

PEACE SECURITY

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**PLOUGHSHARES FUND**

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25 YEARS





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25 Years of Ploughshares Heroes

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**PEACE**

**SECURITY**

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**PLOUGHSHARES FUND**

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**25 YEARS**

**ANNUAL REPORT 2005-2006**

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'86	'87	'88	'89	'90
'91	'92	'93	'94	'95
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'01	'02	'03	'04	'05

Ploughshares Fund supports the people who are working to prevent the spread and use of nuclear, biological, chemical and other weapons of war and to prevent conflicts that could lead to the use of weapons of mass destruction. Ploughshares is supported by gifts from individuals, families and foundations.

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# Letter from the Chairman and Executive Director

Dear Friends,

Twenty-five years ago, at the age of 62, Sally Lilienthal took a bold leap of faith. Gathering a small group of friends in her living room—including a Nobel Laureate in physics who had worked on the Manhattan Project, two former government officials and leaders from San Francisco’s philanthropic community—Sally challenged them to join her in addressing “the most critical issue in the world,” the threat of nuclear annihilation.

Her innovative idea was to establish a mechanism, a public foundation, that would do the legwork needed to identify the smartest people with the most promising solutions for averting nuclear war, and direct funds to them that would be donated by hundreds of concerned individuals.

From the very beginning, Ploughshares Fund staked out a different path. The new and complex challenges of the Cold War and the horrifyingly destructive nature of nuclear weapons required fresh thinking. And so Sally and Ploughshares’ original board members identified key values and practices that would be unique to Ploughshares Fund and that would guide our grantmaking as we grew in size and influence.

Today, we have awarded more than \$50,000,000 in total, \$4,166,282 in the last year alone. The practices that we have refined, expanded and perfected over the years now define our distinct brand of strategic philanthropy.

For example, Ploughshares Fund believes that *policy matters*. We judge projects on their ability to shape national, international and, at times, state policies. Second, we *think and act globally*—no country or region is off limits if we can identify key individuals and organizations to support. Third, Ploughshares Fund understands the importance of *building capacity for the long haul*. Our aim is to foster the creation of organizations, institutions and communities that can outlast our initial support and continue to make contributions to peace and security for as long as they are needed. Fourth, we *move quickly and are flexible*. Fifth, Ploughshares Fund supports a *variety of approaches*. Not just research or technical analyses, though these are important, but also organizing, diplomacy, media and lobbying. Sixth, we *embrace risk*. If our due diligence tells us that a project has potential, we invest in it. Sometimes we succeed and sometimes we fail, but the payoff for those times we win is well worth the risk. Ploughshares Fund was practicing “venture philanthropy” long before the term was coined.

Above all, Ploughshares Fund believes in investing in people. Entrepreneurial people with practical ideas, the willingness to try something novel and the staying power to fight against seemingly overwhelming odds. People who make our world safer and our families more secure by their individual and collective actions. People who, by our definition, are heroes.

With Ploughshares Fund support over the past twenty-five years, the heroes you will meet in the following pages have

Naila Bolus, Executive Director  
Roger Hale, Chairman



been able to accomplish extraordinary feats: scientific proof that a nuclear test ban could be verified; the removal of nuclear weapons from post-Soviet Belarus, Kazakhstan and Ukraine; the effective shut-down of the U.S. nuclear weapons complex; extension of the global regime to stop the proliferation of nuclear weapons; development of an independent, scientific community of peace and security experts in Pakistan; the first international treaty banning an entire class of weapons of mass destruction; resolution of deadly conflicts and in some cases preventing them altogether; and the establishment of the first Russian “think tank” with influence over the public, media and policymakers.

We think you will be inspired by these stories, perhaps most of all by the story of Sally herself, one person who proved that vision, determination and a powerful idea can indeed change

the world. Now, with our twenty-five years of accomplishments and thanks to your continued commitment, Ploughshares Fund is poised to build on the foundation of Sally’s leadership and carry her legacy forward. The urgency of these times demands nothing less.

Yours with determination,

Naila Bolus, Executive Director

Roger Hale, Chairman



Founder and Inspiration  
**Sally Lilienthal**  
1919-2006

At the age of 62, when many people begin thinking about slowing down and retiring, Sally Lilienthal set her sights on something big: eliminating the threat posed by nuclear weapons.

A longtime activist in the fields of civil and human rights, Sally realized that while nuclear weapons were humankind's greatest threat, they also represented a tremendous challenge to people who wanted to participate in their elimination. Thus, in 1981, refusing to give in to those who said it couldn't be done, Sally founded the Ploughshares Fund as a means of involving everyone in developing solutions to the nuclear dilemma, and dedicated the rest of her life to building what has become one of the largest grantmaking foundations in the U.S. committed exclusively to peace and security funding.

Sally's name has become legend among those who yearn for a safer, more peaceful world where relations between people and nations are based on cooperation and respect for international

law, rather than on fear and the threat of annihilation. With her special talent for bringing people together and motivating them to act, Sally traversed the country and the globe almost until the end of her life, expanding Ploughshares Fund's community of allies and supporters. Among Ploughshares' accomplishments of which Sally was most proud was funding the creation of new indigenous organizations in Russia, China and beyond, which have formed a global network of scientists, activists and civil society leaders working in common cause for a safer world.

Ploughshares Fund was one of the original funders of the international campaign to ban landmines, which yielded a global treaty in record time and the Nobel Peace Prize for its advocates. A leader of that movement wrote, upon hearing of Sally's passing, "She was the reason I became a global advocate for peace, security and human rights. She left a beautiful and eternal legacy through the people she touched around the world."

In these pages are stories about some of those people and what they have achieved. Each story carries the indelible mark of Sally's vision and commitment. One of our "heroes" wrote, "The work of ridding the world of nuclear weapons is a grim and difficult business, given the determination of the nuclear establishment to perpetuate itself and the human horrors that nuclear weapons have created. But I remember Sally with a twinkle and smile in her eye, showing that her determination was not only for a negative—getting rid of the horrors of war—but also for a joyful life.... She was a leader among role models."



**Thomas B. Cochran**, Ploughshares Hero

“Technological advances of the past decade have allowed us to better calculate the effects of the use of nuclear weapons. This has been a powerful educational tool, and it has made it easier to make the case that we should strive for deep reductions in global nuclear arsenals.”

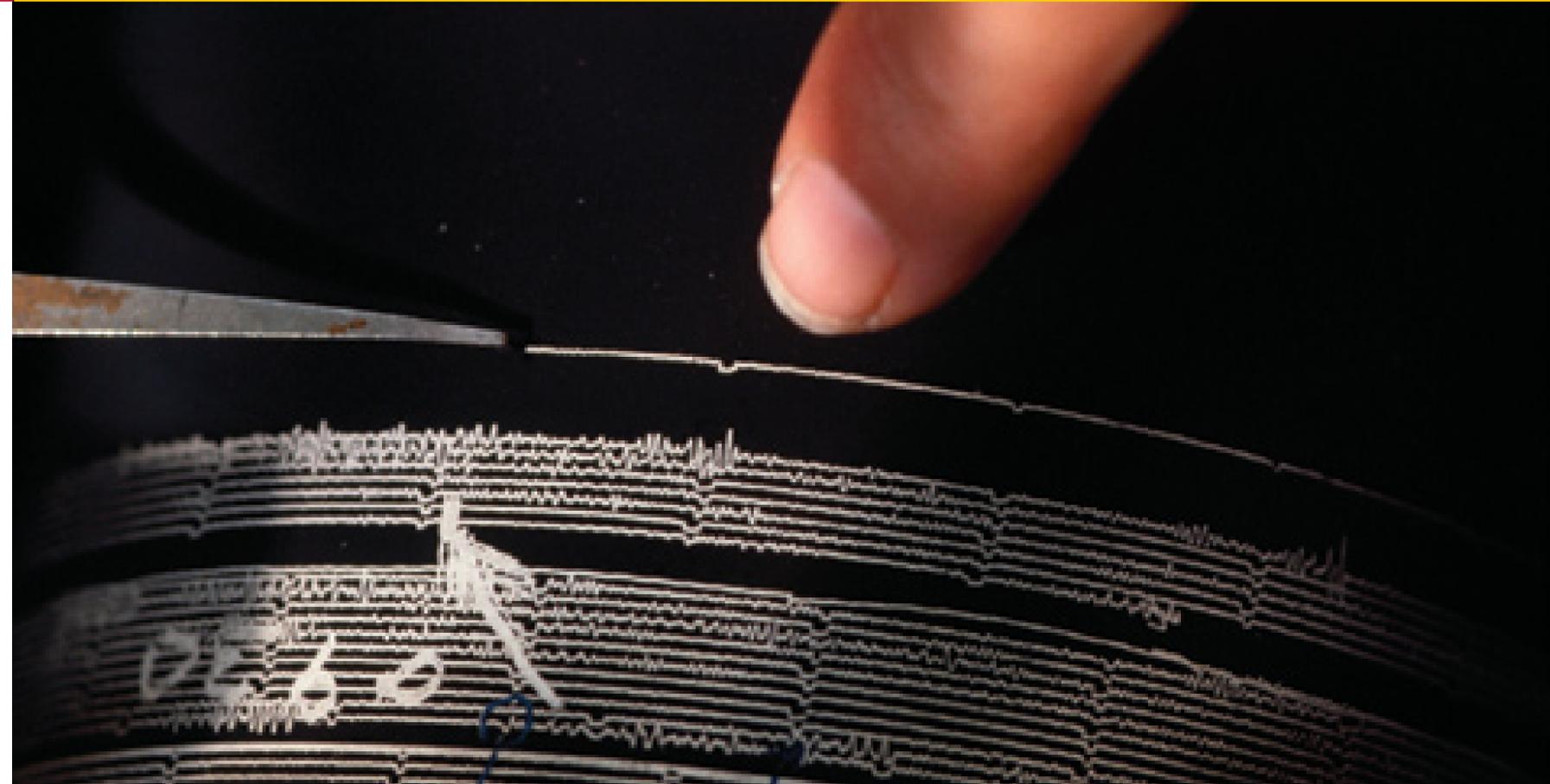
Tom Cochran is known as the man who proved that a nuclear test ban could be scientifically verified, clearing the way for a nuclear test ban treaty. For Ploughshares Fund, he is proof that non-governmental actors can move peace and security forward in ways that governments often cannot. And he proved that a fledgling public foundation can have a profound impact if it is willing to take risks.

In May, 1986, amid impasses in U.S.–Soviet arms reduction talks, Tom Cochran negotiated a simple two-page agreement with the Soviet Academy of Sciences to install seismic monitoring equipment near the nuclear test sites in both countries. All they needed was money to transport themselves and their equipment to the Soviet test site at Semipalatinsk. Virtually within a day of their request, Ploughshares Fund gave an emergency grant—its largest ever at that time—to the Natural Resources Defense Council (NRDC), where Cochran still directs the nuclear program. One month later, monitoring began.

“This project could have been defeated in 1,000 different ways,” he said later, “but in fact it’s succeeded beyond our wildest dreams.” The U.S. and Russia have observed a testing moratorium since 1992, and signed the Comprehensive Test Ban Treaty in 1996. The U.S. Senate has yet to ratify the treaty.

Ploughshares Fund advisor Frank von Hippel, who was instrumental in organizing the workshop in Moscow where the NRDC–Soviet Academy of Sciences agreement was reached, says that what sets Cochran apart is “his willingness to take on what other people wouldn’t bother with because they think it’s hopeless.” With Ploughshares Fund support ever since, Cochran has challenged the world’s reliance on nuclear weapons with a combination of scientific knowledge, creativity and, in von Hippel’s words, “extraordinary chutzpah.”

The push toward developing new nuclear weapons is likely to increase pressure to resume nuclear testing. Ploughshares Fund is supporting efforts to highlight the folly of building a new generation of nuclear weapons and to undercut arguments for nuclear testing.



Despite progress in securing and reducing global nuclear stockpiles, the risk of nuclear terrorism remains unacceptably high. Ploughshares Fund grantees are identifying the most urgent threats and advocating for funding and accelerated action to secure nuclear weapons and materials worldwide.

**Gloria Duffy**, Ploughshares Hero

“At that time we felt that proliferation was being turned back. Ukraine, Kazakhstan and Belarus had agreed to give up their nuclear weapons, as had South Africa. Now the tide is going in the opposite direction. We must pursue some of the creative strategies that have worked for us before, as well as new initiatives, to deal effectively with this threat.”



When Gloria Duffy joined Ploughshares Fund as its first Executive Director in 1982, she could not have known that her world—the world of arms control and nuclear weapons—would be turned on its head, and that she would play a leading role in setting it right again.

A decade later, as the implications of the collapse of the Soviet Union were becoming clear, Duffy was invited to join the incoming Clinton Administration as Deputy Assistant Secretary of Defense. She was given two assignments: convince newly independent countries of the former Soviet Union to give up their nuclear, biological and chemical weapons *with U.S. assistance*, and figure out how to make this new approach work.

Duffy is credited with negotiating historic agreements with Ukraine, Belarus and Kazakhstan to dismantle their nuclear arsenals, and with Russia to prevent the spread of its weapons, materials and know-how. Working cooperatively was some-

thing neither side was prepared for after decades in which U.S.–Soviet relations consisted of preparations to destroy each other. “Denuclearization could not have been accomplished by any other means, either by traditional diplomacy or with military force.” Like so many of the security threats the U.S. faces today, she adds.

Duffy has applied the same entrepreneurial approach to building a unique public-private partnership, the U.S. Civilian Research and Development Foundation (which she still chairs) to provide challenging civilian employment for former weapons scientists from the former Soviet Union, as well as from Iraq, Libya and now possibly Pakistan. “Looking back,” she says, “I realize that the can-do attitude I’ve brought to these challenges was developed in the early years at Ploughshares, and seeing that if you want to make something work, you can.”





Arjun Makhijani, Ploughshares Hero

“The global violence that nuclear weapons threaten can already be felt in the way that their production and testing have polluted our soil and milk and water.”

“In a real, practical sense,” says Arjun Makhijani, “the first arms control treaty was an environmental one.” Public protests in the 1950s about contamination of breast milk and babies’ teeth with strontium-90 were central to the 1963 Partial Test Ban Treaty. It is no surprise, then, that the near-total cessation of new nuclear weapons production in the U.S. over the past two decades has come largely in response to the people and organizations who have challenged the production and testing of nuclear weapons on the basis of the environmental devastation they cause.

Makhijani himself is a key reason these challenges have succeeded. A physicist whose Institute for Energy and Environmental Research conducts its own rigorous independent investigations into nuclear programs and their environmental liabilities, Makhijani has trained hundreds of activists who live in the shadows of nuclear weapons facilities, providing

them with everything from a basic grasp of nuclear physics to more advanced understandings needed to engage the weapons establishment with sound, scientific arguments.

“It is a remarkable fact of nuclear weapons history that every nuclear weapon state has first of all harmed its own people in the name of national security,” he says. From leaking underground waste tanks at Hanford in Washington, to radioactive tritium contaminating the Savannah River in South Carolina and Georgia, to new threats of environmental damage from reprocessing waste, Makhijani has documented the threats and questioned the standards used to measure risk. Most importantly, he has stood side by side with local groups who have worked to shut down the offending facilities and ensure that contaminated soil and waterways are cleaned up.

At the center of new calls for a nuclear energy “renaissance” are plans to resume the reprocessing of spent nuclear fuel, a technology that would heighten proliferation risks and harm the environment. Ploughshares-funded organizations are working to prevent the resumption of reprocessing and the retooling of the nuclear weapons production complex.



Restoring confidence in the global non-proliferation regime can only be accomplished by addressing both sides of the bargain—closing loopholes that make it possible for states to pursue nuclear weapons AND insisting that the nuclear weapon states live up to their treaty commitments. Ploughshares Fund supports initiatives to strengthen international treaties and the rule of law, including a global regime to maintain space as a weapon-free sanctuary.

**Rebecca Johnson**, Ploughshares Hero

“Whether the next decade is characterized by increased security or proliferation will depend on the development of an integrated approach based on disarmament, non-proliferation and human security, to reinforce the norms and laws of international regimes.”



Sally Lilienthal remembered Rebecca Johnson as the determined young woman who came to San Francisco in 1983 with suffragette ribbons in her hair—green, purple and white—seeking support for a lawsuit against President Reagan and the Joint Chiefs of Staff to stop the deployment of cruise missiles to the airbase at Greenham Common in the UK. Johnson remembers that meeting just as clearly. “Of all the people I approached, Sally Lilienthal was the best of the lot as she really seemed to understand what we were trying to do.” The \$2,500 given to the Greenham Women was one of Ploughshares’ earliest grants. Although the courts rejected the challenge, the lawsuit drew worldwide attention to the growing opposition to the U.S. nuclear posture.

In the intervening years, Johnson’s tactics have changed, but not her passion—the elimination of nuclear weapons. Known to international diplomats as “civil society’s ambassador,”

Johnson is a fixture at international arms control and disarmament talks around the world, producing insightful analyses and putting forth new strategies for strengthening international laws and agreements to promote nuclear non-proliferation and disarmament. Delegates and activists alike described her contributions toward the indefinite extension of the Nuclear Non-Proliferation Treaty in 1995 as “indispensable.” But, she says, “I am most proud of the role I played in helping to achieve a zero-yield Comprehensive Test Ban Treaty.”

Johnson recently returned to brief Ploughshares’ Board of Directors on the status of ongoing talks aimed at repairing and restoring confidence in the non-proliferation regime. Before she began, she presented Sally with a special gift—a piece of fence that had surrounded Greenham Common, wrapped in suffragette ribbons.



Vladimir Orlov, Ploughshares Hero

“Anyone who suggests that Russian civil society is weak and lacks influence should consider how much one Russian NGO has achieved in the last decade to promote the importance of nuclear security. And the main resource on this issue for Russian officials has not been government documents, but *Yaderny Kontrol*.”

As a journalist reporting on issues arising from the breakup of the Soviet Union, Vladimir Orlov quickly grasped how the collapse of central control was creating alarming knowledge gaps around the future of the Soviet nuclear arsenal. With no access to basic technical and policy information and no infrastructure to provide it, Russian policymakers faced a daunting challenge. What was needed was something completely new: an independent, highly credible information resource that could get information out quickly. Something similar to a western-style think tank, but uniquely Russian.

By 1995, when he approached Ploughshares Fund for seed funding for his nascent PIR Center (a Russian acronym for Policy Studies in Russia), the entrepreneurial Orlov had already assembled an outstanding staff and advisory board that included former military officials, set up a training program for new researchers in the field, launched studies on civilian

controls over Russia’s military and options for disposing of Russia’s vast stocks of chemical weapons, and begun publication of the monthly journal *Yaderny Kontrol* (Nuclear Control) in Russian and English. He was twenty-seven.

“Vladimir’s impact on the policymaking community in Russia has been extraordinary,” says his mentor, U.S. non-proliferation expert William Potter. Orlov pioneered seminars and trainings for Duma members, most of whom entered politics with no prior experience. *Yaderny Kontrol* has become required reading for anyone, inside the country and out, who is concerned about Russian nuclear weapons. “More than any other person,” says Potter, “he is responsible for making non-proliferation a public policy issue in Russia.”

Russia’s participation in efforts to resolve nuclear disputes with Iran and North Korea is pivotal, as well as in reducing global stockpiles of nuclear, biological and chemical weapons. Ploughshares Fund grants support the development of a strong and vibrant civil society in Russia that can help shape policy decisions in these areas.



Pakistan is considered by many to be the world's most dangerous nation, due to its weak infrastructure, radical Islamic factions, nuclear arsenal and ongoing conflict with neighboring India. Recent revelations of the extent of A.Q. Khan's proliferation network add urgency to efforts by Ploughshares Fund grantees to build stability and reduce nuclear dangers in South Asia.

Pervez Hoodbhoy, Ploughshares Hero

"Nuclear secrets will keep leaking as long as the bomb has value as a currency of power and prestige. Humanity's best chance of survival lies in creating taboos against nuclear weapons, and to work rapidly toward their global elimination."



For a decade before his country's first nuclear tests in 1998, Pakistani physicist Pervez Hoodbhoy was one of the lone voices speaking out about the dangers of nuclear weapons, and against leaders "who think that greatness comes from the power to commit mass murder." Alarmed by the lack of understanding throughout South Asia about nuclear weapons, Hoodbhoy and colleague Zia Mian produced *Pakistan and India Under the Nuclear Shadow*, and *Crossing The Lines: Kashmir, India and Pakistan*, the first Pakistani documentary films explaining the risks of having nuclear weapons and the need to settle the Kashmir dispute.

One of the most eminent Pakistani theoretical physicists of his generation, Hoodbhoy has worked tirelessly to refute the notion that the ability to produce nuclear weapons is a reflection of a country's scientific prowess. He blames the weakness of Pakistan's education system, in particular the paucity

of scientific teaching, for the public's misplaced pride in its nuclear status. With Ploughshares Fund support, he launched a weekly television program on popular science, aimed at Pakistani youth. "Historically, every society where science has flourished has necessarily submitted to the power of reason and has been radically transformed," he says.

"What makes Pervez truly exceptional," says a Pakistani colleague, "is that through all this time he has been among our most prominent, courageous and forceful public intellectuals. He has helped shape our national debates on the need for democracy, on the crisis of education, on the role of Islam, on the dangers of nuclear weapons and the importance of peace with India. He has shown that it is possible to stand up, speak up and to be heard."



**Amy Smithson**, Ploughshares Hero

“Poison gas is one of mankind’s worst abominations, so politics shouldn’t have entered into this debate. Thank goodness, sagacity and humanity prevailed, and this category of weapons is finally headed for history’s trash bin.”

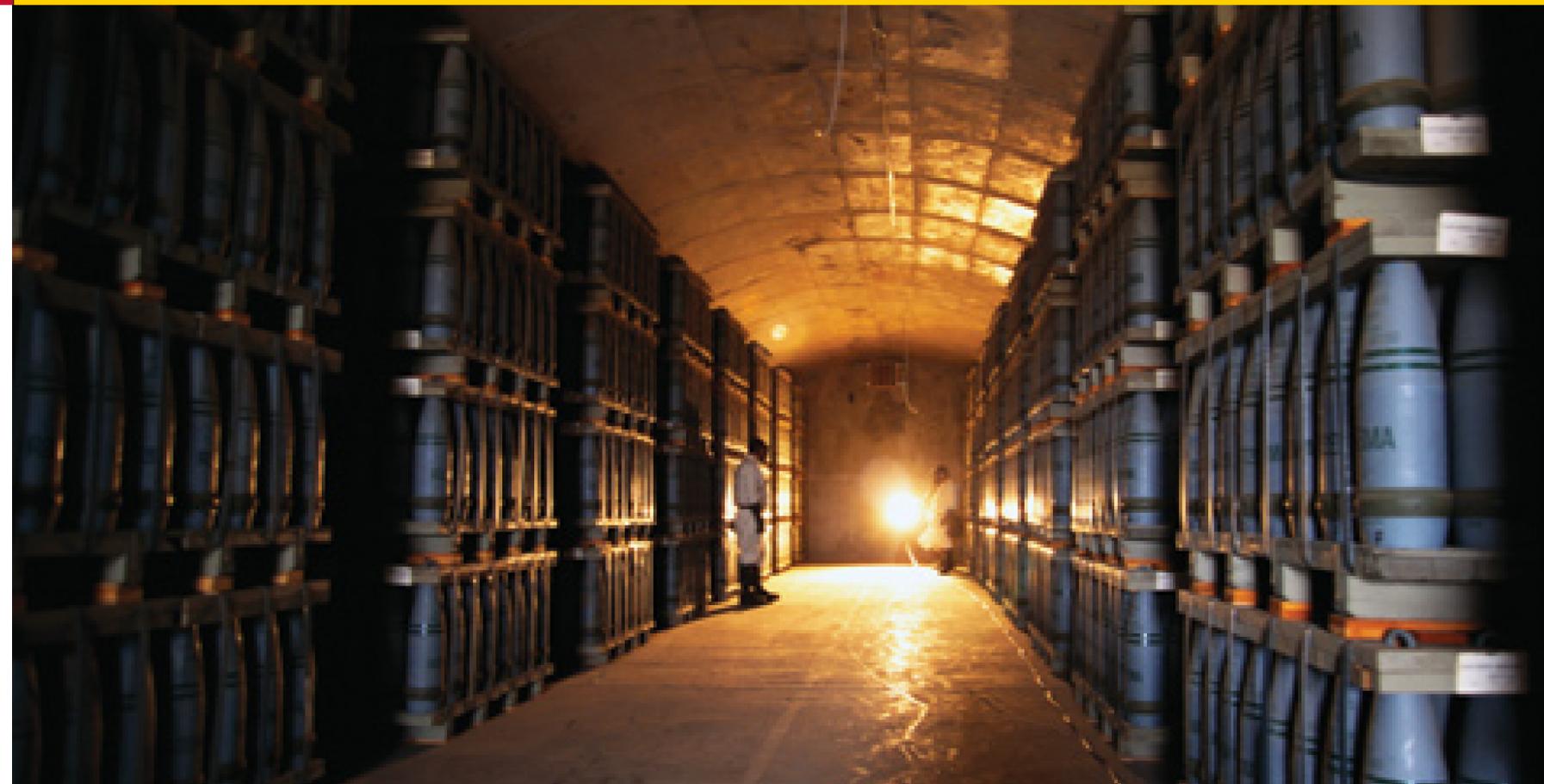
The Chemical Weapons Convention, the only treaty to ban an entire category of weapons of mass destruction, stands as one of the greatest achievements in the history of arms control. Getting the U.S. Senate to ratify the treaty and join the rest of the world in banning a universally abhorred weapon should have been a “no brainer,” according to chemical and biological weapons expert Amy Smithson. But as she watched in alarm in 1996, the treaty appeared to be falling victim to the worst in partisan politics on Capitol Hill.

“It should have sailed through the Senate. The Army was already going ahead with the unilateral destruction of the U.S. chemical arsenal,” she recalls. “Plus, it carried the strong endorsement of four important constituencies: the public, the intelligence community, the Pentagon and the chemical industry,” the latter thanks to her efforts to keep industry leaders engaged. With an emergency grant from Ploughshares

Fund, Smithson turned her full attention to briefing countless Senators and their staffs and, ultimately snatching victory from the jaws of Senator Jesse Helms. Moments before the vote was taken on April 24, Senator Joseph Biden (the ranking member of the Foreign Relations Committee) spoke from the Senate floor, thanking Smithson personally for her work. The *Washington Post* called her “a one-woman army” on behalf of the treaty.

The Chemical Weapons Convention has already resulted in over 15,000 metric tons of chemical weapon agents and 2.5 million chemical munitions destroyed; the world’s fastest growing international disarmament organization with 180 member states; and the most comprehensive verification regime in history. Amy Smithson can justifiably say that she has helped to make the world measurably safer.

Despite the success of the Chemical Weapons Convention in promoting a global norm against production and use, progress toward the goal of a world free of chemical weapons has slowed. Ploughshares Fund grants are supporting efforts to complete the task, and to promote a strong verification regime for the Biological Weapons Convention.



In a nuclear-armed world, deadly conflict anywhere threatens people everywhere. Although we have witnessed progress in conflict prevention and post-conflict peacebuilding, persistent fighting in Darfur, Afghanistan, Sri Lanka and elsewhere confounds efforts to build security. Through the Cowles Fund, Ploughshares supports the most promising initiatives to prevent, resolve and contain armed conflict.

Gareth Evans, Ploughshares Hero

“The evolution in just five years of ‘The Responsibility to Protect’ concept from a gleam in an obscure commission’s eye to what might now be described as a broadly accepted international norm is an extremely encouraging story.”



“It is a frustrating characteristic of conflict prevention work that if you succeed, nothing happens and no one notices,” says Gareth Evans, president of the International Crisis Group. This year the landmark Human Security Report documented just what it means when “nothing” happens—conflicts and violent deaths worldwide declined dramatically since the 1990s, largely due to the peacekeeping, peacebuilding and preventive diplomacy efforts carried out by the UN, and by nongovernmental organizations like Crisis Group.

With a hundred-plus staff, the majority of them deployed in conflict zones around the world, Evans ticks off places where Crisis Group’s involvement has helped create the conditions for peace in the past year alone—Somalia, Central Asia, Nepal, the Caucasus. As Australia’s Foreign Minister from 1988 to 1996, Evans crafted a peace initiative for Cambodia that ended that country’s long civil war, so he knows what’s possible when resources and political will are mobilized.

Since the Rwandan genocide in 1994, the world has agonized about the right to intervene to prevent a humanitarian crisis. Evans, supported by Ploughshares Fund advisor Lloyd Axworthy, former Canadian Foreign Minister, reframed the question. “We argued that it is not about the ‘right to intervene’ but about the ‘responsibility to protect’ that all sovereign states owe to their citizens. But if that state then proves unable to protect its population, or is itself the cause of the threat, the responsibility to protect those people shifts to the international community.” In just a few years, thanks to Evans’ advocacy, the idea has taken hold, embraced by the UN and reaffirmed this spring by the Security Council. The challenge now is generating the political will to act.

“Our common humanity demands that the Responsibility to Protect be a permanent item on the global agenda—as a matter not just of principle but of operational practice.”



**Edie Allen**, Ploughshares Hero

“Our only hope for a saner world lies in the non-government organizations and individuals that Ploughshares Fund supports. They work to prevent nuclear catastrophe. They develop cooperative approaches to armed conflict. They strive to preserve outer space as a shared, weapon-free sanctuary.”

“My bequest to Ploughshares Fund is part of my daughters’ inheritance, not a deduction from it,” wrote Edie Allen in 1998, informing Sally Lilienthal of her decision to include Ploughshares in her will. In the same letter she wondered, “Why don’t more people support Ploughshares Fund? I am convinced that if your good work was more widely known, you would have thousands more supporters.”

Then she did something truly extraordinary.

To encourage others to give as generously as possible, Allen made a pledge the following year to match any new or renewed gift of \$5,000 or more, on a dollar-for-dollar basis. So far, that unprecedented commitment has raised over three million dollars for the programs Ploughshares Fund supports, but more importantly, it has encouraged hundreds of people to step up and make highly significant gifts, including many new contributors who might not have otherwise given an

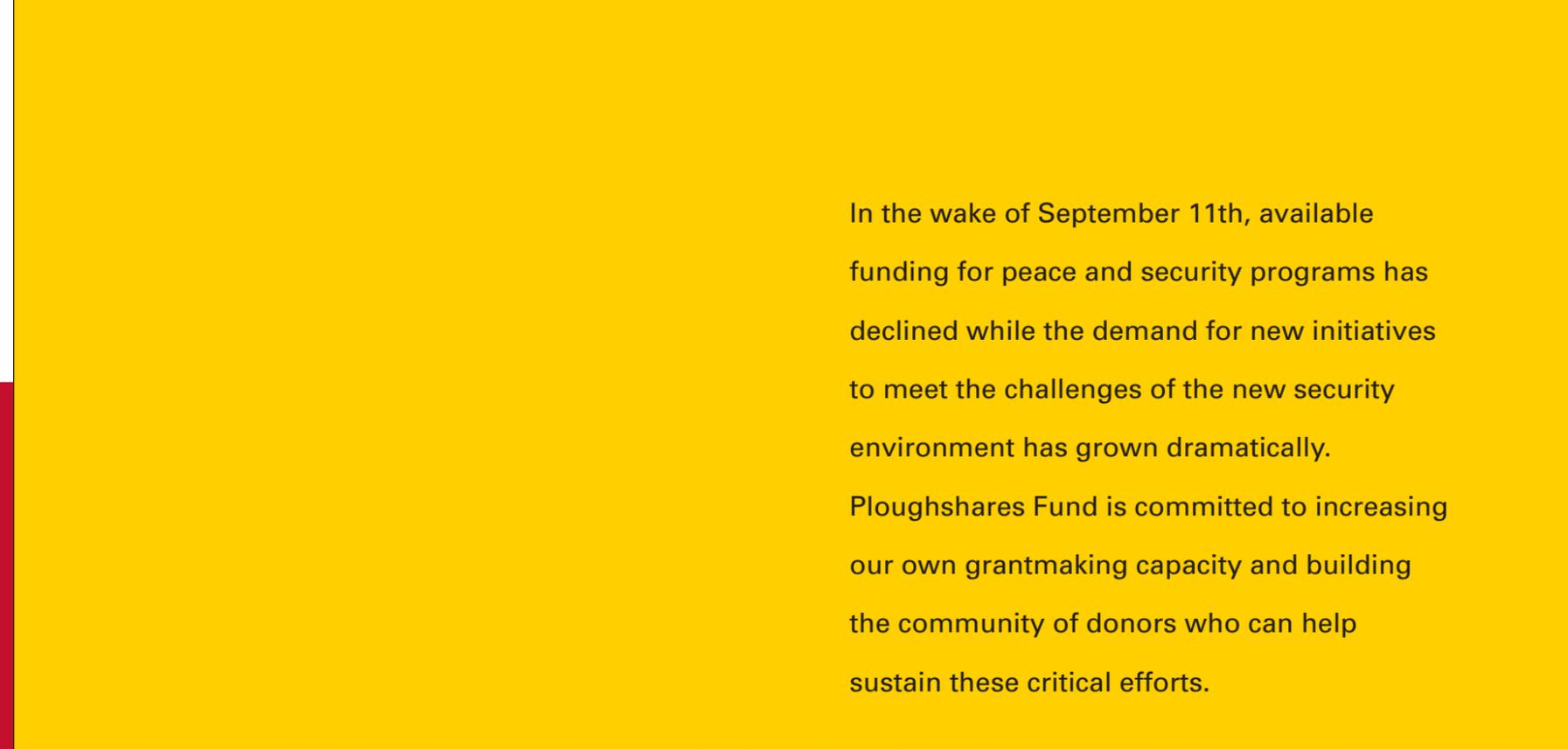
initial gift at that level. Until now, Allen’s role in leveraging these gifts has been completely anonymous.

“My career as a peace activist began twenty years ago,” she recalled, “when I walked into the office of WAND (Women’s Action for New Directions, a Ploughshares Fund grantee) in Boston with a baby in my arms, and volunteered to do whatever was needed—stuffing envelopes, fundraising, anything. I’d read *The Fate of the Earth* by Jonathan Schell while I was pregnant, and it had moved me to act in whatever way I could to eliminate the nuclear threat. One of the inspiring people I met at WAND was Naila Bolus, then a student intern, and now Ploughshares Fund’s executive director.”

“Over the years, I have received much advice about how to increase my assets, avoid taxes and bequeath a fortune to my heirs. But I want to give my children a different kind of legacy: a safer and more peaceful world.”

*You can still take advantage of Edie Allen’s challenge. Please contact Ploughshares Fund for more information.*

In the wake of September 11th, available funding for peace and security programs has declined while the demand for new initiatives to meet the challenges of the new security environment has grown dramatically. Ploughshares Fund is committed to increasing our own grantmaking capacity and building the community of donors who can help sustain these critical efforts.



# Grants 2005–2006

**20/20 VISION  
WASHINGTON, DC**  
For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**ACRONYM INSTITUTE  
LONDON, UNITED KINGDOM**  
To support policy analysis and advocacy with officials and diplomats to promote international security and disarmament, and for publication and dissemination of the international journal *Disarmament Diplomacy*. **\$80,000**

**ACTUAL FILMS  
SAN FRANCISCO, CA**  
For production and dissemination of the documentary film “Wonders Are Many: The Making of Doctor Atomic.” **\$15,000**

**GORDON ADAMS  
SILVER SPRING, MD**  
To support the publication of research on U.S. national security spending, including recommendations on how resources can be better allocated toward non-military tools of statecraft and diplomacy. **\$40,000**

**AGAPE FOUNDATION  
SAN FRANCISCO, CA**  
For the Annual Peace Prize ceremony honoring individuals who have made significant efforts toward promoting peace. **\$500**

**ALLIANCE FOR NUCLEAR ACCOUNTABILITY  
SEATTLE, WA**  
To support a national network of grassroots organizations addressing nuclear weapons programs and environmental clean-up at the nation’s nuclear weapons facilities. **\$40,000**  
For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**AMERICAN PUBLIC MEDIA  
ST. PAUL, MN**  
To support the production of a one-hour radio program and accompanying materials on the history and scope of the A.Q. Khan nuclear network. **\$50,000**

**AMERICAN UNIVERSITY  
WASHINGTON, DC**  
A grant to the Terrorism, Transnational Crime and Corruption Center for dialogue among experts in and outside government about the potential linkages between nuclear terrorism and organized crime in Eurasia. **\$25,000**

**AMERICANS FOR INFORMED DEMOCRACY  
NEW HAVEN, CT**  
For an organizing conference and town hall-style meetings at twenty-five universities to educate and engage students on issues of international security and proliferation. **\$25,000**

**ARMS CONTROL ADVOCACY COLLABORATIVE (AC<sup>2</sup>)  
WASHINGTON, DC**  
For a collaborative effort by fourteen Washington, DC organizations that work to advance a common non-proliferation and arms control agenda. Launched four years ago by Ploughshares Fund in partnership with the Steven and Michele Kirsch Foundation, AC<sup>2</sup> brings together the elements that are needed to have an impact on Capitol Hill—respected organizations representing tens of thousands of members, clearly defined objectives, and a strategy for speaking with a common voice, coordinated by a skilled full-time lobbyist. In 2005-06, on the heels of its successful campaign to persuade Congress to eliminate funding for the nuclear bunker buster from the federal budget, AC<sup>2</sup> led efforts to highlight the proliferation dangers of the U.S.-India nuclear cooperation deal, promote congressional support for direct engagement with North Korea and Iran and oppose funding for the Reliable Replacement Warhead. **\$82,805**

**ARMS CONTROL ASSOCIATION  
WASHINGTON, DC**  
To support media outreach, public education and policy advocacy as well as the publication of the seminal journal *Arms Control Today*. **\$160,000**  
For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**ASSOCIATION OF SPACE EXPLORERS–  
NEAR EARTH OBJECT COMMITTEE  
TIBURON, CA**  
A grant to former astronaut Rusty Schweikart to attend meetings in Vienna of the Science and Technology and Legal Subcommittees of the UN Committee on Peaceful Uses of Outer Space. **\$9,000**

**BAKU CENTER FOR FOREIGN TRADE SECURITY  
BAKU, AZERBAIJAN**  
To provide training and education to Azeri businesses on the topic of export controls. **\$14,730**

**BIOWEAPONS PREVENTION PROJECT  
GENEVA, SWITZERLAND**  
To support an international civil society monitoring network to track issues related to the Biological Weapons Convention and to monitor developments in the field of biological sciences. **\$40,000**

**BRITISH AMERICAN SECURITY INFORMATION COUNCIL  
WASHINGTON, DC**  
Two grants for research, media outreach and policy advocacy in the U.S. and Europe on nuclear non-proliferation and transatlantic security issues. **\$115,000**  
For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**BULLETIN OF THE ATOMIC SCIENTISTS  
CHICAGO, IL**  
To support publication and promotion of the *Bulletin of the Atomic Scientists* magazine and website, and for development of a public relations campaign in connection with the “Doomsday Clock.” **\$100,000**

**CALIFORNIA PEACE ACTION  
BERKELEY, CA**  
For public education, grassroots organizing, media outreach and lobbying to promote an alternative foreign and security policy framework based on democracy and human rights, nuclear disarmament and international cooperation. **\$35,000**

**CENTER FOR ARMS CONTROL AND NON-PROLIFERATION  
WASHINGTON, DC**  
For briefings, seminars and publications aimed at Members of Congress and their staffs addressing nuclear non-proliferation, the national security budget and biological weapons control. **\$70,000**  
For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**CENTER FOR JUSTICE AND PEACEBUILDING\*  
HARRISONBURG, VA**  
For a new “3D Security Campaign” that promotes diplomacy, development and defense through the use of strategic messaging, advocacy and coordination with other peace and security programs in civil society, military and policymaking communities. **\$39,867**

\*A grant from the Cowles Fund. See page 35.

**CENTER FOR POLICY STUDIES IN RUSSIA  
MOSCOW, RUSSIA**  
For outreach to Russian Duma members on non-proliferation issues, policy analysis and advocacy on the role Russia can play in the Iranian nuclear standoff and a new program on biosecurity. **\$50,000**

**CENTER FOR RUSSIAN ENVIRONMENTAL POLICY  
MOSCOW, RUSSIA**  
For a conference on the anniversary of the Chernobyl nuclear accident to explore the technical implications of nuclear energy and weapons proliferation. **\$1,800**

**CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES  
WASHINGTON, DC**  
A grant to Robert Einhorn to develop policy approaches and build international support for cooperative means of dissuading Iran from pursuing a nuclear weapons capability. **\$55,328**

**CHURCHES’ CENTER FOR THEOLOGY AND PUBLIC POLICY  
WASHINGTON, DC**  
To support an interfaith effort between Muslims and Christians to engage religious leaders and their congregants in study and action on nuclear weapons concerns. **\$65,000**

**CITIZENS FOR GLOBAL SOLUTIONS  
WASHINGTON, DC**  
For the Partnership for Effective Peacekeeping, a working group made up of security and humanitarian NGOs that assesses and advances public policy solutions to strengthen UN and multilateral peace operations. (See also Refugees International.) **\$10,000**

**COALITION FOR PEACE ACTION  
PRINCETON, NJ**  
To support efforts to expand public outreach and organizing via the Internet; lobby elected officials on nuclear, chemical and biological weapons issues; and serve as a regional resource for other Peace Action affiliates in the Mid-Atlantic region. **\$30,000**

**AVNER COHEN  
SILVER SPRING, MD**  
For an oral histories project focused on key figures in the Israeli nuclear weapons program. **\$7,000**

# Grants 2005–2006

## **CORNELL UNIVERSITY ITHACA, NY**

To support research and publication of a study to determine the role that “tacit knowledge” plays in the ability to create a viable bioterrorism weapon. **\$23,656**

## **E-LAW EUGENE, OR**

For legal efforts to protect the environment and public health in Shchuch’ye, Russia, the site of a chemical weapons destruction facility. **\$13,500**

## **LYNN FAHSELT BERKELEY, CA**

For a comprehensive assessment of the media’s coverage of peace and security issues and a comparative analysis of media initiatives undertaken by other communities. **\$12,000**

## **FEDERATION OF AMERICAN SCIENTISTS WASHINGTON, DC**

To support technical analyses, policymaker education and media outreach on nuclear, biological and space weapons issues. **\$125,000**

## **SERGIO FINARDI CHICAGO, IL**

To develop a database of information about brokers and transport companies that engage in authorized and unauthorized shipments of fissile materials and disposal of nuclear, chemical and biological waste. **\$40,000**

## **FRIENDS COMMITTEE ON NATIONAL LEGISLATION WASHINGTON, DC**

To support direct lobbying on nuclear weapons programs and policies. **\$50,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

## **GLOBAL GREEN USA WASHINGTON, DC**

To support the Legacy Program, which aims to secure funding and governmental support for the chemical weapons destruction component of the U.S. Cooperative Threat Reduction. **\$30,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

## **GLOBAL SECURITY INSTITUTE SAN FRANCISCO, CA**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

## **GOVERNMENT ACCOUNTABILITY PROJECT WASHINGTON, DC**

To support efforts to defend whistleblowers at Department of Energy facilities and headquarters, and to educate the public and policymakers about safety issues at DOE weapons-related facilities. **\$30,000**

For a conference in Moscow between U.S. and Russian whistleblowers. **\$15,000**

## **GREEN ACTION KYOTO, JAPAN**

For a grassroots campaign to oppose Japan’s plutonium-based nuclear program. **\$40,000**

## **HARVARD UNIVERSITY/BELFER CENTER FOR SCIENCE AND INTERNATIONAL AFFAIRS CAMBRIDGE, MA**

To support efforts by the Managing the Atom project to identify and promote the highest priority steps for reducing the probability that terrorists could acquire and use nuclear weapons. The project’s most recent report revealed that only twenty-six percent of potentially vulnerable nuclear material in Russia had thus far been secured, and that U.S. funding for security upgrades was declining, despite continued reports that terrorists are trying to acquire such material. The project has developed and is promoting policy recommendations for international leaders, the U.S. Congress and the President for accelerating the process and prioritizing the most urgently required security upgrades or removal of nuclear material, in order to meet what we consider to be the most critical challenge on the world’s agenda. **\$50,000**

## **HARVARD UNIVERSITY / BELFER CENTER FOR SCIENCE AND INTERNATIONAL AFFAIRS CAMBRIDGE, MA**

For ongoing dialogue between North Korean officials and Members of Congress and their staffs to address the issues of implementing an agreement with North Korea on its nuclear program. **\$50,000**

## **HEAL UTAH SALT LAKE CITY, UT**

To support media outreach, grassroots organizing, public education and direct meetings with elected officials to raise awareness about and mobilize opposition to plans for new nuclear weapons programs and potential renewed nuclear testing. **\$20,000**

## **HEART OF AMERICA NORTHWEST RESEARCH CENTER SEATTLE, WA**

For ongoing legal defense and implementation of ballot Initiative 297, the Clean-up Priority Act, aimed at cleaning up nuclear and chemical wastes at the Hanford nuclear reservation. **\$50,000**

## **HERBERT SCOVILLE, JR. PEACE FELLOWSHIP WASHINGTON, DC**

To support two recent college graduates to work as full-time fellows in one of twenty-three leading peace and security organizations based in Washington, DC. **\$50,000**

## **INSTITUTE FOR ENERGY AND ENVIRONMENTAL RESEARCH TAKOMA PARK, MD**

To provide technical assistance and training for the grassroots environmental community, analytical work on nuclear weapons policy and related environmental issues, and outreach to the media and policymakers to advocate for nuclear restraint and comprehensive cleanup programs. **\$50,000**

## **INSTITUTE FOR MIDDLE EAST PEACE AND DEVELOPMENT\* TEANECK, NJ**

For an interfaith summit between Muslims, Christians and Jews with the goal of creating a cadre of enlightened young religious leaders who are willing to learn and preach a message of understanding and mutual respect. **\$40,000**

## **INSTITUTE FOR POLICY STUDIES WASHINGTON, DC**

A grant to Robert Alvarez for research, analysis and advocacy on the proposed Advanced Nuclear Fuel Cycle initiative. **\$30,000**

## **INSTITUTE FOR SCIENCE AND INTERNATIONAL SECURITY WASHINGTON, DC**

For independent technical analyses that track and expose the development of weapons of mass destruction programs in proliferant states. **\$75,000**

For a workshop with North Korean officials to explore opportunities for improved relations between the DPRK and the IAEA and for ending the nuclear crisis. **\$15,000**

\*A grant from the Cowles Fund. See page 35.

## **INSTITUTE FOR WAR AND PEACE REPORTING\* LONDON, UNITED KINGDOM**

A grant for the “Local Voices Project” aimed at improving U.S. media coverage of conflict areas by introducing foreign journalists’ voices directly into the mainstream press. **\$50,000**

## **INSTITUTE OF PEACE AND CONFLICT STUDIES NEW DELHI, INDIA**

To support efforts to create greater awareness in South Asia of weapons of mass destruction, disarmament and nuclear security issues. **\$50,000**

## **INTERNATIONAL CRISIS GROUP LONDON, UNITED KINGDOM**

For the U.S. advocacy program to draw the attention of policy officials and the media to emerging violent conflicts around the world and to advocate appropriate prevention and resolution measures. **\$50,000**

## **WAYNE THOMAS JAQUITH STURBRIDGE, MA**

For the Peace and Security Funders Group, a forum to increase communication and cooperation between and among foundations making grants in the peace and security field, and to encourage new funders to participate. Supported by contributions from participating foundations, Ploughshares Fund provides fiscal and administrative oversight of the project. **\$67,380**

## **KENTUCKY ENVIRONMENTAL FOUNDATION BEREA, KY**

A grant to the Chemical Weapons Working Group for oversight of the U.S. chemical demilitarization program and development of recommendations for safe destruction of stockpiled chemical weapons. **\$15,000**

## **KRASNOYARSK REGIONAL ENVIRONMENTAL MOVEMENT KRASNOYARSK, RUSSIA**

For the website NuclearNo.com, the publication of materials, media and policymaker outreach and grassroots training to oppose reprocessing and other proliferation-prone nuclear programs in Russia. **\$14,908**

## **WILLIAM LANOQUETTE WASHINGTON, DC**

For travel expenses to the World Science Forum in Europe and a report on scientific responsibility related to weapons of mass destruction. **\$450**

# Grants 2005–2006

## LAWYERS COMMITTEE ON NUCLEAR POLICY NEW YORK, NY

To support targeted outreach to the media, policymakers and key non-governmental organizations in conjunction with the release of the Weapons of Mass Destruction Commission's report. **\$25,000**

## LINK MEDIA, INC. SAN RAFAEL, CA

For broadcast of a series of television programs on nuclear weapons impacts, proliferation and related topics. **\$13,500**

## KATHERINE MAGRAW CHARLOTTESVILLE, VA

For the Peace and Security Funders Group, a forum to increase communication and cooperation between and among the foundations making grants in the peace and security field, and to encourage new funders to participate. Supported by contributions from participating foundations, Ploughshares Fund provides fiscal and administrative oversight of the project. Ms. Magraw became the director of the project in January 2006. **\$187,656**

## MAINSTREAM MEDIA PROJECT ARCATA, CA

To support an earned media strategy to provide the peace and security community a consistent voice in the broadcast media by placing expert spokespeople on commercial and public talk radio programs, and for producing and distributing two hour-long programs on topics related to international security. **\$45,000**

## MASSACHUSETTS INSTITUTE OF TECHNOLOGY/DEFENSE AND ARMS CONTROL STUDIES PROGRAM CAMBRIDGE, MA

For a delegation of technical experts from the U.S. to travel to South Asia for meetings with high-level policymakers and policy influencers about the risks of weapons systems that India and Pakistan are considering, and to suggest alternatives. **\$29,106**

## MIDDLEBURY COLLEGE MIDDLEBURY, VT

To support a summer institute for university professors from a range of academic disciplines to train them in the field of non-proliferation and support the development of curricula to teach at their universities. **\$20,000**

## MONTEREY INSTITUTE OF INTERNATIONAL STUDIES MONTEREY, CA

For an assessment of obstacles to the global elimination of highly-enriched uranium and to promote a step-by-step approach for governments and international organizations to secure, consolidate and ultimately eliminate these stocks. **\$38,500**

A grant to Clay Moltz for speaking, writing and placing articles in major news outlets to educate a broader audience on the issues and risks of proposed space weapons programs. **\$39,500**

To support international and U.S.-based analysis and policy advocacy to foster cooperation with Asia's emerging space programs. **\$41,150**

## K.A. (TONY) NAMKUNG MURRAY HILL, NJ

For logistical expenses and travel to Pyongyang, North Korea with Ploughshares Fund staff for education and relationship-building purposes. **\$4,700**

## NATIONAL ACADEMY OF SCIENCES WASHINGTON, DC

To support a roundtable discussion and conference on the 60th anniversary of the Trinity nuclear test. **\$12,000**

## NATIONAL COMMITTEE ON NORTH KOREA WASHINGTON, DC

To support the establishment of a full-time director in Washington, DC who will oversee and coordinate the Committee's work to promote engagement with the DPRK. **\$40,000**

## NATIONAL PUBLIC RADIO WASHINGTON, DC

For coverage of breaking news as well as in-depth reporting on non-proliferation, conflict and exploration of the cultural factors affecting the global security environment. **\$50,000**

## NATURAL RESOURCES DEFENSE COUNCIL WASHINGTON, DC

For continued technical, legal and policy challenges to prevent new nuclear weapons programs, improve fissile material security and international monitoring, and phase out civil use of plutonium and highly-enriched uranium. **\$50,000**

*Ploughshares fund board member Patricia Sullivan is the deputy director of this organization. Please see Conflict of Interest Policy, page 39.*

## NAUTILUS INSTITUTE FOR SECURITY AND SUSTAINABLE DEVELOPMENT SAN FRANCISCO, CA

To provide support for ongoing efforts to defuse the North Korean nuclear standoff as well as analysis and advocacy on longer-term peace and security issues on the Korean Peninsula. **\$50,000**

## NEW MEXICO COMMUNITY FOUNDATION SANTA FE, NM

To support New Mexico SEES, a coalition of groups working to strengthen environmental regulations related to nuclear weapons programs in New Mexico, educate New Mexicans about nuclear weapons policies, oppose new nuclear weapons programs and build stronger relationships with policymakers. **\$60,000**

To support Governor Bill Richardson's delegation to North Korea. **\$7,150**

## NEW MEXICO STATE UNIVERSITY ALBUQUERQUE, NM

To bring a team of North Korean physicians to live and work in a U.S. cardiac hospital as a way to build a broader base of understanding and communication. **\$15,000**

## NUCLEAR AGE PEACE FOUNDATION SANTA BARBARA, CA

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

## NUCLEAR WATCH OF NEW MEXICO SANTA FE, NM

For oversight, analysis and advocacy related to Department of Energy nuclear weapons programs at the Los Alamos National Laboratory and Sandia National Laboratory, as well as national nuclear weapons and non-proliferation policy. **\$45,000**

## PEACE ACTION SILVER SPRING, MD

For continued efforts to grow and strengthen a national grassroots constituency opposed to the development and use of nuclear weapons and to promote a comprehensive new American foreign policy through a membership recruitment and development campaign. **\$35,000**

## PEACE ACTION EDUCATION FUND WASHINGTON, DC

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

## PEACE AND SECURITY INITIATIVE WASHINGTON, DC

To support a nationwide collaboration by hundreds of advocacy organizations, grassroots groups, think tanks and foundations dedicated to increasing the peace and security community's collective impact on U.S. government policy and assuring its sustainability. Established by Ploughshares Fund three years ago with additional funding from the Ford Foundation and the Rockefeller Brothers Fund, PSI helps members build capacity in communication, technology and fundraising, and has facilitated strategic conversations about how best to move forward collectively on the community's selected priority issues, which include preventing the development of new nuclear weapons by the U.S.; promoting direct U.S. engagement with Iran to resolve the standoff over its nuclear program; strengthening the global non-proliferation regime; and ending U.S. military involvement in Iraq while encouraging stability and reconstruction. **\$119,110**

## PHYSICIANS FOR SOCIAL RESPONSIBILITY WASHINGTON, DC

To support efforts to leverage the ability of medical professionals to educate policymakers, political candidates, the media and voters about preventive measures to reduce nuclear threats. **\$50,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

To support the annual Peace and Security Legislative Strategy Retreat. **\$5,776**

## POLARIS INSTITUTE MILL VALLEY, CA

A grant for Ambassador Peggy Mason to advocate for the creation of an ad hoc committee at the United Nations on the prevention of an arms race in outer space. **\$5,000**

## PRINCETON UNIVERSITY–WOODROW WILSON SCHOOL OF PUBLIC AND INTERNATIONAL AFFAIRS PRINCETON, NJ

To support research, technical analysis and development of nuclear non-proliferation policy proposals by a Pakistani physicist and two summer fellows based at Princeton. **\$50,000**

# Grants 2005–2006

## **PUBLIC EDUCATION CENTER WASHINGTON, DC**

To enable investigative journalists to pursue national security stories that are otherwise neglected by the mass media, and to promote those stories in mainstream broadcast and print media outlets. **\$45,000**

## **PUBLIC INTERNATIONAL LAW AND POLICY GROUP\* ARLINGTON, VA**

To support the Peace Fellows program, which provides free legal assistance to developing states and states-in-transition involved in conflicts. PILPG acts as a global pro-bono law firm that marshals the services of volunteer lawyers from major international law firms who assist with peace negotiations, the drafting of constitutions and war crimes prosecutions, and advise on the protection of human rights and self determination. Since its first landmark accomplishment providing legal assistance to the Bosnian government during the Dayton Peace Negotiations, PILPG has advised more than fifteen countries worldwide, including Iraq, where it helped draft the new constitution, and Kosovo, where it prepared the government for talks on its final status. **\$50,000**

## **PUBLIC RADIO INTERNATIONAL MINNEAPOLIS, MN**

For international security coverage on PRI's daily news and commentary program The World. **\$50,000**

## **R. (DOUG) RAJARAMAN NEW DELHI, INDIA**

For technical research, analysis and advocacy for an Indian fissile material cutoff and the capping of the Indian nuclear weapons arsenal. **\$32,000**

## **REFUGEES INTERNATIONAL\* WASHINGTON, DC**

For the Partnership for Effective Peacekeeping, a policy working group made up of security and humanitarian NGOs that assesses and advances public policy solutions to strengthen UN and multilateral peace operations. (See also Citizens for Global Solutions.) **\$40,000**

## **REGIONAL CENTRE FOR STRATEGIC STUDIES COLOMBO, SRI LANKA**

For the Twelfth Summer Workshop on Defense, Technology and Cooperative Security in South Asia. **\$40,000**

## **RESEARCH AND POLLING, INC. ALBUQUERQUE, NM**

To assess New Mexicans' attitudes on security and energy issues to inform strategies used by non-governmental organizations working on policy advocacy. **\$10,000**

## **RUSSIAN-AMERICAN NUCLEAR SECURITY ADVISORY COUNCIL PHILADELPHIA, PA**

For continued work on U.S.-Russian cooperative nuclear threat reduction initiatives and efforts to internationalize the concepts and create a "cooperative proliferation prevention" system. **\$75,000**

## **SEARCH FOR COMMON GROUND WASHINGTON, DC**

For a series of meetings between American, European and Iranian technicians, scientists and engineers to generate confidence-building measures and explore alternative technical options for Iran's nuclear program. **\$40,000**

## **LEON SIGAL NEW YORK, NY**

To support public education and facilitation of communication among policymakers from each of the nations involved in the Six-Party Talks on North Korea's nuclear program. **\$40,000**

## **SNAKE RIVER ALLIANCE POCATELLO, ID**

Two grants to support efforts to ensure appropriate management of nuclear waste at the Idaho National Lab and efforts to halt any programs that seek to reprocess nuclear fuels. **\$35,000**

## **KATIE SOTOR ELK GROVE VILLAGE, IL**

To support the distribution and duplication of *Genie in a Bottle: Unleashed*, a film created by two teenagers. **\$2,500**

## **STANFORD UNIVERSITY LAW SCHOOL STANFORD, CA**

For a study of UN Security Council 1540 and the effectiveness of the UN response to the threat of weapons of mass destruction terrorism. **\$15,000**

## **HENRY L. STIMSON CENTER WASHINGTON, DC**

A grant for the Security for a New Century program, an ongoing series of bipartisan congressional briefings and discussions on a range of security issues. **\$30,000**

To support congressional education, policy advocacy and international promotion of a code of conduct for operations in space. **\$50,000**

For the second year of a program to increase congressional support of cooperative threat reduction and non-proliferation programs by engaging the companies and business leaders who have worked on threat reduction programs as contractors. **\$50,000**

## **STUDENT PUGWASH USA WASHINGTON, DC**

To convene young scientists in national and international fora for discussion, debate and action on emerging science and technology policy issues, including nuclear and biological weapons, space weapons and national missile defense. **\$50,000**

## **TAXPAYERS FOR COMMON SENSE WASHINGTON, DC**

To support efforts to monitor, analyze and advocate changes to federal funding for nuclear weapons and materials programs and space weapons proposals, specifically targeting the congressional Energy and Water and Defense Appropriations processes. **\$30,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

## **TRI-VALLEY CAREs LIVERMORE, CA**

To support grassroots organizing, oversight and monitoring of activities at the Lawrence Livermore National Laboratory. **\$40,000**

## **UNION OF CONCERNED SCIENTISTS CAMBRIDGE, MA**

To support technical research and analysis, media and congressional outreach on U.S. nuclear weapons policy, nuclear terrorism and proliferation, space weapons, missile defense and U.S.-China relations. **\$70,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

## **UNITED NATIONS ASSOCIATION OF USA NEW YORK, NY**

To support a series of Track II conversations between high-level U.S. and Iranian participants addressing regional security issues, including Iran's nuclear program. **\$50,000**

## **UNIVERSITY OF GEORGIA ATHENS, GA**

For a two-day international conference to launch the International Export Control Association. **\$10,000**

## **UNIVERSITY OF MARYLAND COLLEGE PARK, MD**

To support the dissemination and promotion of Milton Leitenberg's updated monograph on casualties that have resulted from international conflict. **\$1,500**

## **VERTIC LONDON, UNITED KINGDOM**

For a comprehensive assessment of the mechanisms for strengthening the biological weapons regime and the promotion and dissemination of the study and its recommendations. **\$51,124**

## **WASHINGTON PHYSICIANS FOR SOCIAL RESPONSIBILITY SEATTLE, WA**

To support organization and outreach efforts among health professionals and other citizens in Washington State to promote non-proliferation, disarmament, energy security and cleanup of the Hanford Nuclear Reservation. **\$25,000**

## **WOMEN'S ACTION FOR NEW DIRECTIONS ARLINGTON, MA**

A grant for the Women Legislators' Lobby, a national network of current and former women state legislators who seek to influence national policies on military spending, nuclear weapons policies and homeland security. **\$50,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

## **WOODROW WILSON CENTER WASHINGTON, DC**

To provide support to public policy scholar Hadi Semati for his ongoing research on the reform movement in Iran, and for his public speaking, media interviews and participation in Track II meetings. **\$40,000**

## **WORLD POLICY INSTITUTE NEW YORK, NY**

To support research, publications, media outreach and advocacy on U.S. nuclear weapons policy and broader reform of defense spending and policymaking. **\$40,000**

\*A grant from the Cowles Fund. See page 35.

# The Nuclear-Free Legacy Society

For most of us, the goal of a nuclear weapons-free future will not be realized during our lifetimes, but we are no less determined to build a safer, more secure world for our children and future generations. Ploughshares Fund's Nuclear-Free Legacy Society honors individuals who have made a commitment to building a world free of nuclear weapons by including the Ploughshares Fund in their estate plans. Ploughshares Fund acknowledges and thanks the following members for their vision and generosity:

Edie Allen	Angela and Jeremy Foster	Jane Langley	Robert Rubinstein and Sandra Lane
Helene F. Belz	Jean Fraser and Geoffrey R. Gordon-Creed	Thomas C. Layton and Gyongy Laky	Mr. and Mrs. James G. Sherwood
I. Inka Benton	Barbara S. Green	Jeffrey R. Leifer	Rosalind Singer
David Bezanson	Joe Gutstadt	Sally Lilienthal	Harlan and Margaret Smith
Julia Bloomfield	Roger L. Hale and Eleanor Hall	Alastair Mactaggart	Margaret R. Spanel
James B. Blume and Kathryn W. Frank	Julie and Parker Hall	David and Sandra Matteson	Mary B. Strauss
Dr. Richard Bradus	Frances K. Harris	Carole L. Mendelsohn	Patricia Sullivan
Mimi and Dick Brukenfeld	Lois Crozier Hogle	Mr. and Mrs. William R. Miller	Charlotte L. Taylor
Sheana and Lew Butler	David and Arlene Holloway	Lynda Palevsky	Marilyn L. Thomas
Marguerite Craig	Jacques F. Jacobson	Merrill and Charlotte Palmer	George R. Thornton
Audrey Dreyfus	Wayne Jaquith	Suzanne Platoff	Martha O. Vinick
Martin and Lucie Dreyfuss	Bud Johns	Abraham and Camille Pollack	Brooks Walker III
Joan and Peter Eilbott	Collier C. Kimball	Jean S. Prokopow	Edith B. Wilkie
Bob and Mary Lloyd Estrin	Peter Kohnke	Edward Rawson	Philip Yun
Veronica and Curtis Fields	Ann L. Krumboltz		Anonymous (2)

There are many ways to integrate gifts to the Ploughshares Fund into your personal financial plans, such as including Ploughshares in your will, investing in our Pooled Income Fund or a Charitable Gift Annuity, or setting up an individual charitable trust. If you would like more information about these opportunities, Ploughshares Fund's planned giving advisor is available to help you explore various options, at no cost to you and in complete confidence. Please contact Dick Bunce, deputy director, at Ploughshares Fund for more information.

# Ploughshares Fund Endowments

Just as the knowledge that makes nuclear weapons possible is a permanent part of our scientific legacy, so must be Ploughshares Fund's capacity to prevent the use of those weapons. The Ploughshares Fund Endowments are a sustainable, long-lasting answer to this need, increasing the funds available for grantmaking, both immediately and in the long term. The Endowments—including the **Cowles Fund** and the **Lew Butler Fund for Innovation**—provide enduring support for efforts to build a safer world—a need that clearly will not diminish in the future.

## PLOUGHSHARES FUND ENDOWMENT

Bequests and outright gifts have enabled Ploughshares Fund to build an endowment to sustain our efforts to prevent the spread and use of nuclear, biological and chemical weapons and to promote global, cooperative solutions to international security threats. Ploughshares Fund gratefully acknowledges donors of \$20,000 or more since the endowment was established in 1988. To learn more about how you can support this important cornerstone of Ploughshares Fund's future through outright, estate or irrevocable planned gifts, please contact Dick Bunce, deputy director.

### Gifts Received or Irrevocable

Robert Dale McCay, Jr. Trust	Lewis and Sheana Butler
Helen W. Edey	Roger L. Hale and Eleanor L. Hall
Margaret R. Spanel	Mary W. Wills
Edie Allen	Ruth Forbes Young
Sally Lilienthal	Dr. and Mrs. Wolcott B. Dunham
Richard Friedberg	New-Land Foundation
Owen and Senta Chamberlain	Barry and Marjorie Traub
Jane de Rochemont Estate	Lee and Byron Stookey
Public Welfare Foundation	Michael and Penny Winton
Joan and William Matson Roth	Harlan and Margaret Smith
Michael and Ann Parker	

## COWLES ENDOWMENT AND COWLES FUND

Mary LeCron Foster, her husband George Foster and members of their family established the Cowles Fund in 1985 to support efforts to examine the underlying socio-cultural causes of conflict. More than three million dollars have been awarded from the Cowles Fund to organizations and individuals engaged in Track II dialogue, media projects and research aimed at building a deeper understanding of the roots of conflicts and the practical ways to resolve them.

### Gifts Received

George and Mary LeCron Foster  
Angela and Jeremy Foster  
Melissa Bowerman

## LEW BUTLER FUND FOR INNOVATION

Ploughshares Fund honored its founding board chair upon his retirement with a special fund to support new ideas and initiatives for building a safer and more secure world for future generations. Ploughshares Fund gratefully acknowledges donors of \$20,000 or more since the Lew Butler Fund's commencement in 2005.

### Gifts Received or Irrevocable

Roger L. Hale and Eleanor L. Hall  
Robert and Mary Lloyd Estrin  
Alastair Mactaggart  
Brooks and Danielle Walker

## ENDOWMENT FUNDS

To sustain our efforts for peace for generations to come, donors large and small make planned and outright gifts to the Ploughshares endowments, currently valued at more than \$27 million. The Endowment Committee of the Board of Directors oversees the endowments, which are invested in a diversified portfolio with clear objectives, using active investment managers. As a matter of fiscal responsibility, undesignated bequests between \$100,000 and \$2,000,000 are apportioned 80% to endowment and 20% to annual grantmaking; undesignated bequests above \$2 million are allocated 100% to endowment.

Year	Market Value
2002	10,621,358
2003	22,889,625
2004	25,443,993
2005	26,614,050
2006	27,640,742

# Ploughshares Council

The Ploughshares Council provides leadership and sustained support for our efforts to prevent the spread and use of nuclear, biological and chemical weapons, and to promote global solutions to international security threats. Members of the Ploughshares Council are families, foundations and individuals who have made a significant financial commitment—at least \$1,000 annually—to building a safer, more peaceful world through their support of Ploughshares Fund. They have access to up-to-the-minute information about the latest developments in the field, and are invited to participate in meetings and telephone briefings with policymakers, activists and thinkers, discussions that help guide the work of the Ploughshares Fund.

## COUNCIL AMBASSADORS Gifts of \$100,000 or more

Edie Allen  
Brico Fund, LLC  
Kathryn W. Davis  
Michael Douglas  
Ford Foundation  
Sarah and Richard Pritzlaff  
Anonymous

## Gifts of \$25,000 - \$99,999

The Edward T. Cone Foundation  
Rehael Fund - Roger L. Hale/  
Eleanor L. Hall Fund of the  
Minneapolis Foundation  
Julie and Parker Hall  
Steven and Michele Kirsch  
Foundation  
Sally Lilienthal  
New-Land Foundation, Inc.  
Rockefeller Brothers Fund  
Margaret E. Saunders  
Ira D. Wallach  
Working Assets Grantmaking  
Fund of the Tides Foundation  
Anonymous (3)

## Gifts of \$10,000 - \$24,999

Betty Austin  
Eric T. Bauer  
Frances and Benjamin Benenson  
Foundation  
Susie Tompkins Buell Fund of the  
Marin Community Foundation  
Sheana and Lew Butler  
Samuel and Janine Chapin  
Cogan Family Foundation  
Peggy and Reid Dennis  
The Denny Fund of the  
Minneapolis Foundation  
Laurie T. Dewey  
Sarah C. Doering  
Bob and Mary Lloyd Estrin  
Firedoll Foundation  
Connie Foote Family Fund of  
the Saint Paul Community  
Foundation  
Angela and Jeremy Foster  
John C. and Chara C. Haas  
Serena M. and Francis W. Hatch  
Kate and Richard Holmstrom  
Eloise and Proctor W. Houghton  
Quinn Delaney and Wayne Jordan  
Carolyn Kleefeld  
The Leavens Foundation  
Bill Lynch and Barbara Manger  
Mactaggart Third Fund  
George A. Miller  
Harle G. Montgomery

Mrs. Albert Moorman  
Dr. Victoria T. Murphy  
Susan and Bill Oberndorf  
Vance K. Opperman  
Gilman Ordway  
Ann and Michael Parker  
Greater Milwaukee Foundation  
Annette J. Roberts and  
Joan R. Robertson Fund for  
World Peace, World Law and  
Peace Education  
Joan and William Matson Roth  
Cynthia Ryan  
Margaret R. Spanel  
Barry and Marjorie Traub  
Underdog Fund of the Tides  
Foundation  
Brooks Walker III  
Lucinda Watson  
Jill Troy Werner/Werner Family  
Foundation  
Peggy and Lee Zeigler  
Anonymous (4)

## COUNCIL ENVOYS Annual gifts of \$5,000–\$9,999

Corinne Abel  
Jeanette E. Akhter  
Jonathan and Kathleen Altman  
Foundation  
Marcia Angle and Mark Trustin  
Fund of the Triangle Community  
Foundation  
Angelina Fund  
Kristin L. Anundsen  
Barbara Bauer  
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# Conflict of Interest Policy

As a public foundation, dependent upon the contributions and involvement of members of the public, Ploughshares Fund has a special commitment to ethical behavior and transparency in our work. The board and staff of the Ploughshares Fund are encouraged to play active roles in their communities, which may lead, from time to time, to potential conflicts of interest or the appearance of such. It is our policy to acknowledge such conflicts openly and appropriately. Conflicting involvements include but are not limited to: Ploughshares Fund board, staff or immediate family members of board or staff members serving on the boards of applicant organizations, or doing business with or being employed by applicant organizations. In cases

of such conflicts or the appearance thereof, Ploughshares Fund board members and staff are expected to disclose the conflict prior to making any grant-related decisions and to abstain from voting or participating in the discussion of the applicant organization other than to answer specific questions that may be raised by other board members. In cases where a grant is awarded to an organization and one or more of Ploughshares Fund's board members has abstained from voting as the result of a conflict or the appearance thereof or a staff member has a conflict or the appearance thereof, such circumstances shall be identified in the Annual Report.

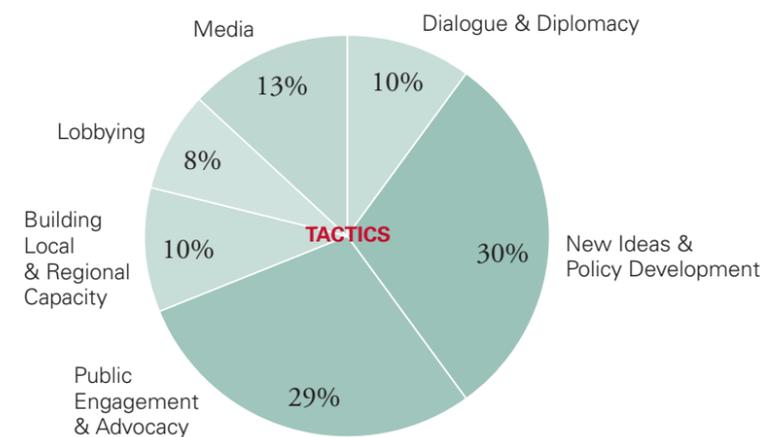
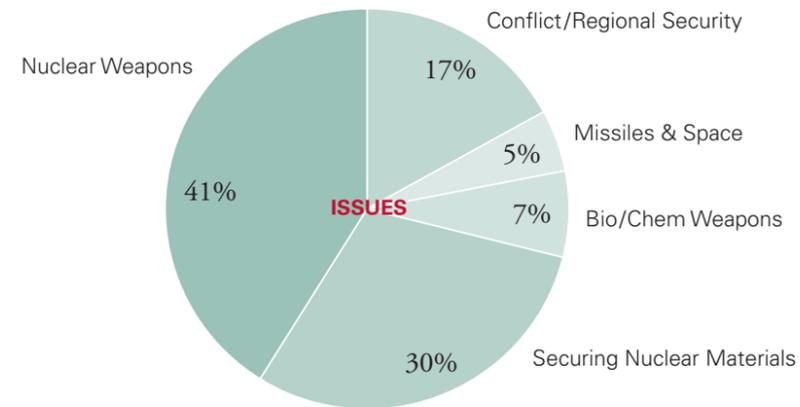
# Financial Highlights

FISCAL YEAR 2005-06

- This year Ploughshares Fund awarded \$4,166,282 to organizations and individuals for programs in eleven countries to prevent the use of nuclear, biological and chemical weapons and to prevent armed conflict.
- Ploughshares Fund is now one of the five largest foundations in the country addressing these issues, and the largest whose exclusive mission is grantmaking for peace and security initiatives.
- Ploughshares Fund continues to maximize your investment by ensuring that donors' annual contributions go directly to the programs we fund, with nothing subtracted for administrative overhead or fundraising. Operating expenses are paid for by the Fund's Board of Directors and a draw from our endowment.
- A draw of \$1.25 million was transferred from the endowment this year to fund current grantmaking and administration, in accordance with endowment management policies overseen by Ploughshares Fund's Endowment Committee.
- Ploughshares Fund is a lean and efficiently-run organization that works to keep administrative and fundraising expenses low and to allocate as much money as possible to programs aimed at building a safer, more peaceful world. This year we spent 87% of our budget on grantmaking and program expenses, far exceeding the standards set by the National Charities Information Bureau/Better Business Bureau and Charity Navigator, both of which have given Ploughshares Fund superior ratings year after year.

- Of the \$28,863,919 in total net assets reported at the end of the year, over \$27.5 million is in our endowment fund, which was established to provide stable and predictable funds for annual and long-term grantmaking and operations.

## PLOUGHSHARES FUND GRANTS



# Financial Report<sup>1</sup>

July 1, 2005 – June 30, 2006

SUPPORT AND REVENUE	2006	2005	ASSETS AND LIABILITIES	2006	2005
Contributions <sup>2</sup>	3,787,391	5,552,082	For fiscal year ended June 30		
Interest and short term investment return	23,693	-3,591	Assets <sup>4</sup>		
Investment return	1,911,844	2,179,482	Cash and cash equivalents	520,926	572,698
Changes in value of split-interest agreements	12,796	11,905	Accounts receivable	2,462	
<b>TOTAL SUPPORT AND REVENUE</b>	<b>5,735,724</b>	<b>7,739,878</b>	Promises to give	738,619	1,639,151
<b>EXPENSES</b>			Interest receivable	53,580	55,107
Program Services			Long term investments	28,424,434	27,296,967
Grants from Ploughshares Fund	3,045,879	2,707,502	Note from related party	161,889	161,889
Grants from Cowles Fund	169,867	175,000	Fixed assets (less accumulated depreciation and amortization)	10,647	13,980
Special Projects	1,079,038	1,398,914	<b>TOTAL ASSETS</b>	<b>29,912,557</b>	<b>29,739,792</b>
Program support	425,993	347,780	<b>LIABILITIES AND NET ASSETS</b>		
Supporting Services <sup>3</sup>			LIABILITIES		
General administration	341,462	264,408	Accounts payable and accrued expenses	97,965	58,301
Development	369,896	318,812	Grants payable	847,620	1,005,312
<b>TOTAL EXPENSES</b>	<b>5,432,135</b>	<b>5,212,416</b>	Deferred revenue	103,053	115,849
<b>CHANGE IN NET ASSETS</b>	<b>303,589</b>	<b>2,527,462</b>	<b>TOTAL LIABILITIES</b>	<b>1,048,638</b>	<b>1,179,462</b>
<b>NET ASSETS, BEGINNING OF YEAR</b>	<b>28,560,330</b>	<b>26,032,868</b>	<b>NET ASSETS</b>		
<b>NET ASSETS, END OF YEAR</b>	<b>28,863,919</b>	<b>28,560,330</b>	Unrestricted	3,925,529	3,198,221
			Temporarily restricted	21,995,978	22,391,332
			Permanently restricted	2,942,412	2,970,777
			<b>TOTAL NET ASSETS<sup>5</sup></b>	<b>28,863,919</b>	<b>28,560,330</b>
			<b>TOTAL NET LIABILITIES AND NET ASSETS</b>	<b>29,912,557</b>	<b>29,739,792</b>

<sup>1</sup> A completed audited financial report is available upon request.

<sup>2</sup> Contributions in 2005 include \$1,170,000 of a three-year grant to be spent in fiscal years 2006 and 2007.

<sup>3</sup> All administrative and fundraising expenses are covered by contributions from Ploughshares Fund's Board of Directors, an annual endowment draw and allocations from a few foundations.

<sup>4</sup> These assets include investments in Ploughshares' Pooled Income Fund.

<sup>5</sup> Net assets include \$395,000 of a multi-year grant to be spent in fiscal year 2007.



# Information for Applicants

Ploughshares Fund supports organizations and individuals anywhere in the world who are working to stop the spread and use of nuclear, biological and chemical weapons and other weapons of war, and to prevent conflicts that could lead to the use of weapons of mass destruction. There are no geographic restrictions on our grants. Please refer to our website, [www.ploughshares.org](http://www.ploughshares.org), for application requirements and deadlines. Proposals must be submitted by email to [proposals@ploughshares.org](mailto:proposals@ploughshares.org).

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Sally Lilienthal

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**PLOUGHSHARES** FUND

Fort Mason Center

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San Francisco, CA 94123

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**PLOUGHSHARES FUND 1981–2006**

	'81	'83	'85	'87	'89	'91	'93	'95	'97	'99	'01	'03	'05
World Events	Ronald Reagan becomes 40th U.S. President.	<i>The Day After</i> , a movie exploring the aftermath of a nuclear holocaust.  President Reagan delivers "Star Wars" speech.	South Pacific Nuclear Free Zone Treaty	Intermediate Nuclear Forces Treaty signed	Berlin wall falls.	START I Treaty signed.  Gulf War/Operation Desert Storm.  South Africa dismantles its nuclear weapons program.	START II Treaty signed.	NPT extended indefinitely.	Landmine Ban Treaty signed.  Chemical Weapons Convention ratified.	U.S. Senate rejects Comprehensive Test Ban Treaty.	September 11th attacks.  U.S. withdraws from Anti-Ballistic Missile Treaty.	U.S. and UK invade Iraq.	Nuclear bunker buster defeated in Congress.
Ploughshares Fund Milestones	Ploughshares Fund founded by Sally Lilienthal.			First grants to address biological and chemical weapons.		First grant to Russian grass-roots organization.  Ploughshares grant establishes first-ever arms control NGO in China.	First grant to ban landmines.		Naila Bolus becomes Executive Director.			Endowment gift brings value to \$20 million.	
Nuclear Weapons, Globally*	56,467	60,882	64,519	68,479	62,525	53,562	45,336	40,344	36,060	33,859	31,477	29,371	28,245

World Events	1 million people demonstrate for peace in Central Park.		Chernobyl disaster.  Presidents Reagan and Gorbachev meet at Reykjavik. Consider, then abandon idea of nuclear abolition.		USSR collapses.  Argentina and Brazil finalize agreements ending their nuclear weapons programs.	Belarus, Ukraine and Kazakhstan agree to give up their nuclear weapons.	Kim Il Sung dies; Kim Jong Il assumes leadership of North Korea.	Comprehensive Test Ban Treaty signed.	India and Pakistan test nuclear weapons.	Parties to NPT make "unequivocal" commitment to elimination of nuclear weapons.	Presidents Bush and Putin sign SORT Treaty.  North Korea admits it has a uranium-based nuclear weapons program.  President Bush delivers 'Axis of Evil' Speech.	A.Q. Khan confesses to sharing nuclear secrets with North Korea, Libya, and Iran.	North Korea tests nuclear weapon.
Ploughshares Fund Milestones	First in Ploughshares' Countdown series of briefing books published.	First space weapons grant.	Cowles Fund established.  Nuclear test ban verification grant to NRDC.  Sally Lilienthal attends Reykjavik summit.	European Security Program launched.	First conventional arms grants.	Russian Weapons Scientists project launched.		First small arms grant.			Peace and Security Initiative launched by Ploughshares and Ford Foundation.  Arms Control Advocacy Collaborative (AC2) founded by Ploughshares Fund and Kirsch Foundation.	Ploughshares-funded campaign results in passage of I-297 in Washington state.	Sally Lilienthal dies at 87.  Grant total tops \$50 million as Ploughshares celebrates its 25th anniversary.
Nuclear Weapons, Globally*	58,629	62,753	70,481	65,726	59,239	49,022	42,715	37,159	34,981	32,632.	30,425	29,308	26,854
	'82	'84	'86	'88	'90	'92	'94	'96	'98	'00	'02	'04	'06

\*Source: Bulletin of the Atomic Scientists.

SOURCE: Bulletin of Atomic Scientists