019			
<b>d</b> From 2020			
<b>e</b> From 2021			
f Total of lines 3a through 3e			
<b>g</b> Applied to underdistributions of prior years			
h Applied to 2022 distributable amount			
i Carryover from 2017 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2022 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
<b>b</b> Applied to 2022 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2022, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2022. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in <b>Part VI</b> . See instructions.			
7 Excess distributions carryover to 2023. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2018			
<b>b</b> Excess from 2019			
c Excess from 2020			
d Excess from 2021			
e Excess from 2022			

BAA Schedule A (Form 990) 2022

Page 8

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

BAA Schedule A (Form 990) 2022 TEEA0408L 09/09/22

#### Schedule B (Form 990)

PUBLIC DISCLOSURE COPY Schedule of Contributors

Employer identification number

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service Name of the organization

Attach to Form 990 or Form 990-PF. Go to www.irs.gov/Form990 for the latest information.

PLOUGHSHARES FUND INC 94-2764520 Organization type (check one): Filers of: Section: X 501(c)( 3 ) Form 990 or 990-EZ (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization Form 990-PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. **General Rule** For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. Special Rules For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year.

BAA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

Schedule B (Form 990) (2022)

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line

PLOUGHSHARES FUND INC

94-2764520

Part I	Contributors (see instructions). Use duplicate copies of Part I if additional s	pace is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$1,000,000.	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2		\$ <u>200,000</u> .	Person X Payroll Noncash  (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3		\$1,500,000.	Person X Payroll Noncash  (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>4</u>		\$1,000,000.	Person X Payroll Noncash  (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>5</u>		\$ <u>400,000</u> .	Person X Payroll Noncash  (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6	TEFA0702L 07/22/22	\$4 <u>00,000</u> .	Person X Payroll Noncash (Complete Part II for noncash contributions.)

Part I	Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.					
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
7		\$200,000.	Person X Payroll Noncash  (Complete Part II for noncash contributions.)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
8		\$997,412.	Person X Payroll Noncash  (Complete Part II for noncash contributions.)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
9		\$ <u>550,000.</u>	Person X Payroll Noncash  (Complete Part II for noncash contributions.)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
10_		\$400,000.	Person X Payroll			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		- - \$	Person Payroll Noncash  (Complete Part II for noncash contributions.)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		- - \$	Person Payroll Noncash  (Complete Part II for noncash contributions.)			

Employer identification number

PLOUGHSHARES FUND INC

94-2764520

Part II	Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.				
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
	N/A	-			
		- -  \$			
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		_			
		  \$  -			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		-			
		\$			
(a) No. from	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
Part I		(See instructions.)			
		- -			
		\$ 			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		-			
		]  \$ 			
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		- -			
	L	\$ 			
BAA	TEEA0703L 07/22/22	Schedule I	 B (Form 990) (2022		

Schedule B (Form 990) (2022) Name of organization Employer identification number PLOUGHSHARES FUND INC 94-2764520 Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.)..... Use duplicate copies of Part III if additional space is needed. (a) No. from (c) Use of gift (b) Purpose of gift (d) Description of how gift is held Part I

	N/A 		
	Transferee's name, addres	(e) Transfer of gift ss, and ZIP + 4	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, addres	(e) Transfer of gift	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, addres	(e) Transfer of gift	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, addres	(e) Transfer of gift ss, and ZIP + 4	Relationship of transferor to transferee

#### SCHEDULE C (Form 990)

#### **Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service Complete if the organization is described below. Attach to Form 990 or Form 990-EZ. Go to www.irs.gov/Form990 for instructions and the latest information.

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

#### If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then

•	Section 501(c)(4), (5), or (6) o	organizations: Complete Part III.			
Name	of organization			Employer identific	ation number
PLO	OUGHSHARES FUND INC	,		94-276452	
		rganization is exempt under section			zation.
1	Provide a description of the See instructions for definitio	organization's direct and indirect political on of "political campaign activities."	ampaign activities in	Part IV.	
2	Political campaign activity e	xpenditures. See instructions		\$	
3	Volunteer hours for political	campaign activities. See instructions			
Par	t I-B Complete if the o	rganization is exempt under section	on 501(c)(3).		
1	Enter the amount of any exc	sise tax incurred by the organization under	section 4955	\$	0.
2	Enter the amount of any exc	cise tax incurred by organization managers	under section 4955.	\$	0.
3	If the organization incurred a	a section 4955 tax, did it file Form 4720 for	this year?		Yes No
4a	Was a correction made?				Yes No
b	If "Yes," describe in Part IV.				
Par	t I-C Complete if the o	rganization is exempt under section	on 501(c), excep	t section 501(c)(3).	ı
1	Enter the amount directly ex	pended by the filing organization for section	n 527 exempt function	n activities \$	
2	Enter the amount of the filin 527 exempt function activities	g organization's funds contributed to other	organizations for sec	tion \$	
3	Total exempt function exper line 17b	ditures. Add lines 1 and 2. Enter here and	on Form 1120-POL,	\$	
4		e Form 1120-POL for this year?			
5	Enter the names, addresses organization made payment amount of political contribution segregated fund or a political	and employer identification number (EIN) s. For each organization listed, enter the ans received that were promptly and directly delal action committee (PAC). If additional span-	of all section 527 pol mount paid from the f ivered to a separate po ace is needed, provide	itical organizations to willing organization's fun olitical organization, such e information in Part IV	which the filing ds. Also enter the as a separate
	(a) Name	(b) Address	<b>(c)</b> EIN	(d) Amount paid from filing organization's funds. If none, enter-0	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990) 2022

Par	t II-A Complete if section 501(	the organization h)).	is exempt under se	ction 501(c)(3) and	l filed Form 5768 (e	lection under
Α	A Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name,					
			share of excess lobbying	•		
В	Check if the filin	g organization checke	d box A and "limited contro	I" provisions apply.		
	(The term	Limits on Lobbyi "expenditures" mea	ng Expenditures ns amounts paid or incur	red.)	(a) Filing organization's totals	<b>(b)</b> Affiliated group totals
1a	Total lobbying expendite	ures to influence pub	lic opinion (grassroots lob	obying)	120,750.	
			gislative body (direct lobb		287,250.	
	, , ,	•	nd 1b)		408,000.	0.
d			es 1c and 1d)		7,317,712.	
е					7,725,712.	0.
f			ount from the following tal		536,286.	
	If the amount on line 1e, col	umn (a) or (b) is:	The lobbying nontaxable	amount is:	33372331	
	Not over \$500,000		20% of the amount on line 1e.			
	Over \$500,000 but not over \$1		\$100,000 plus 15% of the excess			
L	Over \$1,000,000 but not over \$		\$175,000 plus 10% of the excess			
H	Over \$1,500,000 but not over \$ Over \$17,000,000		\$225,000 plus 5% of the excess	over \$1,500,000.		
L		'	77		124 072	
9	g Grassroots nontaxable amount (enter 25% of line 1f)			134,072.	0.	
i	-		enter -0		0.	0.
j			ine 1h or line 1i, did the org		reporting	···· Yes No
	(Som	e organizations that	-Year Averaging Period l made a section 501(h) el	ection do not have to	complete all of the five	
			ow. See the separate inst			
		Lobby	ring Expenditures During	4-Year Averaging Peri	oa I I	
Cale	ndar year (or fiscal year beginning in)	<b>(a)</b> 2019	<b>(b)</b> 2020	<b>(c)</b> 2021	<b>(d)</b> 2022	(e) Total
2a	Lobbying nontaxable amount	510,120	454,973.	517,764.	536,286.	2,019,143.
b	Lobbying ceiling amount (150% of line 2a, column (e))					3,028,715.
С	Total lobbying expenditures	506,974	431,257.	401,000.	408,000.	1,747,231.
d	Grassroots nontaxable amount	127,530	113,743.	129,441.	134,072.	504,786.
е	Grassroots ceiling amount (150% of line 2d, column (e))					757,179.
f BAA	Grassroots lobbying expenditures	127,896	106,500.	97,500.	120,750.	452,646. ule <b>C (F</b> orm <b>990) 2022</b>
					Julieu	uic O (1 OHH 3301 4044

5

# Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768

	(election under section 501(h)).					
	Det No detailed	(a)		(b)		
-or desc	each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed rription of the lobbying activity.	Yes	No	Α	mount	
1	During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:					
	Volunteers?					
	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?					
	Media advertisements?					
	Mailings to members, legislators, or the public?					
е	Publications, or published or broadcast statements?					
f	Grants to other organizations for lobbying purposes?					
_	Direct contact with legislators, their staffs, government officials, or a legislative body?					
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?					
i	Other activities?					
j	Total. Add lines 1c through 1i					
	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?					
	If "Yes," enter the amount of any tax incurred under section 4912					
С	If "Yes," enter the amount of any tax incurred by organization managers under section 4912					
	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?					
Pa	t III-A Complete if the organization is exempt under section 501(c)(4), section 501	c)(5)	, or			
	section 501(c)(6).					T
_	W				Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?					4
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?				_	4
3	Did the organization agree to carry over lobbying and political campaign activity expenditures from the process of the process					
Pa	Complete if the organization is exempt under section 501(c)(4), section 501(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) answered "Yes."	c)(5) Part	, or s III-A,	ection line 3,	501(c) is	)
1	Dues, assessments and similar amounts from members.		1			
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).					
а	Current year		2a			
b	Carryover from last year.		2b			
С	Total		2c			
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues		3			
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditures next year?		4			

#### Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (See instructions); and Part II-B, line 1. Also, complete this part for any additional information.

Taxable amount of lobbying and political expenditures. See instructions.....

BAA Schedule C (Form 990) 2022

#### SCHEDULE D (Form 990)

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

OMB No. 1545-0047

Open to Public Inspection

Employer identification number

Department of the Treasury Internal Revenue Service

Go to www.irs.gov/Form990 for instructions and the latest information. Name of the organization

PLOUGHSHARES FUND INC 94-2764520 Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6. (a) Donor advised funds (b) Funds and other accounts Total number at end of year..... Aggregate value of contributions to (during year). . . . . . Aggregate value of grants from (during year)...... Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds No are the organization's property, subject to the organization's exclusive legal control?.. Yes Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring No impermissible private benefit? Yes Part II **Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7. Purpose(s) of conservation easements held by the organization (check all that apply). Preservation of land for public use (for example, recreation or education) Preservation of a historically important land area Protection of natural habitat Preservation of a certified historic structure Preservation of open space Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year. Held at the End of the Tax Year a Total number of conservation easements..... 2 a **b** Total acreage restricted by conservation easements. 2 b c Number of conservation easements on a certified historic structure included in (a) . . . . . . . . 2 c d Number of conservation easements included in (c) acquired after July 25, 2006 and not on a historic structure listed in the National Register..... Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax vear Number of states where property subject to conservation easement is located Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, No and enforcement of the conservation easements it holds?..... Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?..... In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
  - (i) Revenue included on Form 990, Part VIII, line 1..... (ii) Assets included in Form 990, Part X.....
- If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:
- a Revenue included on Form 990, Part VIII, line 1..... **b** Assets included in Form 990, Part X.....

Part III   Organizations I	naintaining Collection	ons of Art, His	storica	i Treasures, o	or Otne	r Similar As	ssets	(contii	пиеа)	
3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):										
a Public exhibition		<b>d</b> Loan	or excha	ange program						
<b>b</b> Scholarly research		e Other								
c Preservation for future	generations									
4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.										
5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?										
Part IV Escrow and Curreported an amount	<b>Part IV Escrow and Custodial Arrangements.</b> Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.									
1 a Is the organization an agen	t, trustee, custodian or o	ther intermediary	for con	tributions or othe	er assets	not included .		_	_	
1 a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?										
							Amoun	t		
<b>c</b> Beginning balance					1с					
<b>d</b> Additions during the year					1 d					
e Distributions during the yea	r				1е					
<b>f</b> Ending balance					1f					
2a Did the organization include	an amount on Form 990	), Part X, line 21,	, for esc	row or custodial	account l	iability?	Yes		No	
<b>b</b> If "Yes," explain the arrange	ement in Part XIII. Check	here if the expla	anation I	nas been provide	ed on Par	t XIII	<del></del>		7	
									<b>_</b>	
Part V Endowment Fu	<b>nds.</b> Complete if the org	anization answere	ed "Yes"	on Form 990, Par	t IV, line	10.				
	(a) Current year	(b) Prior yea	ır	(c) Two years back	(d) 1	hree years back	(e)	Four year:	s back	
1 a Beginning of year balance.	25,282,709	. 31,809,9	944.	26,305,964	1. 28	,163,064.	31	,148,	118.	
<b>b</b> Contributions	5,724	. 691,6	590.	441,806	ō.	917,769.		694,	000.	
c Net investment earnings, ga	ains									
and losses		4,524,8	394.	7,916,495	5.	-148,475.		412,	967.	
<b>d</b> Grants or scholarships										
e Other expenditures for facili	ities	2 500 0	200	2 501 400		410 504	2	020	727	
and programs		<u> </u>		2,501,408		<u>,419,584.</u>		<u>,839,</u>		
f Administrative expenses				352,913		206,810.	_		284.	
<b>g</b> End of year balance	/ • / • . •			31,809,944		<u>,305,964.</u>	28	<u>,163,</u>	064.	
2 Provide the estimated perce	,	•	ne ig, c	olumn (a)) neid a	as:					
a Board designated or quasi-		9.40 %								
<b>b</b> Permanent endowment	23.30 %									
c Term endowment	67.30 %	2001								
The percentages on lines 2a,	2b, and 2c should equal 10	00%.								
3 a Are there endowment funds n	ot in the possession of the	organization that a	are held	and administered	for the		ſ			
organization by:								Yes	No	
(i) Unrelated organizations							3a(i)		Х	
(ii) Related organizations							3a(ii)		Х	
<b>b</b> If "Yes" on line 3a(ii), are the	-	•					3b		<u> </u>	
4 Describe in Part XIII the inte		zation's endowme	ent fund	S.						
	s, and Equipment.									
Complete if the orga	nization answered "Yes" of	on Form 990, Part	IV, line	11a. See Form 99	90, Part X	, line 10.				
Description of prop	erty (a) Co	st or other basis	(b) (	Cost or other	<b>(c)</b> Ac	cumulated	(d)	Book va	alue	
		nvestment)	` ba	sis (other)		eciation	` ′			
<b>1 a</b> Land										
<b>b</b> Buildings										
<b>c</b> Leasehold improvements				23,581.		23,581.			0.	
<b>d</b> Equipment				141,980.		141,980.			0.	
e Other										
Total. Add lines 1a through 1e. (C	Column (d) must equal Fo	orm 990, Part X,	column	(B), line 10c.)					0.	

BAA Schedule D (Form 990) 2022

Part VII	Investments – Other Securities.	5 000 B 1 W 1	111 0 F 000 D 1 V 1: 10	
	Complete if the organization answered "Yes" on		•	
	iption of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year r	narket value
	al derivatives			
	held equity interests	2 ((7 004	THE OF WEAD MADIZED VALUE	
	ALTERNATIVE ASSETS-LONG ONLY RNATIVE ASSETS-HEDGE FUNDS	3,667,884. 2,058,142.	END OF YEAR MARKET VALUE END OF YEAR MARKET VALUE	
(B) ALIE		2,030,142.	END OF IEAR MARKET VALUE	
(C)				
(D)				
(E)				
(F)				
(G)				
(H)				
(l)				
	n (b) must equal Form 990, Part X, column (B) line 12.)	5,726,026.		
Part VIII	Investments – Program Related.	Farm 000 Part IV line	N/A	
	Complete if the organization answered "Yes" on  (a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-ye	ar market value
(1)	(a) Description of investment	(b) Book value	(C) Method of Valuation. Cost of end-of-ye	ai illainet value
(1)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				
	n (b) must equal Form 990, Part X, column (B) line 13.)	37 / 7s		
Part IX	Other Assets. Complete if the organization answered "Yes" on	N/A		
		scription		) Book value
(1)				
(2)				
(3)				
<u>(4)</u> (5)				
(6)				
(7)				
(8)				
(9)				
(10)				
	umn (b) must equal Form 990, Part X, column (E	3) line 15.)		
Part X	Other Liabilities. Complete if the organization answered "Yes" on	Form 990 Part IV line	11e or 11f See Form 990 Part Y line 25	
1.		ption of liability		) Book value
	al income taxes	, , , , , , , , , , , , , , , , , , ,		,
	RATING RIGHT-OF-USE LIABILITIES			1,173,318.
(3)				
(4)				
(5) (6)				
(7)				
(8)				
(9)				
(10)				
(11)				
	n (b) must equal Form 990, Part X, column (B) line 25.)			1,173,318.
2. Liability for	uncertain tax positions. In Part XIII, provide the text of the foo		nancial statements that reports the organization's liability SEE PA	
tay positions	ndor EACR ACC 710 Chook have it the text of the features have			

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per R	eturn. N/A
Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.	
1 Total revenue, gains, and other support per audited financial statements	1
2 Amounts included on line 1 but not on Form 990, Part VIII, line 12:	
a Net unrealized gains (losses) on investments	
b Donated services and use of facilities	
c Recoveries of prior year grants	
d Other (Describe in Part XIII.)	
e Add lines 2a through 2d.	2 e
3 Subtract line 2e from line 1	3
4 Amounts included on Form 990, Part VIII, line 12, but not on line 1:	
a Investment expenses not included on Form 990, Part VIII, line 7b	
b Other (Describe in Part XIII.)	
c Add lines 4a and 4b.	4 c
5 Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.).	5
Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per	Return. N/A
Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.	
1 Total expenses and losses per audited financial statements	
I Total expenses and losses per addited infancial statements	1
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:	1
	1
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:	1
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities	1
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities	1
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities	2e
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities 2 2 b  b Prior year adjustments 2 b  c Other losses 2 c  d Other (Describe in Part XIII.) 2 d	
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities.	2 e
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities	2 e
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities	2 e 3
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities	2 e 3
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities	2 e 3

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

#### **PART X - FASB ASC 740 FOOTNOTE**

PLOUGHSHARES FUND RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY IF THOSE

POSITIONS ARE MORE LIKELY THAN NOT OF BEING SUSTAINED. PLOUGHSHARES FUND DOES NOT

BELIEVE ITS FINANCIAL STATEMENTS INCLUDE ANY UNCERTAIN TAX POSITIONS. PLOUGHSHARES

FUND'S RETURNS ARE SUBJECT TO EXAMINATION BY FEDERAL AND STATE TAXING AUTHORITIES,

GENERALLY FOR THREE YEARS AND FOUR YEARS, RESPECTIVELY, AFTER THEY ARE FILED.

BAA Schedule D (Form 990) 2022

#### **SCHEDULE F** (Form 990)

#### **Statement of Activities Outside the United States**

Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16. Attach to Form 990.

OMB No. 1545-0047

Employer identification number

Open to Public

Department of the Treasury Internal Revenue Service Name of the organization

Go to www.irs.gov/Form990 for instructions and the latest information.

Inspection

PLOUGHSHARES FUND IN				94-27645						
<b>General Informat</b> on Form 990, Par	<b>ion on Activiti</b> t IV, line 14b.	es Outside th	e United States. Complet	e if the organization	n answered "Yes"					
			substantiate the amount of its calection criteria used to award							
2 For grantmakers. Describe in United States.	n Part V the organi	zation's procedure	s for monitoring the use of its gra	nts and other assistance of	outside the					
Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)										
(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region					
(1) CAYMAN ISS-HQ SWEDEN			INVESTMENTS		2,304,303.					
(2) CAYMAN ISS- HQ LA, US			INVESTMENTS		950,041.					
(3)										
(4)										
(5)										
(6)										
(7)										
(8)										
(9)										
(10)										
(11)										
(12)										
(13)										
(14)										
(15)										
(16)										
(17)										
b Total from continuation sheets to Part I  sheets to Part I					3,254,344.					

0

c Totals (add lines 3a and 3b). .

3,254,344.

94-2764520

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	<b>(d)</b> Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			NETHERLANDS	SEE SCH. O	50,000.	CASH			CASH VALUE
			REP. OF KOREA	SEE SCH. O	80,000.	CASH			CASH VALUE
			SWEDEN	SEE SCH. O	60,000.	CASH			CASH VALUE
			SWITZERLAND	SEE SCH. O	155,000.	CASH			CASH VALUE
			UKRAINE	SEE SCH. O	40,000.	CASH			CASH VALUE
			UNITED KINGDOM	SEE SCH. O	55,000.	CASH			CASH VALUE
			UNITED KINGDOM	SEE SCH. O	6,000.	CASH			CASH VALUE
			UNITED KINGDOM	SEE SCH. O	80,000.	CASH			CASH VALUE
			UNITED KINGDOM	SEE SCH. O	10,000.	CASH			CASH VALUE

	Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as a tax exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter.	<b>&gt;</b>	1
3	Enter total number of other organizations or entities	<u> </u>	

BAA Schedule F (Form 990) 2022 Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18) BAA							
BAA						Schedule F	(Form 990) 2022

	edule F (Form 990) 2022 PLOUGHSHARES FUND INC	94-2764520	Page 4
Га	rt IV Foreign Forms		
1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	Yes	X No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)	Yes	X No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to C Foreign Corporations (see Instructions for Form 5471).	ertain Yes	X No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a quelecting fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621).	_	X No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865).		No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (substructions for Form 5713; don't file with Form 990)	see	X No

BAA Schedule F (Form 990) 2022 TEEA3505L 08/18/22

### Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

BAA TEEA3504L 08/18/22 Schedule F (Form 990) 2022

### SCHEDULE G (Form 990)

Department of the Treasury Internal Revenue Service

### **Supplemental Information Regarding Fundraising or Gaming Activities**

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

Attach to Form 990 or Form 990-EZ.

Go to www.irs.gov/Form990 for instructions and the latest information.

2022

Open to Public Inspection

PLOUGHSHARES FUND INC						94-276452	
Fundraising Activities. Comple	te if the organiza	ation answe	ered "Yes"	on Form 990, Part IV, lir	ne 17.		
1 Indicate whether the organization  a X Mail solicitations  b X Internet and email solicitations	raised funds the		of the foll	owing activities. Check  X Solicitation of non-	governr	nent grants	
c X Phone solicitations d X In-person solicitations	•		g	X Special fundraising		-	
<ul> <li>2 a Did the organization have a written of employees listed in Form 990, Part b If "Yes," list the 10 highest paid individual compensated at least \$5,000 by the</li> </ul>	t VII) or entity iduals or entities	in connéct s (fundraise	tion with p	rofessional fundraising	service	s?	
(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did have custo of contr	fundraiser dy or control ibutions?	(iv) Gross receipts from activity	(or fundr	mount paid to retained by) aiser listed in olumn <b>(i)</b>	(vi) Amount paid t (or retained by) organization
1		Yes	No				
2							
3							
4							
5							
6							
7							
8							
9							
10							
Fotal							
List all states in which the organization licensing.      AL AR CA CO CT FL GA I PA RI SC TN UT VA WA II	on is registered of the state o	or licensed	to solicit c			·	registration

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

			(a) Event #1 CHAIN REACTION	<b>(b)</b> Event #2	(c) Other events NONE	(d) Total events (add column (a) through column (c))
e			(event type)	(event type)	(total number)	through column (c)
Revenue	1	Gross receipts	148,500.			148,500.
~	2	Less: Contributions	148,500.			148,500.
	3	Gross income (line 1 minus line 2)				
	4	Cash prizes				
	5	Noncash prizes				
nses	6	Rent/facility costs				
Direct Expenses	7	Food and beverages				
rect	8	Entertainment				
	9	Other direct expenses	24,833.			24,833.
	10 11	Direct expense summary. Add lines 4 thro Net income summary. Subtract line 10 fro				,
Par	t III	Gaming. Complete if the organiza than \$15,000 on Form 990-EZ, line	tion answered "Ye			
		(lian \$15,000 on 1 onn 950-LZ, ini	e oa.	<b>(b)</b> Pull tabs/instant		(d) Total gaming
Revenue			(a) Bingo	bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add column (a) through column (c))
A	1	Gross revenue				
nses	2	Cash prizes				
Expe	3	Noncash prizes				
Direct Expenses	4	Rent/facility costs				
لسا	5	Other direct expenses				
	6	Volunteer labor	Yes %	Yes%	Yes%	
	7	Direct expense summary. Add lines 2 thre	ough 5 in column (d)			
	8	Net gaming income summary. Subtract li	ne 7 from line 1. colum	nn (d)		
Į						· <u>I</u>
а	Is th		activities in each of th	nese states?		
		e any of the organization's gaming license 'es," explain:	s revoked, suspended,		e tax year?	Yes No
BAA			TEEA3702L 0	7/05/22	Scho	edule G (Form 990) 2022

Sch	edule G (Form 990) 2022	PLOUGHSHARES	PLOUGHSHARES FUND INC 94-						
11	Does the organization conduct	gaming activities with n	onmembers?			Yes	No		
12			st, or a member of a partnership or o			Yes	No		
	Indicate the percentage of gamin	•							
	· ·				13a		%		
14	<u> </u>		e organization's gaming/special eve		13 b		%		
		po. 00.110 p. opa. 00 t.	o organization o gammigropoolar ovo						
	Name								
	Address								
	<ul> <li>b If "Yes," enter the amount of g         of gaming revenue retained by</li> <li>c If "Yes," enter name and address</li> </ul>	naming revenue received the third party \$s of the third party:	y from whom the organization rec by the organization \$	and the	e amour	nt	No		
	Address								
16	Gaming manager information:								
	Name								
	Gaming manager compensation	on \$							
	Description of services provide	ed							
	Director/officer	Employee	Independent contra	actor					
17	Mandatory distributions:								
			able distributions from the gaming pr			□ves	Пио		
	3 3	required under state law t	o be distributed to other exempt organic\$			. Yes	∐ No		
Pa	rt IV Supplemental Informand Part III, lines 9, information. See ins	, 9b, 10b, 15b, 15c,	explanations required by F 16, and 17b, as applicable.	Part I, line 2b, colu Also provide any	ımns ( additi	(iii) and (v ional	·);		

 BAA
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 Schedule G (Form 990) 2022

## SCHEDULE I (Form 990)

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service Name of the organization

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22. Attach to Form 990.

Go to www.irs.gov/Form990 for the latest information.

Open to Public Inspection

Employer identification number

PLOUGHSHARES FUND INC						94-276452	20
Part I General Information on Gra	ants and Assist	ance				•	
Does the organization maintain records to the selection criteria used to award the	substantiate the an grants or assistar	nount of the grants or	assistance, the grantees'	eligibility for the grants	or assistance, and		X Yes No
2 Describe in Part IV the organization's pro-	cedures for monitoring	ng the use of grant fu	ands in the United States.		SEE E	PART IV	
Part II Grants and Other Assistan	ce to Domestic	Organizations	and Domestic Gove	ernments. Comple	ete if the organiza	tion answered "\	es" on
Form 990, Part IV, line 21,	for any recipien	it that received i	more than \$5,000. F	Part II can be dupli	icated if additiona	I space is neede	d.
1 (a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) VARIOUS OTHER NON-PROFIT ORGA 315 BAY STREET, FLOOR 4 SAN FRANCISCO, CA 94133			8,885.	0.	CASH VALUE		SEE PART IV FOR
(2) ARMS CONTROL ASSN  1200 18TH ST., NW, SUITE 1175  WASHINGTON, DC 20036		501 (C) 3	150,000.		CASH VALUE		SEE SCH O FOR PURPOSE OF GRANT
(3) FEDERATION OF AMERICAN SCIENT  1112 16TH ST NW STE 600  WASHINGTON, DC 20036		501 (C) 3	90,000.		CASH VALUE		SEE SCH O FOR PURPOSE OF GRANT
(4) FCNL EDUCATION FUND 245 SECOND STREET, NE WASHINGTON, DC 20002		501 (C) 3	45,000.	0.	CASH VALUE		SEE SCH O FOR PURPOSE OF GRANT
(5) FRIENDS CMTE ON NAT'L LEGISLA 245 SECOND STREET, NE WASHINGTON, DC 20002		501 (C) 4	130,000.	0.	CASH VALUE		SEE SCH O FOR PURPOSE OF GRANT
(6) HERBERT SCOVILLE JR PEACE FEL 820 1ST ST., NE, STE. LL-180 WASHINGTON, DC 20002		501 (C) 3	55,000.	0.	CASH VALUE		SEE SCH O FOR PURPOSE OF GRANT
(7) INTERNATIONAL CRISIS GROUP  1629 K ST NW STE 450  WASHINGTON, DC 20006		501 (C) 3	80,000.	0.	CASH VALUE		SEE SCH O FOR PURPOSE OF GRANT
(8) J STREET  P.O. BOX 66073  WASHINGTON, DC 20035		501 (C) 4	105,000.		CASH VALUE		SEE SCH O FOR PURPOSE OF GRANT
<ul><li>2 Enter total number of section 501(c)(3)</li><li>3 Enter total number of other organization</li></ul>	-	-					34 21

Schedule | (Form 990) 2022 PLOUGHSHARES FUND INC 94-2764520 Page 2

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	<b>(b)</b> Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
1 TRAVEL AND LABOR SUPPORT	4	5,640.			
2					
3					
4					
5					
6					
7					

Part IV | Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

#### PART I, LINE 2 - PROCEDURES FOR MONITORING USE OF GRANTS FUNDS IN U.S.

GRANT PROPOSALS ARE RESEARCHED BY PROGRAM STAFF WHO THEN MAKE RECOMMENDATIONS FOR FUNDING TO THE BOARD OF DIRECTORS. ALL GRANTS ARE APPROVED BY THE BOARD OF DIRECTORS AT PERIODIC BOARD MEETINGS, OR BY A SUBSET OF BOARD MEMBERS VIA A DISCRETIONARY PROCESS FOR SMALLER GRANTS (THOSE BETWEEN \$15,000 AND \$25,000), OR BY AN APPOINTED SET OF BOARD MEMBERS UNDER SPECIFIC RULES AND CONDITIONS FOR GRANTS ABOVE \$25,000. ADDITIONALLY, THE PRESIDENT OF PLOUGHSHARES FUND IS AUTHORIZED TO MAKE GRANTS THROUGH THE PRESIDENT'S FUND AS APPROVED BY THE BOARD. THE PARAMETERS AND PROCESS FOR UTILIZING THIS AUTHORITY ARE AS FOLLOWS: 1) THE TOTAL AMOUNT AVAILABLE TO THE PRESIDENT EACH FISCAL YEAR IS \$600,000; 2) THE CAP FOR EACH GRANT IS \$100,000; 3) THE

CAP BETWEEN EACH BOARD MEETING IS \$200,000; 4) THE PRESIDENT MUST SECURE APPROVAL

94-2764520

### PART I, LINE 2 - PROCEDURES FOR MONITORING USE OF GRANTS FUNDS IN U.S. (CONTINUED)

FROM TWO ADDITIONAL BOARD MEMBERS AND THE BOARD CHAIR FOR PRESIDENT'S FUND INVESTMENTS, AS WELL AS CONSULT WITH PROGRAM STAFF PRIOR TO SEEKING BOARD MEMBER APPROVAL; 5) FUNDS AWARDED UNDER THIS PROCESS ARE COUNTED AGAINST THE ANNUAL GRANTMAKING BUDGET AND ARE CONSIDERED GRANTS. GRANTS IN AMOUNTS UNDER \$15,000 ARE MADE BY STAFF WITH DELEGATED AUTHORITY. EACH GRANTEE SIGNS A GRANT AGREEMENT WHICH INCLUDES THE DESCRIPTION OF THE PROJECT BEING FUNDED, THE AMOUNT OF FUNDING, DURATION OF THE GRANT, DELIVERABLES TO BE PRODUCED BY THE GRANTEE AND REPORTING REQUIREMENTS. THE GRANTEE'S SIGNATURE IS ACCEPTANCE OF THE TERMS OF THE AGREEMENT. FOLLOWING THE END OF THE GRANT PERIOD, THE GRANTEE IS REQUIRED TO FURNISH A THOROUGH GRANT REPORT THAT INCLUDES FINANCIAL STATEMENTS DETAILING HOW THE GRANT WAS SPENT. PROGRAM STAFF REVIEWS GRANT REPORTS TO ENSURE THAT FUNDS WERE APPLIED TO THE APPROPRIATE ACTIVITIES AND THAT THE ENTIRE AMOUNT WAS EXPENDED PROPERLY. ANY UNSPENT FUNDS ARE REQUIRED TO BE RETURNED TO PLOUGHSHARES FUND. ANY FUTURE GRANTS ARE CONDITIONAL UPON RECEIPT OF A GRANT REPORT ACCEPTABLE TO PROGRAM STAFF.

#### **PART IV - ADDITIONAL SUPPLEMENTAL INFORMATION**

VARIOUS OTHER NON-PROFIT ORGANIZATIONS

TOTAL	AMOUNT	OF	GRANTS	BELOW	\$5,000	REPORTING	THRESHOLD.

GRANT PURPOSE AND EIN AVAILABLE FOR ALL ORGANIZATIONS UPON REQUEST.

Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

Continuation Page 1 of 5

PLOUGHSHARES FUND INC

Name of the organization

94-2764520

Employer identification number

(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
NATIONAL COMM ON NORTH KOREA							SEE SCH O FOR
1111 19TH STREET NW, STE 650							PURPOSE OF
WASHINGTON, DC 20036			65,000.		CASH VALUE		GRANT
NATIONAL IRANIAN AMER COUNCIL							SEE SCH O FOR
1629 K ST., NW, STE. 503							PURPOSE OF
WASHINGTON, DC 20006			20,000.		CASH VALUE		GRANT
UNION OF CONCERNED SCIENTISTS							SEE SCH O FOR
2 BRATTLE SQUARE							PURPOSE OF
CAMBRIDGE, MA 02138		501 (C) 3	105,000.		CASH VALUE		GRANT
WIN WITHOUT WAR EDUC FUND							SEE SCH O FOR
1 THOMAS CIRCLE, NW, STE. 700							PURPOSE OF
WASHINGTON, DC 20005		501 (C) 3	105,000.		CASH VALUE		GRANT
CNTR FOR STRATEGIC & INT. STU							SEE SCH O FOR
1616 RHODE ISLAND AVE., NW							PURPOSE OF
WASHINGTON, DC 20036		501 (C) 3	30,000.		CASH VALUE		GRANT
BULLETIN OF THE ATOMIC SCIENT							SEE SCH O FOR
1307 EAST 60TH, SUITE 3077							PURPOSE OF
CHICAGO, IL 60637		501 (C) 3	80,000.		CASH VALUE		GRANT
PRES & FEL OF MIDDLEBURY COLL							SEE SCH O FOR
460 PIERCE ST							PURPOSE OF
MONTEREY, CA 93940		501 (C) 3	50,000.		CASH VALUE		GRANT
PRES & FEL OF MIDDLEBURY COLL							SEE SCH O FOR
460 PIERCE ST							PURPOSE OF
MONTEREY, CA 93940		501 (C) 3	50,000.		CASH VALUE		GRANT
GLOBAL ZERO ACTION							SEE SCH O FOR
1342 FLORIDA AVE. NW							PURPOSE OF
WASHINGTON, DC 20009		501 (C) 4	150,000.		CASH VALUE		GRANT
WA PHYSICIANS FOR SOCIAL RESP							SEE SCH O FOR
2524 16TH AVE S, #300							PURPOSE OF
SEATTLE, WA 98144		501 (C) 3	80,000.		CASH VALUE		GRANT

Schedule I Cont (Form 990) 2022

TEEA4001L 06/29/22

Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

Continuation Page 2 of 5

Name of the organization

Employer identification number

PLOUGHSHARES FUND INC 94-2764520

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments. (Schedule | (Form 990), Part II.)

(a) Name and address of organization	<b>(b)</b> EIN	(c) IRC section		(e) Amount of noncash	(f) Method of	(g) Description of	(h) Purpose of
or government		(if applicable)	grant	assistance	valuation (book, FMV, appraisal,	noncash assistance	grant or assistance
					other)		
VET VOICE FOUNDATION							SEE SCH O FOR
PO_BOX_11559							PURPOSE OF
PORTLAND, OR 97211		501 (C) 3	105,000.		CASH VALUE		GRANT
PHYSICIANS FOR SOCIAL RESPONS							SEE SCH O FOR
1111_14TH_STREET, NW_STE_700							THE PURPOSE OF
WASHINGTON, DC 20005			65,000.		CASH VALUE		GRANT
RETHINK MEDIA INC							SEE SCH O FOR
2443_FILLMORE_ST_RMB_380-7140_							PURPOSE OF
BERKELEY, CA 94115		501 (C) 3	85,000.		CASH VALUE		GRANT
WOMEN CROSS DMZ/WOMEN DE-MIL							SEE SCH O FOR
P.O. BOX 61042							PURPOSE OF
HONOLULU, HI 96839		501 (C) 3	70,000.		CASH VALUE		GRANT
FOUNDATION FOR A CIVIL SOCIET							SEE SCH O FOR
25 E END AVE, #18							PURPOSE OF
NEW YORK, NY 10028		501 (C) 3	55,000.		CASH VALUE		GRANT
UNION OF CONCERNED SCIENTISTS							SEE SCH O FOR
2 BRATTLE SQUARE							PURPOSE OF
CAMBRIDGE, MA 02138		501 (C) 3	150,000.		CASH VALUE		GRANT
TRI-VALLEY COMM AGNST A RADIO							SEE SCH O FOR
4049 FIRST ST., SUITE 243							PURPOSE OF
LIVERMORE, CA 94551		501 (C) 3	70,000.		CASH VALUE		GRANT
COUNCIL FOR A LIVABLE WORLD							SEE SCH O FOR
820 1ST ST NE STE LL 180							PURPOSE OF
WASHINGTON, DC 20002		501 (C) 4	80,000.		CASH VALUE		GRANT
FOREIGN POLICY FOR AMERICA							SEE SCH O FOR
1301 K. ST, NW, STE. 300W							PURPOSE OF
WASHINGTON, DC 20005		501 (C) 4	140,000.		CASH VALUE		GRANT
NEW VENTURE FUND							SEE SCH O FOR
1828 L_ST, NW, STE 300-A							PURPOSE OF
WASHINGTON, DC 20036		501 (C) 3	100,000.		CASH VALUE		GRANT

TEEA4001L 06/29/22

Schedule I Cont (Form 990) 2022

Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

Continuation Page 3 of 5

Name of the organization

Employer identification number

PLOUGHSHARES FUND INC 94-2764520

Part II   Continuation of Grants and	Other Assistar	nce to Domestic	Organizations an	d Domestic Gover	<b>nments.</b> (Schedu	le I (Form 990), F	Part II.)
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
NUCLEAR THREAT INITIATIVE INC							SEE SCH O FOR
1776_EYE_STREET,_NW_SUITE_600_							PURPOSE OF
WASHINGTON, DC 20006		501 (C) 3	14,500.		CASH VALUE		GRANT
CONGR. PROGRESSIVE CAUCUS CTR							SEE SCH O FOR
80 F_ST_NW							PURPOSE OF
WASHINGTON, DC 20001		501 (C) 3	50,000.		CASH VALUE		GRANT
INT'L CIVIL SOC ACTION NTWK							SEE SCH O FOR
1126 16TH ST, STE. 250							PURPOSE OF
WASHINGTON, DC 20036		501 (C) 3	155,000.		CASH VALUE		GRANT
MOVEON.ORG CIVIC ACTION							SEE SCH O FOR
PO BOX 96141							PURPOSE OF
WASHINGTON, DC 20090			95,000.		CASH VALUE		GRANT
NUCLEAR THREAT INITIATIVE INC							SEE SCH O FOR
1776 EYE STREET, NW SUITE 600							PURPOSE OF
WASHINGTON, DC 20006		501 (C) 3	9,450.		CASH VALUE		GRANT
QUINCY INST. RESP. STATECRAFT							SEE SCH O FOR
2000 PENNSYLVANIA AVE #7000							PURPOSE OF
WASHINGTON, DC 20006		501 (C) 3	80,000.		CASH VALUE		GRANT
WOMEN IN INT'L SECURITY WIIS							SEE SCH O FOR
777 UN PLAZA, 6TH FLOOR							PURPOSE OF
NEW YORK, NY 10017			65,000.		CASH VALUE		GRANT
INKSTICK_MEDIA_INC							SEE SCH O FOR
6935 CARDOZO ST							PURPOSE OF
NEW MARKET, MD 21774		501 (C) 3	80,000.		CASH VALUE		GRANT
GLOBAL ZERO ACTION							SEE SCH O FOR
<u> 1835 7TH ST ST. NW #105</u>							PURPOSE OF
WASHINGTON, DC 20001		501 (C) 3	205,000.		CASH VALUE		GRANT
COMMON DEFENSE CIVIC ENGAGE							SEE SCH O FOR
251 W 30TH ST, STE. 600							PURPOSE OF
NEW YORK, NY 10001		501 (C) 4	75,000.		CASH VALUE		GRANT

TEEA4001L 06/29/22

Schedule I Cont (Form 990) 2022

Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

Continuation Page 4 of 5

Name of the organization

Employer identification number

PLOUGHSHARES FUND INC						94-276452	
Part II   Continuation of Grants and							
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
AS YOU SOW							SEE SCH O FOR
PO BOX 751							PURPOSE OF
BERKELEY, CA 94701		501 (C) 3	30,000.		CASH VALUE		GRANT
MARSHALLESE EDU. INITIATIVE							SEE SCH O FOR
614 E EMMA AVE STE 203							PURPOSE OF
SPRINGDALE, AR 72764		501 (C) 3	80,000.		CASH VALUE		GRANT
NIAC ACTION							SEE SCH O FOR
1629 K_ST_NW, STE 503							PURPOSE OF
WASHINGTON, DC 20006		501 (C) 4	85,000.		CASH VALUE		GRANT
NUCLEAR WATCH NEW MEXICO							SEE SCH O FOR
PO BOX 4524							PURPOSE OF
ALBUQUERQUE, NM 87196			70,000.		CASH VALUE		GRANT
PRES. & FELLOWS OF MIDDLEBURY							SEE SCH O FOR
460 PIERCE ST							PURPOSE OF
MONTEREY , CA 93940		501 (C) 3	150,000.		CASH VALUE		GRANT
SECURE FAMILIES FOUNDATION							SEE SCH O FOR
1301 K ST NW, STE. 300W							PURPOSE OF
WASHINGTON, DC 20005		501 (C) 3	75,000.		CASH VALUE		GRANT
TRUSTEES OF PRINCETON UNI							SEE SCH O FOR
22 CHAMBERS ST STE 300							PURPOSE OF
PRINCETON, NJ 08542			80,000.		CASH VALUE		GRANT
WOMEN OF COLOR ADVANCING PEAC							SEE SCH O FOR
80 M ST SE, STE 100							PURPOSE OF
WASHINGTON, DC 20003		501 (C) 3	55,000.		CASH VALUE		GRANT
MASSACHUSETTS INSIT. OF TECH							SEE SCH O FOR
1 AMHERST ST, E-40, 4TH FLOOR							PURPOSE OF
CAMBRIDGE, MA 02139		501 (C) 3	45,000.		CASH VALUE		GRANT
THE HENRY L. STIMSON CENTER							SEE SCH O FOR
1211 CONNECTICUT AVE NW 8THFL							PURPOSE OF
WASHINGTON , DC 20036		501 (C) 3	55,000.		CASH VALUE		GRANT

TEEA4001L 06/29/22

Schedule I Cont (Form 990) 2022

Employer identification number

94-2764520

Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

Continuation Page 5 of 5

2022

Name of the organization
PLOUGHSHARES FUND INC

Part II   Continuation of Grants and					•		<u> </u>
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
TRUSTEES OF THE UNI OF PENN.							SEE SCH O FOR
3451 WALNUT ST							PURPOSE OF
PHILADELPHIA, PA 19104		501 (C) 3	80,000.		CASH VALUE		GRANT
ARMS CONTROL ASSOCIATION							SEE SCH O FOR
1200_18TH_ST_NW,_STE1175							PURPOSE OF
WASHINGTON, DC 20036		501 (C) 3	50,000.		CASH VALUE		GRANT
FOREIGN POLICY FOR AMERICA							SEE SCH O FOR
1301_K_ST., NW, STE_300W							PURPOSE OF
WASHINGTON, DC 20005		501 (C) 4	50,000.		CASH VALUE		GRANT
NUCLEAR THREAT INITIATIVE							SEE SCH O FOR
1776 EYE ST., NW, STE. 600							PURPOSE OF
WASHINGTON, DC 20006		501 (C) 3	80,000.		CASH VALUE		GRANT
PROJ. ON GOV.T OVERSIGHT							SEE SCH O FOR
1100 13TH ST NW, STE. #800							PURPOSE OF
WASHINGTON, DC 20005		501 (C) 3	50,000.		CASH VALUE		GRANT
THE HENRY L. STIMSON CENTER							SEE SCH O FOR
1211 CONNECTICUT AVE NW 8THFL							PURPOSE OF
WASHINGTON, DC 20036		501 (C) 3	75,000.		CASH VALUE		GRANT
DOWNWIND FILM LLC							SEE SCH O FOR
132 ROMERO ST, UNIT 10							PURPOSE OF
SANTA FE, NM 87501		501 (C) 3	15,000.		CASH VALUE		GRANT
		1	1				

### **SCHEDULE J** (Form 990)

Department of the Treasury Internal Revenue Service

### **Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

Attach to Form 990. Go to www.irs.gov/Form990 for instructions and the latest information. OMB No. 1545-0047

Open to Public Inspection

Employer identification number 94-2764520 PLOUGHSHARES FUND INC **Questions Regarding Compensation** Part I

					Yes	No					
1a	Check the appropriate box(es) if the organization provided any of t VII, Section A, line 1a. Complete Part III to provide any relevant	the ant	following to or for a person listed on Form 990, Part information regarding these items.								
	First-class or charter travel	Г	Housing allowance or residence for personal use								
	Travel for companions	Ī	Payments for business use of personal residence								
	Tax indemnification and gross-up payments		Health or social club dues or initiation fees								
	Discretionary spending account		Personal services (such as maid, chauffeur, chef)								
b	If any of the boxes on line 1a are checked, did the organization fol reimbursement or provision of all of the expenses described a			1b							
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?										
3	Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/ Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.										
	Compensation committee	X	Written employment contract								
	Independent compensation consultant	X	Compensation survey or study								
	X Form 990 of other organizations	X	Approval by the board or compensation committee								
4	During the year, did any person listed on Form 990, Part VII, organization or a related organization:	Se	ction A, line 1a, with respect to the filing								
	Receive a severance payment or change-of-control payment?			4a		Χ					
	Participate in or receive payment from a supplemental nonqui		·	4b		Х					
С	Participate in or receive payment from an equity-based compo			4c		X					
	If "Yes" to any of lines 4a-c, list the persons and provide the applic	icab	ole amounts for each item in Part III.								
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations	ıs n	nust complete lines 5-9.								
5	For persons listed on Form 990, Part VII, Section A, line 1a, did th contingent on the revenues of:	he c	organization pay or accrue any compensation								
а	The organization?			5a		Х					
b	Any related organization?			5b		Χ					
	If "Yes" on line 5a or 5b, describe in Part III.										
6	For persons listed on Form 990, Part VII, Section A, line 1a, did th contingent on the net earnings of:	he c	organization pay or accrue any compensation								
а	The organization?			6a		Х					
b	Any related organization?			6b		Χ					
	If "Yes" on line 6a or 6b, describe in Part III.										
7	For persons listed on Form 990, Part VII, Section A, line 1a, of payments not described on lines 5 and 6? If "Yes," describe in	did in F	the organization provide any nonfixed	7		Х					
8	Were any amounts reported on Form 990, Part VII, paid or act to the initial contract exception described in Regulations section "Yes," describe in Part III.	tion	53.4958-4(a)(3)?	C		37					
	ii res, describe iii Fart III			8		X					
9	If "Yes" on line 8, did the organization also follow the rebuttable pr section 53 4958-6(c)?	oresi	umption procedure described in Regulations	9							

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2022

### Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

	(	(B) Breakdown of W-2 and/or 1099-MISC and/or 1099-NEC compensation				(D) Nontaxable	(E) Total of columns(B)(i)-(D)	(F) Compensation
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	benefits	columns(B)(i)-(D)	in column (B) reported as deferred on prior Form 990
ELIZABETH WAGNER	(i)	163,045.	0.	0.	16,252.	39,530.	218,827.	0.
	(ii)	0.	<u>0</u> .	0.	0.	0.	0.	0.
	(i)	300,966.	0.	0.	30,186.	19,194.	350,346.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	133,269.	0.	0.	13,707.	16,927.	163,903.	0.
	(ii)	0.		0.	$\frac{1}{0}$ .	0.	0.	0.
	(i)	116,085.	0.	0.	11,471.	37,426.	164,982.	0.
4 DEVELOP. DIRECTOR	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)				<b> </b>			
	(ii)							
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	(i) (ii)				<del> </del>		<del> </del>	
	(i)							
	(i) (ii)				<del> </del>		<del> </del>	
	(")		TTT 1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2					47

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TEEA4102L 07/25/22

Schedule J (Form 990) 2022

Schedule J (Form 990) 2022 PLOUGHSHARES FUND INC 94-2764520 Page **3** 

### Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

BAA Schedule J (Form 990) 2022

#### SCHEDULE O (Form 990)

### Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or Form 990-EZ.

Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

PLOUGHSHARES FUND INC

Employer identification number

94-2764520

### FORM 990, PART III, LINE 1 - ORGANIZATION MISSION

REDUCE THE NUCLEAR THREAT. PLOUGHSHARES FUND WORKS TO BUILD A SAFE, SECURE, NUCLEAR WEAPON-FREE WORLD BY DEVELOPING AND INVESTING IN INITIATIVES TO REDUCE AND ULTIMATELY ELIMINATE THE WORLD'S NUCLEAR STOCKPILES, AND TO PROMOTE STABILITY IN REGIONS OF CONFLICT SO THAT A NUCLEAR WEAPON WILL NEVER BE USED AGAIN.

### FORM 990, PART VI, LINE 11B - FORM 990 REVIEW PROCESS

THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS HAS THE PRIMARY RESPONSIBILITY FOR REVIEWING THE DRAFT VERSION OF FORM 990. UPON ITS APPROVAL BY THE AUDIT COMMITTEE, THE DRAFT IS SENT TO THE ENTIRE BOARD OF DIRECTORS FOR REVIEW.

FORM 990, PART VI, LINE 12C - EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS

MEMBERS OF THE BOARD OF DIRECTORS FULLY DISCLOSE EXISTING OR POSSIBLE APPEARANCES OF CONFLICTS OF INTEREST. THEY ABSTAIN FROM VOTING ON GRANTS TO ORGANIZATIONS WITH WHICH THEY HAVE AFFILIATIONS OR PROFESSIONAL RELATIONSHIPS. IF THERE IS A TRANSACTION INVOLVING A MEMBER OF THE BOARD OR ANY INDIVIDUAL CONNECTED TO PLOUGHSHARES FUND THAT WOULD PRESENT A CONFLICT OF INTEREST, SUCH TRANSACTION MUST BE APPROVED OR RATIFIED BY THE BOARD OF DIRECTORS (WITH THE INTERESTED PARTY ABSTAINING FROM ANY VOTE). IN ACCORDANCE WITH THE ORGANIZATION'S BYLAWS, WHICH SET FORTH EXPLICIT FACTORS TO BE CONSIDERED AND DISCLOSED TO NON-INTERESTED BOARD MEMBERS.

FORM 990, PART VI, LINE 15A - COMPENSATION REVIEW & APPROVAL PROCESS - CEO & TOP MANAGEMENT
THE CHAIR OF THE BOARD OF DIRECTORS CONDUCTS ANNUAL REVIEWS OF THE PRESIDENT. THE
BOARD OF DIRECTORS APPROVES THE CHAIR'S RECOMMENDATION REGARDING COMPENSATION. THE
PRESIDENT, WHO IS ALSO A BOARD MEMBER, CONDUCTS THE ANNUAL REVIEWS OF THE EXECUTIVE
DIRECTOR, AN OFFICER OF THE CORPORATION.

Page 2

#### FORM 990, PART VI, LINE 17 - LIST OF STATES WHICH THIS RETURN IS FILED

CA CO CT AL AK AR FL GA HI IL KS KY MA MD ME MI MN MO MS NH NJ NY NM ND NC OH OR PA RI SC TN UT VA WA WV WI

#### FORM 990, PART VI, LINE 19 - OTHER ORGANIZATION DOCUMENTS PUBLICLY AVAILABLE

PLOUGHSHARES FUND MAKES ITS GOVERNING DOCUMENTS AND FINANCIAL STATEMENTS AVAILABLE
UPON REQUEST. A SUMMARY OF THE FINANCIAL STATEMENTS IS PUBLISHED IN THE PLOUGHSHARES
FUND'S ANNUAL REPORT.

#### **SCHEDULE I, PART II, PURPOSES**

NUCLEAR THREAT INITIATIVE - TO SUPPORT RESEARCH AND FINANCIAL ANALYSIS OF THE NUCLEAR NON-PROLIFERATION, DISARMAMENT, AND POLICY FIELD.

NUCLEAR THREAT INITIATIVE - TO CONDUCT ADDITIONAL OUTREACH TO DIVERSE VOICES ON TIKTOK AS PART OF THE DIGITAL STORYTELLERS PROJECT OF THE #CRANESFOROURFUTURE CAMPAIGN.

DOWNWIND FILM LLC - TO SUPPORT THE IMPACT CAMPAIGN AROUND THE 'FIRST WE BOMBED NEW MEXICO' DOCUMENTARY FILM.

NATIONAL IRANIAN AMERICAN COUNCIL - TO SUPPORT EFFORTS TO CHALLENGE THE DEMONIZATION OF DIASPORA COMMUNITIES EXACERBATED BY US POLICY AND DEVELOP A NEW NARRATIVE AROUND THE INTERSECTION OF CIVIL RIGHTS, EQUITY, AND NUCLEAR NONPROLIFERATION.

CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES - TO SUPPORT RESEARCH AND ANALYSIS ON THE POTENTIAL FOR ENVIRONMENTAL DIALOGUES IN THE MIDDLE EAST.

AS YOU SOW - TO SUPPORT AS YOU SOW'S WORK INFORMING INVESTORS ABOUT WHICH MUTUAL FUNDS AND ASSET MANAGERS INVEST IN NUCLEAR WEAPONS PRODUCTION.

MASSACHUSETTS INSTITUTE OF TECHNOLOGY - TO SUPPORT DR. JIM WALSH AND HIS TEAM AS
THEY PRODUCE AND DISTRIBUTE UNBRANDED VIDEO AND MEME CONTENT FOR THE NUCLEAR POLICY
COMMUNITY.

FCNL EDUCATION FUND - TO SUPPORT EFFORTS TO EDUCATE POLICYMAKERS AND THE PUBLIC ABOUT SAFER NUCLEAR POLICIES AND DIPLOMACY WITH IRAN, NORTH KOREA, RUSSIA, AND CHINA, AND TO SUPPORT FCNL'S DISMANTLING RACISM AND MILITARISM (DRM) PROJECT.

PRESIDENT AND FELLOWS OF MIDDLEBURY COLLEGE - TO SUPPORT JEFFREY LEWIS' RESEARCH, ANALYSIS, AND MEDIA OUTREACH ON NUCLEAR AND MISSILE ACTIVITIES IN NORTH KOREA AND CHINA.

PRESIDENT AND FELLOWS OF MIDDLEBURY COLLEGE - TO SUPPORT ACTIVITIES OF THE MIDDLE EAST NEXT GENERATION OF ARMS CONTROL SPECIALISTS TO PROMOTE REGIONAL SECURITY DIALOGUE IN THE MIDDLE EAST.

ARMS CONTROL ASSOCIATION - TO SUPPORT THE PHYSICISTS COALITION FOR NUCLEAR THREAT REDUCTION'S GRASSROOTS NETWORK OF SCIENTISTS CONCERNED ABOUT NUCLEAR DANGERS AND ARE WILLING TO EDUCATE LEGISLATORS ABOUT THE NEED FOR POLICY CHANGE.

CONGRESSIONAL PROGRESSIVE CAUCUS CENTER - TO SUPPORT THE CONGRESSIONAL PROGRESSIVE CAUCUS CENTER'S EFFORTS TO CONNECT THE FOREIGN POLICY COMMUNITY WITH THE BROADER PROGRESSIVE MOVEMENT THROUGH ADVOCACY AND EDUCATION.

FOREIGN POLICY FOR AMERICA - TO ESTABLISH AND SUPPORT A US-CHINA WORKING GROUP AND PROMOTE DIPLOMACY-FIRST APPROACHES TO ADDRESSING CHALLENGES WITH CHINA.

PROJECT ON GOVERNMENT OVERSIGHT - TO SUPPORT OVERSIGHT OF NUCLEAR MODERNIZATION, THE MILITARY-INDUSTRIAL COMPLEX, AND CHINA THREAT INFLATION.

HERBERT SCOVILLE JR. PEACE FELLOWSHIP - TO SUPPORT FELLOWS WORKING ON INTERNATIONAL PEACE AND SECURITY ISSUES AT LEADING WASHINGTON, DC-BASED ORGANIZATIONS.

FOUNDATION FOR A CIVIL SOCIETY - TO EDUCATE POLICYMAKERS AND THE MEDIA ABOUT THE NEED FOR RESTORING TIGHT CONSTRAINTS ON IRAN'S NUCLEAR PROGRAM AND REDUCING THE RISK OF WAR.

THE HENRY L. STIMSON CENTER - TO SUPPORT ANALYSIS OF NORTH KOREA, ITS NUCLEAR AND MISSILE PROGRAMS, AND POLICY OPTIONS TO REDUCE TENSIONS, AS WELL AS OUTREACH TO POLICYMAKERS AND THE MEDIA.

WOMEN OF COLOR ADVANCING PEACE, SECURITY AND CONFLICT TRANSFORMATION - TO SUPPORT THE ORGANIZATIONS IN SOLIDARITY (OIS) INITIATIVE AND ADDITIONAL WCAPS' PROGRAMMING. NATIONAL COMMITTEE ON NORTH KOREA - TO SUPPORT THE NATIONAL COMMITTEE ON NORTH KOREA'S (NCNK) OUTREACH TO POLICYMAKERS AND THE PUBLIC ON NORTH KOREA-RELATED ISSUES, AS WELL AS NCNK'S HUMANITARIAN ADVOCACY PROMOTING DIPLOMACY BETWEEN THE UNITED STATES AND NORTH KOREA.

PHYSICIANS FOR SOCIAL RESPONSIBILITY - TO SUPPORT THE #DEMANDACCESS CAMPAIGN AND THE MOBILIZATION OF PSR'S CHAPTERS IN SUPPORT OF NUCLEAR POLICY PRIORITIES.

WOMEN'S INTERNATIONAL LEAGUE FOR PEACE AND FREEDOM - TO INVESTIGATE THE NEXUS BETWEEN NUCLEAR WEAPONS, FOSSIL FUEL EXTRACTION, AND SYSTEMS OF OPPRESSION AND STATE Name of the organization

PLOUGHSHARES FUND INC

Employer identification number
94-2764520

VIOLENCE.

NUCLEAR WATCH NEW MEXICO - TO SUPPORT THE WEAPONS WATCH PROJECT THAT SCRUTINIZES

NUCLEAR WEAPONS PROGRAMS, PROVIDES ANALYSIS TO MEDIA, POLICYMAKERS, AND

NONGOVERNMENTAL COLLEAGUES, AND ADVOCATES AGAINST NUCLEAR WEAPONS MODERNIZATION AND
FOR NUCLEAR WEAPONS SPENDING REDUCTIONS..

TRI-VALLEY COMMUNITIES AGAINST A RADIOACTIVE ENVIRONMENT - TO SUPPORT TRI-VALLEY CARES' NATIONALLY RELEVANT WATCHDOG ACTIVITIES AT LAWRENCE LIVERMORE NATIONAL LABORATORY (LLNL).

WOMEN CROSS DMZ - TO SUPPORT WOMEN CROSS DMZ'S WORK ADVOCATING FOR PEACE AND DENUCLEARIZATION ON THE KOREAN PENINSULA IN CONGRESS AND THE PUBLIC SPHERE.

COMMON DEFENSE CIVIC ENGAGEMENT - TO EXPAND THE CLIMATE JUSTICE PROGRAM TO INCLUDE A FOCUS ON NUCLEAR WEAPONS POLICY AND ITS INTERSECTION WITH CLIMATE ADVOCACY.

SECURE FAMILIES FOUNDATION - TO TRAIN AND EQUIP MILITARY PARTNERS AND FAMILY MEMBERS
TO SPEAK DIRECTLY WITH POLICY MAKERS ABOUT THE IMPORTANCE OF NUCLEAR
NONPROLIFERATION AND DEMILITARISM.

THE HENRY L. STIMSON CENTER - TO SUPPORT ACTIVITIES OF THE 'MIDDLE EAST VOICES' INITIATIVE.

BULLETIN OF THE ATOMIC SCIENTISTS - TO SUPPORT THE BULLETIN OF THE ATOMIC SCIENTISTS' COVERAGE OF NUCLEAR WEAPONS ISSUES AS WELL AS REGULAR DIGITAL EVENTS AND PUBLIC ACCESS TO A SPECIAL ISSUE OF ITS BIMONTHLY MAGAZINE.

INKSTICK MEDIA - TO SUPPORT THE FOREIGN AFFAIRS, DEFENSE, AND NATIONAL SECURITY BLOG INKSTICK.

INTERNATIONAL CRISIS GROUP - TO SUPPORT RESEARCH, ANALYSIS, AND OUTREACH RELATED TO THE TRIGGER LIST.

QUINCY INSTITUTE FOR RESPONSIBLE STATECRAFT - TO SUPPORT QUINCY'S WORK TO PROMOTE MILITARY RESTRAINT AND INCREASED DIPLOMACY IN US FOREIGN POLICY TOWARDS IRAN, NORTH KOREA, AND CHINA.

WASHINGTON PHYSICIANS FOR SOCIAL RESPONSIBILITY - TO SUPPORT WPSR'S NUCLEAR WEAPONS ABOLITION PROGRAM THROUGH THE SUPPORT OF THE STAFF COORDINATING THE WASHINGTON AGAINST NUCLEAR WEAPONS COALITION, BUILDING OPPOSITION TO US NUCLEAR MODERNIZATION PLANS, AND INFLUENCING US NUCLEAR WEAPONS AND NONPROLIFERATION POLICY IN WASHINGTON STATE.

TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA - TO SUPPORT FIONA CUNNINGHAM'S RESEARCH INTO THE REASONS FOR THE CHINESE NUCLEAR EXPANSION, RELATED PUBLICATIONS, AND ENGAGEMENT WITH THE MEDIA.

COUNCIL FOR A LIVABLE WORLD - TO SUPPORT THE COUNCIL'S EFFORTS TO INFLUENCE US NUCLEAR WEAPONS AND NONPROLIFERATION POLICY AND SUPPORT DIPLOMACY THROUGH POLICY ANALYSIS, EDUCATION, AND MEDIA OUTREACH.

MARSHALLESE EDUCATIONAL INITIATIVE - TO EDUCATE AND AMPLIFY PACIFIC YOUTH AND THE PUBLIC ABOUT NUCLEAR LEGACIES, NUCLEAR-RELATED POLICIES INCLUDING THE TREATY ON THE PROHIBITION OF NUCLEAR WEAPONS (TPNW) AND THE COMPACT OF FREE ASSOCIATION (COFA), AND PROMOTING NUCLEAR AND ENVIRONMENTAL JUSTICE.

NUCLEAR THREAT INITIATIVE - TO SUPPORT THE GENDER CHAMPIONS IN NUCLEAR POLICY (GCNP) INITIATIVE.

TRUSTEES OF PRINCETON UNIVERSITY - TO SUPPORT RESEARCH INTO NUCLEAR HARMS AGAINST
BOTH PAST AND FUTURE DOWNWINDER COMMUNITIES, AS WELL AS RELATED PUBLIC OUTREACH AND
MEDIA ENGAGEMENT.

NIAC ACTION - TO SUPPORT ADVOCACY EFFORTS TO PROMOTE DIPLOMACY AND PREVENT WAR.

RETHINK MEDIA - TO SUPPORT RETHINK MEDIA'S EFFORTS TO ENHANCE THE MEDIA SKILLS OF THE NUCLEAR POLICY COMMUNITY AND BUILD SUPPORT FOR BETTER NUCLEAR POLICY IN THE UNITED STATES.

FEDERATION OF AMERICAN SCIENTISTS - TO SUPPORT THE NUCLEAR INFORMATION PROJECT, ITS POLICYMAKER AND MEDIA OUTREACH, AND ITS ANALYSIS OF NUCLEAR WEAPONS PROGRAMS, BUDGETS, AND CURRENT AND FUTURE POLICIES.

MOVEON.ORG CIVIC ACTION - TO SUPPORT CAMPAIGNING AND ORGANIZING ACTIVITIES IN ORDER TO ADVANCE AN INCLUSIVE AND PROGRESSIVE FOREIGN POLICY VISION.

NEW VENTURE FUND - TO SUPPORT A PILOT PROJECT OF HORIZON 2045 IN WHICH IT ADVANCES UNDERSTANDING OF STRATEGIC FORESIGHT TOOLS ACROSS THE NUCLEAR RISK REDUCTION COMMUNITY.

PLOUGHSHARES FUND INC

WIN WITHOUT WAR EDUCATION FUND - TO MOBILIZE A BROAD BASE OF PUBLIC SUPPORT FOR PROGRESSIVE POLICIES INCLUDING NUCLEAR NONPROLIFERATION, DENUCLEARIZATION, AND DIPLOMACY WITH NUCLEAR-ARMED STATES.

J STREET - TO ENGAGE AND MOBILIZE J STREET'S NATIONAL NETWORK TO SUPPORT US EFFORTS

TO PEACEFULLY PREVENT IRAN FROM OBTAINING A NUCLEAR WEAPON AND A RETURN TO A

SUCCESSFUL DIPLOMACY-FIRST APPROACH TO IRAN.

UNION OF CONCERNED SCIENTISTS - TO SUPPORT THE UNION OF CONCERNED SCIENTISTS'

TARGETED FIELD ORGANIZING AND DC-FOCUSED EFFORTS TO REDUCE THE SIZE AND ROLE OF THE

US NUCLEAR ARSENAL AND LOWER THE RISK OF NUCLEAR WAR.

VET VOICE FOUNDATION - TO ADVANCE A PROGRESSIVE NUCLEAR AND FOREIGN POLICY AGENDA.

FRIENDS COMMITTEE ON NATIONAL LEGISLATION - TO SUPPORT ADVOCACY EFFORTS IN CONGRESS TO PROMOTE SAFER NUCLEAR POLICIES AND DIPLOMACY WITH IRAN, NORTH KOREA, RUSSIA, AND CHINA.

FOREIGN POLICY FOR AMERICA - TO PROMOTE DIPLOMACY-FIRST APPROACHES ON KEY NUCLEAR POLICY AND REGIONAL SECURITY ISSUES.

ARMS CONTROL ASSOCIATION - TO SUPPORT THE ARMS CONTROL ASSOCIATION'S RESEARCH,
PUBLIC EDUCATION, MEDIA OUTREACH, POLICY ADVOCACY, AND CONGRESSIONAL ENGAGEMENT WORK
ON PRESSING NUCLEAR POLICY ISSUES.

GLOBAL ZERO ACTION - TO SUPPORT A NEW ORGANIZATIONAL MODEL AND ORGANIZING TOWARD NUCLEAR POLICY PRIORITIES.

PRESIDENT AND FELLOWS OF MIDDLEBURY COLLEGE - TO SUPPORT A FELLOWSHIP PROGRAM FOR NUCLEAR EXPERTS IMPACTED BY THE INVASION OF UKRAINE.

UNION OF CONCERNED SCIENTISTS - TO CREATE AND LAUNCH AN INTERACTIVE MAP OF THE UNITED STATES NUCLEAR WEAPONS COMPLEX AND ITS HUMANITARIAN IMPACTS.

INTERNATIONAL CIVIL SOCIETY ACTION NETWORK - TO SUPPORT ICAN'S INNOVATIVE PEACE FUND PROGRAM ACTIVITIES IN SOUTH ASIA AND THE MIDDLE EAST.

GLOBAL ZERO - TO SUPPORT A NEW ORGANIZATIONAL MODEL AND ORGANIZING TOWARD NUCLEAR POLICY PRIORITIES.

### **SCHEDULE I, PART II, PURPOSES (CONTINUED)**

THE STIMSON CENTER - TO SUPPORT ANALYSIS AND OUTREACH TO POLICYMAKERS AND THE MEDIA ON ISSUES RELATED TO NORTH KOREA, ITS NUCLEAR AND MISSILE PROGRAMS, AND POLICY OPTIONS TO PROMOTE DIPLOMACY.

TRI-VALLEY COMMUNITIES AGAINST A RADIOACTIVE ENVIRONMENT - TO SUPPORT TRI-VALLEY CARES' EFFORTS TO ANALYZE AND IMPACT US NUCLEAR WEAPONS POLICY, WITH A PARTICULAR FOCUS ON PROJECTS BASED AT LAWRENCE LIVERMORE NATIONAL LABORATORY.

TRUSTEES OF PRINCETON UNIVERSITY - TO SUPPORT RESEARCH INTO NUCLEAR HARM AGAINST BOTH PAST AND FUTURE DOWNWINDER COMMUNITIES, AND ASSOCIATED PUBLIC OUTREACH AND MEDIA ENGAGEMENT.

UNION OF CONCERNED SCIENTISTS - TO SUPPORT THE UNION OF CONCERNED SCIENTISTS'
GRASSROOTS AND DC-FOCUSED EFFORTS TO REDUCE THE SIZE AND ROLE OF THE US NUCLEAR

ARSENAL AND LOWER THE RISK OF NUCLEAR WAR.

UNION OF CONCERNED SCIENTISTS - TO SUPPORT THE UNION OF CONCERNED SCIENTISTS' TARGETED FIELD ORGANIZING AND DC-FOCUSED EFFORTS TO REDUCE THE SIZE AND ROLE OF THE US NUCLEAR ARSENAL AND LOWER THE RISK OF NUCLEAR WAR.

VET VOICE FOUNDATION - TO ADVANCE A PROGRESSIVE NUCLEAR AND FOREIGN POLICY AGENDA.

WASHINGTON PHYSICIANS FOR SOCIAL RESPONSIBILITY - TO SUPPORT WPSR'S NUCLEAR WEAPONS ABOLITION PROGRAM THROUGH SUPPORT OF THE FULL-TIME ORGANIZER COORDINATING THE WASHINGTON AGAINST NUCLEAR WEAPONS COALITION, BUILDING OPPOSITION TO US NUCLEAR MODERNIZATION PLANS, AND INFLUENCING US NUCLEAR WEAPONS AND NONPROLIFERATION POLICY IN WASHINGTON STATE.

WHITMAN COLLEGE BOARD OF TRUSTEES - TO DEVELOP AND DISSEMINATE EDUCATIONAL MODULES
THAT DRAW CONNECTIONS BETWEEN COLONIALISM, RACISM, FEMINISM, ENVIRONMENTALISM, AND
NUCLEAR WEAPONS WITH THE AIM OF DECOLONIZING THE NUCLEAR CURRICULUM.

WIN WITHOUT WAR EDUCATION FUND - TO MOBILIZE A BROAD BASE OF PUBLIC SUPPORT FOR A PROGRESSIVE POLICIES INCLUDING NUCLEAR NONPROLIFERATION, DENUCLEARIZATION, AND DIPLOMACY WITH NUCLEAR-ARMED STATES.

WOMEN CROSS DMZ - TO SUPPORT WOMEN CROSS DMZ'S WORK ADVOCATING FOR PEACE AND DENUCLEARIZATION ON THE KOREAN PENINSULA IN CONGRESS AND THE PUBLIC SPHERE.

WOMEN OF COLOR ADVANCING PEACE, SECURITY AND CONFLICT TRANSFORMATION - TO SUPPORT THE ORGANIZATIONS IN SOLIDARITY (OIS) INITIATIVE.

WOMEN'S ACTION FOR NEW DIRECTIONS - TO SUPPORT WAND'S EFFORTS TO INFLUENCE US

NUCLEAR WEAPONS AND NONPROLIFERATION POLICY THROUGH POLICY ANALYSIS, EDUCATION, AND

MEDIA OUTREACH AND MOBILIZATION OF WILL MEMBERS TO ADVOCATE EFFECTIVELY FOR THOSE

POLICIES.

WOMEN'S ACTION FOR NEW DIRECTIONS EDUCATION FUND - TO SUPPORT WAND'S OUTREACH AND EDUCATION ON US NUCLEAR WEAPONS AND NONPROLIFERATION POLICY AS WELL AS TRAINING OF WILL MEMBERS AND WAND COMMUNITY LEADERS ABOUT CURRENT NUCLEAR WEAPONS POLICIES AND EFFECTIVE TECHNIQUES FOR ENGAGING THE MEDIA, PUBLIC, AND POLICYMAKERS.

WORKING FAMILIES ORGANIZATION - TO SUPPORT THE WORKING FAMILIES ORGANIZATION'S PEACE AND SECURITY DEPARTMENT'S EFFORT TO CULTIVATE THE LEADERSHIP OF VETERANS AND UPLIFT A POPULAR VISION OF MULTI-RACIAL DEMOCRACY, GLOBAL JUSTICE FOR THE MANY, AND A TRANSFORMED UNITED STATES.

### **SCHEDULE I, PART II, LINE 1(B)**

EINS FOR ALL ORGANIZATIONS LISTED ARE AVAILABLE UPON REQUEST.

#### SCHEDULE F, PART II, PURPOSES

STICHTING VREDESBEWEGING PAX NEDERLAND - TO SUPPORT MEDIA, INDUSTRY, AND ACTIVIST OUTREACH FROM PAX'S DON'T BANK ON THE BOMB PROGRAM.

ASIA-PACIFIC LEADERSHIP NETWORK FOR NUCLEAR NON-PROLIFERATION AND DISARMAMENT - TO ENGAGE AND PROMOTE THE VOICES OF PACIFIC ISLAND COMMUNITIES AND INDIGENOUS PEOPLES OF OCEANIA, AND RAISE AWARENESS OF THE IMPACT OF CLIMATE, ENVIRONMENTAL, AND NUCLEAR POLICY CHANGE ON THE REGION.

STOCKHOLM INTERNATIONAL PEACE RESEARCH INSITUTUTE - TO SUPPORT WORKSHOPS FOR

REPRESENTATIVES FROM NORDIC STATES ABOUT NATO'S NUCLEAR WEAPONS POLICY, A SIPRI PUBLICATION, AND RELATED ENGAGEMENT WITH THE MEDIA.

INTERNATIONAL CAMPAIGN TO ABOLISH NUCLEAR WEAPONS - TO SUPPORT ICAN'S WORK TO INCREASE MEMBERSHIP IN AND ADVOCATE FOR THE TREATY ON THE PROHIBITION OF NUCLEAR WEAPONS (TPNW), CENTER THE STORIES OF IMPACTED COMMUNITIES, AND CONDUCT ACTIVITIES AND MEDIA OUTREACH RELATED TO NUCLEAR WEAPONS ISSUES AND THE HUMANITARIAN CONSEQUENCES OF NUCLEAR WEAPONS.

ODESSA CENTER FOR NONPROLIFERATION - TO SUPPORT A 'SUMMER SCHOOL' FOR EMERGING NUCLEAR EXPERTS FROM THE BLACK SEA REGION AND BEYOND.

BOURSE & BAZAAR FOUNDATION - TO SUPPORT THE PRODUCTION AND DISSEMINATION OF A POLICY PAPER EXPLORING THE IMPACT OF CHINA'S MORE PROMINENT ROLE IN MIDDLE EAST DIPLOMACY ON REGIONAL NUCLEAR PROLIFERATION RISKS.

THE VOICES PROJECT - TO SUPPORT THE SYRIA CAMPAIGN'S ADVOCACY WORK IN RESPONSE TO THE EARTHQUAKE, AS WELL AS ADVOCATING FOR CREATING AND GOVERNING AN INTERNATIONAL MECHANISM TO REVEAL THE FATE OF THE DISAPPEARED IN SYRIA.

EUROPEAN COUNCIL ON FOREIGN RELATIONS - TO SUPPORT CONVENINGS AND ACTIVITIES OF THE WOMEN OF MIDDLE EAST NETWORK ON PEACEBUILDING (WOMENP) AND RELATED DIALOGUE TRACKS.

BRITISH AMERICAN SECURITY INFORMATION COUNCIL - TO SUPPORT THE EMERGING VOICES NETWORK'S PROGRAMMING AND RECRUITMENT EFFORTS.