momentum

PLOUGHSHARES FUND

2010 Annual Report

Ploughshares Fund works to build a **safe, secure, nuclear weapon-free world** by developing and investing in initiatives to reduce and ultimately eliminate the world's nuclear stockpiles, and to **promote stability in regions of conflict**. Ploughshares Fund is supported by individuals, families and foundations.



letter from the chairman

Dear Friends,

As I assessed our progress this year, I thought about how far we have come since the most frightening moment of the post-WWII 20th century - the Cuban Missile Crisis. Soviet and U.S. nuclear weapons were on hairtrigger alert, provoked by Soviet missiles placed 90 miles off the U.S., American missiles stationed in countries surrounding the USSR and the general tension of the Cold War. Pulling back from the brink, President John F. Kennedy and Premier Nikita Khrushchev both began the long, laborious work of constructing an international treaty regime to rein in these horrible weapons of mass destruction. Since then, there has been significant progress in reducing the world's stockpile of nuclear weapons from over 68,000 to under 23,000 (most of which are in Russia and the U.S.). This progress was made during both Democratic and Republican administrations and was often clearly a bipartisan effort: a "nuclear incident" would not distinguish between political parties.

Still, the simple, terrifying fact is that about 23,000 nuclear weapons, most 10 to 20 times as powerful as the ones that destroyed Hiroshima, exist in the world. At Ploughshares Fund, we have been working relentlessly for 30 years to reduce this threat. There have often been setbacks on our mission, but today we are encouraged. In last year's report, we emphasized that "this is the moment" and we have seen many positive developments, as described in the letter from Joe Cirincione and Naila Bolus.

Ploughshares Fund has become increasingly sophisticated and effective in educating thought leaders as well as the general public on these challenging issues, and in negotiating with key government leaders and staff. The result is that we now have momentum – serious momentum – and we are achieving very specific results. Ploughshares Fund has become a primary convener and coordinator of the nuclear security community. In this report, we highlight some of our fine partners in this effort and I urge you to read about their activities. We have been in this field long enough to feel good about the progress we are now making, but also to know how far we still must go.

We could not be achieving this momentum without the inspiring support of the many loyal contributors – as well as those new to the cause. Our individual annual donations range from \$10 to hundreds of thousands of dollars. Every single dollar is important and appreciated. I can assure you that your money is efficiently deployed and that it is making a difference.

We have the momentum and will not cease our efforts until we enjoy the peace and security of a world without nuclear weapons.

Sincerely,

Kozert. Hale

ROGER L. HALE, CHAIRMAN



letter from the president and executive director

Dear Friends,

It's no secret that times are tough. Whether it's portfolios or politics, steady progress is hard to come by.

That is why we are so pleased by the advances we made this year on nuclear policy. A new treaty to cut nuclear arsenals. A global summit to prevent nuclear terrorism. New U.S. policies to reduce the roles and missions of nuclear weapons. Former senior officials and military leaders joining the growing bipartisan consensus to shrink these arsenals and stop their spread. And national leaders around the globe endorsing the goal of eliminating nuclear weapons – the normalization of zero.

It is not going as fast as we want, but the advances are steady. And taken together, they represent a significant turning point, a new direction in nuclear policy.

This is no accident. We paid for this progress with hard work and the dollars you entrusted to us.

From our offices in Washington, DC and San Francisco, our staff coordinated and promoted the work of dozens of organization and experts. Our full-time lobbyist worked the halls of Congress while the two of us worked the airwaves and the offices of sister foundations to publicize our positions and leverage our investments.

We are proactive, involved and directive. And in partnership with our grantees, as you will read in the following pages, we have forged new policies, built a consensus approach, greatly improved our media presence, developed innovative solutions and expanded the movement. As one veteran expert told us after one of our strategy sessions, "I have never seen the nuclear security community work together so smoothly and so effectively." We still face fierce opposition from those clinging to outdated strategies, advocating new wars and new weapons. But we have created momentum. We see faith leaders and military leaders joining us. We are in daily contact with government officials to consolidate and advance the gains we have made.

We are inspired by the words of our advisory board member former Secretary of State George Shultz at a global summit this year: "This is not the time to give up on an achievable but difficult goal."

We fully intend to carry our momentum through 2011, lock in our victories and advance further. With your help, we are confident of continued success.

With gratitude,

JOSEPH CIRINCIONE, PRESIDENT

JOSEPH CIRINCIONE, PRESIDEN

NAILA BOLUS, EXECUTIVE DIRECTOR



























board of directors

1. Edie Allen is president of the Colombe Foundation, which "seeks to create a peaceful world through changes in American policy."

2. **Reza Aslan** is a Middle East analyst and the author of *How to Win a Cosmic War* and *No god but God: The Origins, Evolution, and Future of Islam.*

3. **Doug Carlston** founded Broderbund Software. He now leads Tawala Systems and serves on boards that advance a progressive agenda, including MoveOn and Tides Advocacy Fund.

4. Joseph Cirincione, President, is the author of *Bomb Scare: The History and Future of Nuclear Weapons* and is a member of the Council on Foreign Relations.

5. **Michael Douglas** is an actor, producer, two-time Academy Award winner and UN Messenger of Peace.

6. **Gloria Duffy** served as deputy assistant secretary of defense in the Clinton Administration and is now president and CEO of the Commonwealth Club of California.

7. Mary Lloyd Estrin is program officer for the Human Rights and Economic Justice program at the General Service Foundation and serves on the boards of Vassar College and the John Lloyd Foundation.

8. **Angela Foster** is retired after a long history in education and service in the non-profit sector in Colorado. She is now a resident of Washington State.

9. Chuck Hagel served two terms (1997–2009) in the U.S. Senate representing the state of Nebraska and is the author of *America: Our Next Chapter.*

10. **Roger Hale, Chairman,** is the retired CEO of Tennant Company and has a long history of service on corporate and civic boards. 11. **David Holloway** is the author of *Stalin and the Bomb* and professor of political science and history at Stanford University.

12. John Hoyt is founder and principal of Pyramid Communications, a public affairs firm dedicated to socially responsible causes.

13. **Robert A. Rubinstein** is professor of anthropology and international relations at the Maxwell School of Syracuse University and the author of *Peacekeeping Under Fire: Culture and Intervention.*

14. **Cynthia Ryan** is principal of the Schooner Foundation and serves on the boards of Women for Women International, the Nation Institute, Green for All and the Fund for Global Human Rights.

15. **Gail Seneca** is a retired investment manager. She now writes fiction and is active in humanitarian work in Burma and agricultural land preservation in the U.S.

16. **Robert E. Sims** is a partner at Latham & Watkins LLP, is a former federal prosecutor and served as an advisor to the U.S. State Department on transnational organized crime.

17. Patricia F. Sullivan, Treasurer, is deputy director of the Natural Resources Defense Council and a member of the board of the Open Space Institute.

18. Brooks Walker III, Secretary, is principal of Walker-Warner Architects and serves on the board of San Francisco Planning and Urban Research.

19. **Philip W. Yun** is vice president for resource development at The Asia Foundation and was a senior advisor on North Korea policy at the U.S. State Department in the Clinton Administration.

forging a **new path**

>>> It became known as the "nuclear spring." Two months of unprecedented actions by global leaders that together constituted a dramatic new direction for nuclear policy. In April, the newly released Nuclear Posture Review reduced the role of nuclear weapons in U.S. national security strategy, the New START treaty cut Russian and U.S. deployed strategic nuclear arsenals by one-third and leaders from 47 countries committed to concrete steps to reduce the threat of nuclear terrorism at the Nuclear Security Summit. In May, defying pessimistic predictions, 189 nations forged agreement at the review conference of the Nuclear Nonproliferation Treaty to strengthen the barriers against proliferation.

Even self-described skeptics took notice. *Washington Post* columnist Jim Hoagland declared: "President Obama has turned the once utopian-sounding idea of global nuclear disarmament into a useful tool for U.S. foreign policy."

To prepare for this action-packed spring, Ploughshares Fund convened nearly fifty senior think tank and advocacy experts to develop a coordinated strategy. As Josh Rogin of *Foreign Policy* reported, "The meeting was about marshalling organizations' combined resources and preparing a full-on campaign to press their shared goals."

And that's exactly what we did.

Technical experts from the Union of Concerned Scientists and the Federation of American Scientists weighed in with key officials drafting the Nuclear Posture Review. Policy experts from the Carnegie Endowment for International Peace and the Brookings Institution crafted analyses that shaped opinion within the beltway, promoting their views in private consultations and public panels.

Ploughshares Fund lobbyist Rob Leonard coordinated visits to dozens of Senate offices to advocate for New START with representatives from **Council for a Livable** World, Friends Committee on National Legislation and National Security Network.

And at the United Nations, Ploughshares Fund grantees like the Monterey Institute for International Studies and the Global Security Institute advised diplomats, convened senior strategy sessions and developed creative approaches to complex issues.

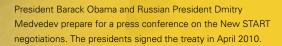
The momentum is now in our favor, but the opposition is fierce. To overcome it, as President Obama said, "We must be as persistent and passionate in our pursuit of progress as any who would stand in our way."



Daryl Kimball Arms Control Association

Arms Control Association (ACA) Executive Director Daryl Kimball has been dedicated to reducing nuclear dangers since he was a student activist in the 1980s. With Kimball's guidance, ACA leverages its role as the authoritative arms control source to shape all aspects of nuclear weapons policy. "The scale and scope of the nuclear danger - and the shadow it casts on our generation and that of our children - should motivate us to do all we can to reduce the threat," Kimball says. "I'm reminded of this every day when I drop off my eight-yearold daughter at school."

To learn more, go to ploughshares.org.



building a **consensus**

>> As former deputy commander of U.S. strategic forces, General (Ret.) Dirk Jameson knows nuclear weapons. Jameson was personally responsible for ensuring that hundreds of land-based nuclear missiles remained ready to launch within minutes.

"Working at the sharp end of the nuclear spear taught me to respect the skill and wisdom needed to prevent a nuclear confrontation," says Jameson.

During the Cold War, Jameson saw nuclear weapons as a necessary deterrent. But now, more than twenty years later, he believes we need a 21st century strategy to prevent nuclear terrorism and keep Americans safe. So Jameson, along with other retired flag officers and national security experts – including Admiral Bill Owens, Secretary George Shultz and Ploughshares Fund board member Senator Chuck Hagel – joined the **Consensus for American Security**, a new initiative conceived, developed and supported by Ploughshares Fund in partnership with the bipartisan **American Security Project**.

This summer and fall, Consensus members trekked to Washington, DC to meet with White House officials and key Senators. They authored op-eds in regional and national newspapers and appeared on broadcast media in support of New START. They weren't alone. Since New START was signed, national security experts – both Republican and Democratic, military and civilian – have overwhelmingly endorsed the treaty. With Ploughshares Fund support, the **Partnership for a Secure America** organized and published a full-page advertisement in which General (Ret.) Colin Powell, Secretary Madeleine Albright and 28 other former officials endorsed the treaty.

The growing U.S. consensus for a new direction in nuclear policy has been matched on the international stage. In February, with Ploughshares Fund assistance, **Global Zero** convened a summit in Paris with more than 200 senior international political, military, business, civic, faith and student leaders to chart the path to a nuclear weapon-free future. With strong statements of support from heads of state and the UN Secretary General, and positive media coverage of the summit in 67 countries, zero is, as Secretary Shultz said, gaining traction as "an idea whose time has come."



Janne Nolan American Security Project

Janne Nolan is a nuclear policy wonk. An author, an academic, and a public servant who spent time on Capitol Hill and in the executive branch, Nolan believes this is a "threshold moment" where fundamental decisions must be made about the future role and utility of nuclear weapons in U.S. and global security. As Director for Nuclear Security at the American Security Project (ASP), Nolan focuses on forging a genuine, nonpartisan consensus on American nuclear security. "It is a huge and urgent challenge," she says, "and a critical mission at ASP."

To learn more, go to ploughshares.org.

Obama's national security team strongly supports New START. Pictured from the right, Secretary of State Clinton, Secretary of Defense Gates, Veterans Affairs Secretary Shinseki and Admiral Mullen, Chairman of the Joint Chiefs of Staff.

telling the story

This persuasive piece by Eaton, a member of the **Consensus for American Security** and a senior advisor at the **National Security Network** (NSN), was the result of an aggressive campaign by Ploughshares Fund grantees to flood the editorial pages of prominent publications during the debate over New START.

We got results. According to analysis prepared by **ReThink Media**, in the months following the signing of New START, the number of newspaper editorials and opinion pieces supporting the treaty drastically outnumbered those arguing against by a margin of more than 5 to 1 and 2 to 1, respectively, reversing earlier trends.

Ploughshares Fund's ability to tell our story, to proactively shape the media debate and to combat misinformation is due, in large part, to our coordinated efforts to link senior national security experts, military officers and other "validators" with media-savvy grantees like **ReThink Media**, **Mainstream Media Project** and **NSN**. These groups hammered out a clear, compelling narrative about the importance of nuclear reductions to America's national security and held frequent "war room" calls to frame talking points to help experts respond to new developments. They also pitched and placed articles in key state newspapers and secured media appearances for Ploughshares-supported experts on local radio and television stations.

Add to that one of Ploughshares Fund's greatest assets in driving media coverage – President Joe Cirincione – and you have a winning combination. Cirincione is one of the most sought-after commentators on the nuclear security agenda. With his hundreds of interviews, including appearances this past year on *The Colbert Report, Charlie Rose, The Rachel Maddow Show* and the *Newshour with Jim Lehrer,* Cirincione continues to bring the Ploughshares Fund message to millions of viewers in the U.S. and around the world.



Peter Ferenbach and Lynn Fahselt **ReThink Media**

Peter Ferenbach and Lynn Fahselt are the dynamic husband-wife duo behind ReThink Media. Working hand in hand with Ploughshares Fund, they designed and launched a new organization in 2007 to meet an urgent need - shape a powerful narrative and secure media placements to advance the nuclear security debate. "We cannot win without reaching and winning over a much wider audience to our cause," Fahselt notes. Says Ferenbach, "I'm proud to be working with Ploughshares Fund, playing a role in what I feel is the best coordinated, most on-message disarmament campaign I've ever seen."

To learn more, go to ploughshares.org.

Placing pro-New START op-eds in newspapers in key states – including Tennessee and Georgia – was a critical aspect of shaping the media debate about the treaty.

creating solutions

When Secretary of State Hillary Clinton summoned the best minds in and out of government to review U.S. policy with North Korea this past summer, three of the eight experts in the room – Joel Wit, Robert Carlin and Siegfried Hecker – were Ploughshares Fund grantees.

Similarly, as the Obama Administration revamped its Iran strategy following the tumultuous presidential elections, the **National Iranian American Council** offered analysis and advice to help officials understand the shifting dynamics and evolving domestic political situation in Iran.

Ploughshares Fund grantees are consistently sought out by the highest-level officials because of their unique, independent and nuanced analyses, informed by visits to the regions they study and trusted relationships with in-country contacts. They are the eyes and ears of the global community and their insights, rooted in on-theground realities, provide policymakers with authoritative perspectives and creative solutions to regional crises and long-standing conflicts.

How can the military strengthen its relationship with civil society in Afghanistan? Ask Lisa Schirch of the **3D Security Initiative**, who brought a group of civil society leaders from Afghanistan to Washington for a roundtable discussion with military officers to identify the tensions, trade-offs and opportunities for collaboration. And, in the months after Congress adopted legislation providing aid to Pakistan, the **Pugwash Conferences on Science and World Affairs** led a delegation of eight senior Pakistanis to meet with U.S. Administration officials, members of Congress and experts to communicate recommended "action points" aimed at improving U.S.-Pakistan relations. One concrete suggestion: the U.S. should stop using the phrase "AfPak" to refer to Afghanistan and Pakistan as a single conflict. The Obama Administration listened.

These and other Ploughshares Fund grantees such as the **International Crisis Group** (**ICG**) have perfected the ability to assess and respond to regional events as they unfold. As former Secretary of State Colin Powell said, "ICG tells power what it thinks and advocates with both passion and effectiveness. It is a continuous source of ideas and insights for governments."



Ambassador Jayantha Dhanapala Pugwash Conferences on Science and World Affairs

As a diplomat and former United Nations Under Secretary General for Disarmament Affairs, Ambassador Jayantha Dhanapala knows that the existence of regional conflicts is used as a justification for nuclear proliferation, preventing the achievement of a nuclear weapon-free world. Since he assumed leadership of the Pugwash Conferences on Science and World Affairs in 2007, the organization has continued its efforts to promote cooperation in South Asia and work toward a nuclear-free world. Says Dhanapala, "The prospect of achieving this task in my lifetime makes me immensely proud."

To learn more, go to ploughshares.org.



expanding the **movement**

>> Just before a critical vote on New START in the Senate Foreign Relations Committee, Senator Johnny Isakson, a key committee Republican, held a town hall meeting in his home state of Georgia. When a constituent asked the Senator to support the treaty, he replied, "I had no idea that this many people in Georgia cared about nuclear weapons."

That's because everywhere he turned – in Georgia newspapers, at district events and in his offices – constituents were dialing up the pressure. **Georgia Women's Action for New Directions**, in concert with other local groups, generated more than 800 constituent calls and 1,700 letters to Senator Isakson's office, and helped place twelve pro-New START op-eds and secure an editorial endorsement in the *Savannah Morning News*.

In Tennessee, Senator Bob Corker, another committee Republican, was also feeling the heat. Reverend Tyler Wigg-Stevenson of the Nashville-based **Two Futures Project** helped organize 75 ministers to write a private letter to the Senator urging him to support New START. A Tennessee coalition – including **Citizens for Global Solutions** and **Physicians for Social Responsibility** – placed 1,300 constituent calls into Senator Corker's office.

But the activities in Georgia and Tennessee weren't isolated incidents. Across the country, thousands of citizens mobilized for a new direction in U.S. nuclear policy. They were inspired by a powerful new documentary, *Countdown to Zero*, released in theaters throughout the summer. The film was co-produced by grantee **Global Zero** and features Ploughshares Fund President Joe Cirincione.

Called "a politically urgent picture" by *Variety* magazine, *Countdown to Zero* highlights the nuclear threat in chilling detail and offers a powerful solution: zero nuclear weapons. In more than 25 cities, Ploughshares Fund provided support to local partners to organize screenings, host educational panels, conduct media interviews and build the capacity of citizen organizations to act for a safer world.

The effort paid off. Not only did both Senators Isakson and Corker vote for New START in the Senate Foreign Relations Committee, but the persistent efforts of more than 50 organizations and tens of thousands of citizens helped Senators understand that the American people want to reduce the nuclear threat.



Vanessa Pierce HEAL Utah

Early in her career at HEAL Utah, Executive Director Vanessa Pierce attended a hearing on "downwinders" where she heard heart-wrenching testimony from people suffering with illnesses caused by radioactive fallout from nuclear testing. "It helped me realize what a devastating legacy the nuclear testing era had on Utahns," Pierce says. To ensure that nuclear weapons are never tested again, HEAL Utah helped secure unanimous passage of a Utah House of Representatives resolution urging the U.S. Senate to promptly ratify the Comprehensive Test Ban Treaty. To learn more, go to ploughshares.org.

MINC

IIII TO ZERO

Countdown to Zero, a stunning documentary from the producers of *An Inconvenient Truth*, educated tens of thousands of Americans about the nuclear threat.

WARNING

COUNTDOWN TO ZERØ

EXT "ZERO" TO 77177 GO TO TAKEPART.COM/ZERO

grants list 2009-2010

ALLIANCE FOR NUCLEAR ACCOUNTABILITY

SANTA FE, NM

To support a full-time Washington, DC representative, and for coordination of "DC Days," a grassroots lobbying effort to reduce U.S. nuclear weapons levels and to prevent new nuclear weapons infrastructure funding and construction. **\$60,000**

AMERICAN PHYSICAL SOCIETY WASHINGTON, DC

To support education of key Senators and their staff on the technical merits of the CTBT. **\$12,000**

AMERICAN SECURITY PROJECT WASHINGTON, DC

For the launch of a comprehensive Nuclear Security Initiative, including the Consensus for American Security, a network of influential military and national security leaders who support nuclear weapons reductions. **\$150,000**

ARMS CONTROL ASSOCIATION WASHINGTON, DC

For the publication of policy analyses, persistent media outreach and leadership, and coordination of organizations working to reduce the role and numbers of nuclear weapons and promote U.S. ratification of New START and the CTBT. **\$275,000**

ASHOKA: INNOVATORS FOR THE PUBLIC ARLINGTON, VA

To support two Ashoka fellows in Pakistan providing youth employment in Baluchistan, and Pashto educational and cultural opportunities along the Afghan-Pakistan border. **\$70,000**

ATLANTIC COUNCIL OF THE UNITED STATES WASHINGTON, DC

For a task force and series of working group meetings to develop a cadre of elite validators to encourage improved U.S.-Iran relations. **\$75,000**

BLUE VEINS

PESHAWAR, PAKISTAN For a locally-based program along the Afghan-Pakistan border that provides peacebuilding training to religious leaders. **\$9,500**

BRITISH AMERICAN SECURITY INFORMATION COUNCIL (BASIC) LONDON, UK



BASIC offers a unique and important British perspective to support the vision of a world without

nuclear weapons. The U.S.-UK "special relationship," and Britain's status as one of the original nuclear weapons states, makes the country's position on nuclear weapons policy highly influential. With Ploughshares Fund support, BASIC leverages its relationships with high-level British policymakers and opinion leaders to forge a new nuclear security policy that reduces the roles and numbers of weapons in UK defense posture. In particular, BASIC advocates a diminished role – if not outright retirement – of the UK Trident nuclear missile submarine program. To highlight this agenda in London, Ploughshares Fund and BASIC hosted a special event in February that attracted influential policymakers (including former Member of Parliament Des Browne, pictured with Secretary Robert Gates), artists, social entrepreneurs and activists aimed at building a strong and vocal constituency to promote British leadership toward nuclear weapons elimination. \$180,000

BROOKINGS INSTITUTION

WASHINGTON, DC

To support the Arms Control Initiative, particularly the development and dissemination of analyses and policy recommendations on the range of issues central to U.S.-Russian arms control. **\$150,000**

BULLETIN OF THE ATOMIC SCIENTISTS CHICAGO, IL

For editorial coverage of nuclear weapons, disarmament and nuclear security issues, and expanded distribution through a partnership with a commercial publishing firm. **\$100,000**

BUTCHER, MARTIN

HERTFORDSHIRE, UK

For advocacy efforts and policymaker education on NATO nuclear weapons policy aimed at reducing tactical nuclear weapons in Europe. **\$5,250**

CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE WASHINGTON, DC

To support policy analysis and outreach on the global nonproliferation implications of U.S. ratification of the CTBT and to reformulate extended deterrence in Eastern Europe and Northeast Asia. **\$50,000**

CENTER FOR AMERICAN PROGRESS WASHINGTON, DC

To support a new full-time blogger who regularly publishes posts on the nuclear security agenda, and to participate in a coordinated media rapid response effort. **\$100,509**

CENTER FOR AMERICAN PROGRESS WASHINGTON, DC

For promotion of advance screenings of *Countdown to Zero* on college campuses in Spring 2010. **\$5,000**

CENTER FOR INTERNATIONAL SECURITY AND COOPERATION

STANFORD, CA

For the North Korea Policy Group that engages in Track Two diplomacy and policy advocacy in Washington, DC, aimed at containing the DPRK's nuclear program and promoting stability in the region. **\$100,000**

Ploughshares Fund board member David Holloway is affiliated with this organization. Please see Conflict of Interest Policy, page 29.

CENTER FOR INTERNATIONAL SECURITY AND COOPERATION

STANFORD, CA

To support a comprehensive analysis conducted by Dr. Sigfried Hecker on the costs and benefits of U.S. ratification of the CTBT, and for Dr. Hecker's activities to promote the report's conclusions. **\$105,000**

CENTER FOR JUSTICE AND PEACEBUILDING

HARRISONBURG, VA

For advocacy and analysis to educate the military and elected officials on effective U.S. conflict prevention and post-conflict reconstruction policies in Afghanistan and Pakistan. **\$70,000**

CENTER FOR MEDIA AND SECURITY, LTD. MILLWOOD, NY

For the Defense Writers Group series that connects journalists with high-level policymakers working on the nuclear security agenda. **\$52,000**

CENTER FOR POLICY STUDIES IN RUSSIA

MOSCOW, RUSSIA

To support efforts to improve the U.S.-Russian bilateral strategic relationship through a joint expert policy oversight group. **\$130,000**

CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES WASHINGTON, DC

To help U.S. and international policymakers better understand sub-national governance challenges contributing to insecurity in Pakistan and Afghanistan. **\$75,000**

COALITION FOR PEACE ACTION PRINCETON, NJ

For grassroots education and mobilization to engage with and encourage Senator Casey's leadership on nuclear security policy. **\$50,000**

CONNECT U.S. FUND WASHINGTON, DC

To advance a vision for responsible U.S. global engagement in an increasingly interdependent world, and for a special grant for media support of the NGO nuclear security summit "Next Generation Nuclear Security: Meeting the Global Challenge" in Washington, DC. Ploughshares Fund serves on the Connect U.S. Council. **\$115,000**

COUNCIL FOR A LIVABLE WORLD WASHINGTON, DC

To lead and coordinate an expanded congressional campaign to build strong bipartisan support for New START. **\$100,000**

COUNCIL FOR A LIVABLE WORLD WASHINGTON, DC

To support a special project to conduct in-depth research on at least four persuadable Senators to identify peers and confidants who could convince them to support New START. **\$25,000**

COUNCIL ON FOREIGN RELATIONS WASHINGTON, DC

For a special report on key issues in negotiating the next round of nuclear reductions between the U.S. and Russia. **\$48,814**

CRISIS GROUP

BRUSSELS, BELGIUM



Crisis Group, led by Louise Arbour (pictured with children), manages a worldwide network

of talented analysts and conflict resolution practitioners who provide timely reporting on on-the-ground developments in conflict zones and offer concrete suggestions for alleviating local problems. Its work in challenging and dangerous regions in Pakistan and Afghanistan has become a valuable source of information and policy advice to colleague organizations, the media and governments as they work to mitigate conflict. With Ploughshares Fund support, Crisis Group hosts roundtable discussions, places op-eds, and holds private meetings with U.S. policymakers, world leaders and United Nations officials to encourage decision makers to adopt Crisis Group's recommendations to resolve crises in South Asia. It was the first Edie B. Wilkie grant, made in honor of our former board member, to promote conflict prevention and peacebuilding efforts. \$75,000

FEDERATION OF AMERICAN SCIENTISTS WASHINGTON, DC

For the publication of scientific and technical analyses to inform the Nuclear Posture Review and to educate Congress and the media about practical, phased plans toward nuclear elimination. **\$225,000**

FOUNDATION FOR A CIVIL SOCIETY NEW YORK, NY

To support media and policymaker outreach on U.S.-Iran relations in the aftermath of the June 12th presidential elections in Iran. **\$15,000**

grants list 2009-2010

FRIENDS COMMITTEE ON NATIONAL LEGISLATION

WASHINGTON, DC To continue both a Washington, DC and field-based campaign to build support for ratification of New START and the CTBT by deploying organizers in key states, **\$100.000**

GEORGIA WAND

ATLANTA, GA

To support New START organizing in Georgia, a key ratification state. **\$30,000**

GLOBAL SECURITY INSTITUTE NEW YORK, NY

For the Middle Powers Initiative and its Article VI Forum that convenes international diplomats to create ideas and build momentum for global nuclear weapons reductions in the lead-up to the NPT Review Conference. **\$50,000**

HEAL UTAH

SALT LAKE CITY, UT

To develop a durable and diverse constituency in Utah to educate and press Senators Bennett and Hatch to support New START and the CTBT. **\$60,000**

HERBERT SCOVILLE JR. PEACE FELLOWSHIP

WASHINGTON, DC To help place four full-time fellows with leading Washington, DC-based organizations working on international security. **\$60,000**

INSTITUTE FOR POLICY STUDIES WASHINGTON, DC

For an in-depth analysis to examine increasing U.S. warhead dismantlement rates as a way to facilitate deeper nuclear arms reductions. **\$50,000**

INSTITUTE FOR SCIENCE AND INTERNATIONAL SECURITY

WASHINGTON, DC To support technical assessments that increase transparency of nuclear activities worldwide, particularly in states of proliferation concern. **\$100,000**

INSTITUTE FOR SECURITY STUDIES

PRETORIA, SOUTH AFRICA For a training program to prepare African diplomats for the NPT Review Conference. **\$15,000**

INTERNATIONAL INSTITUTE FOR STRATEGIC STUDIES

LONDON, UK

To support a joint NATO-Russia project aimed at identifying areas of technical and policy cooperation on missile defense. **\$60,000**

INTERNEWS

ARCATA, CA

To provide training and equipment for local journalists in support of rapid response reporting in the conflict zone along the Afghan-Pakistan border. **\$50,000**

VENANCE JOURNÉ PARIS, FRANCE

ANIS, FNAINCE

To support production and targeted distribution of the French translation of the 2006 WMD Commission report. **\$2,000**

JUSTICE THROUGH MUSIC

BETHESDA, MD To publicize and promote *Countdown to Zero* in the Washington, DC area. **\$5,000**

KATHERINE MAGRAW

CHARLOTTESVILLE, VA

For the Peace and Security Funders Group, a forum to increase communication and cooperation among foundations making grants in the peace and security field and to encourage new funders to participate. Supported by grants from participating foundations, Ploughshares Fund provides fiscal and administrative oversight of the Group. **\$135,168**

MAINSTREAM MEDIA PROJECT ARCATA, CA

To expand MMP's reach into moderate and conservative audiences, promote credible validators to targeted radio outlets and take advantage of important news hooks on the nuclear security agenda. **\$65,000**

MAINSTREAM MEDIA PROJECT

ARCATA, CA For promotion of screenings of *Countdown to Zero* in target cities. **\$10,000**

MASSACHUSETTS INSTITUTE OF TECHNOLOGY/DEFENSE AND ARMS CONTROL STUDIES PROGRAM CAMBRIDGE, MA

For technical analysis and policymaker outreach on the obstacles that U.S. missile defense plans pose to a new round of U.S.-Russia negotiations on nuclear arms reductions. **\$75,000**

Ploughshares Fund board member David Holloway is affiliated with this organization. Please see Conflict of Interest Policy, page 29.

MONTEREY INSTITUTE OF INTERNATIONAL STUDIES MONTEREY, CA



This year's Nonproliferation Treaty Review Conference ended with a strong international consensus and agree-

ment on a set of actions to strengthen both the nonproliferation and disarmament elements of the treaty. Going into the Review Conference, however, this positive outcome was far from certain. The Monterey Institute, including Dr. William Potter (pictured), worked tirelessly behind the scenes with international diplomats to lay the groundwork for agreement on issues that might otherwise have undermined the conference. In particular, it floated options and helped build consensus for a process to address the thorny issue of a Middle East Nuclear Weapon-Free Zone. \$150,000

MONTEREY INSTITUTE OF INTERNATIONAL STUDIES

MONTEREY, CA To support a workshop in New York for African delegates to the NPT Review Conference. **\$9,983**

NATIONAL COMMITTEE ON AMERICAN FOREIGN POLICY

NEW YORK, NY To support a delegation from North Korea to participate in meetings with U.S. policymakers and nongovernmental experts. **\$7,500**

NATIONAL COMMITTEE ON NORTH KOREA

WASHINGTON, DC

To support the activities of a diverse network of regional experts, including policy advocacy with the U.S. government, to encourage engagement and diplomacy with North Korea. **\$60,000**

Ploughshares Fund board member Philip Yun is affiliated with this organization. Please see Conflict of Interest Policy, page 29.

NATIONAL PUBLIC RADIO

WASHINGTON, DC

To support increased coverage of U.S. nuclear policy issues and the proliferation risks from North Korea and Iran. **\$150,000**

NATIONAL SECURITY NETWORK WASHINGTON, DC

For media outreach on behalf of a coalition of nongovernmental organizations seeking nuclear arms reductions. NSN provides strategic messaging, rapid response and coordination of high-level validators who support the agenda. **\$103,000**

NATIONAL SECURITY NETWORK WASHINGTON, DC

To educate members of Congress and their staff about Afghanistan through a series of briefings and media. **\$3,500**

NATURAL RESOURCES DEFENSE COUNCIL

NEW YORK, NY

To support a U.S.-Russia joint nuclear test verification project as a confidencebuilding mechanism in support of U.S. ratification of the CTBT. **\$75,000**

Ploughshares Fund board member Patricia Sullivan is the deputy director of this organization. Please see Conflict of Interest Policy, page 29.

NAUTILUS INSTITUTE FOR SECURITY AND SUSTAINABLE DEVELOPMENT

SAN FRANCISCO, CA

To support efforts toward a Japan-South Korea bilateral Nuclear Weapon-Free Zone under the NPT. **\$80,000**

Ploughshares Fund board member Philip Yun is affiliated with this organization. Please see Conflict of Interest Policy, page 29.

NETWORK 20/20

NEW YORK, NY

To explore the creation of an independent network of Pakistani organizations monitoring effective implementation of foreign aid. **\$9,500**

NEW AMERICA FOUNDATION WASHINGTON, DC

To support analysis and media outreach on the Iranian and North Korean nuclear programs through the *Arms Control Wonk* blog. **\$50,000**

NEW AMERICA FOUNDATION WASHINGTON, DC

To support Tony Namkung's efforts to facilitate and inform U.S. diplomacy with North Korea through Track Two activities and independent policy analysis. **\$50,000**

NEW AMERICA FOUNDATION - ARMS AND SECURITY INITIATIVE NEW YORK, NY

For Bill Hartung's investigative reporting and media outreach on the hidden costs of nuclear programs. **\$50,000**

NEW MEXICO COMMUNITY FOUNDATION SANTA FE, NM

To support a broad-based collaborative of local groups that promote informed opposition to dangerous nuclear weapons programs in New Mexico. Key audiences are the New Mexico congressional delegation and the media. **\$80,000**

NIXON CENTER

WASHINGTON, DC

To support a task force and series of strategy-building meetings aimed at producing policy options to respond to the possibility that Iran achieves or declares a nuclear weapons capability. **\$25,000**

JANNE NOLAN

WASHINGTON, DC

To identify and cultivate an expert working group to promote a broad, bipartisan, centrist coalition of officials and experts who can mobilize support for ratification of New START. **\$90,000**

NORTH SUBURBAN PEACE INITIATIVE WILMETTE, IL

To support efforts to promote *Countdown* to Zero. **\$5,000**

NUCLEAR AGE PEACE FOUNDATION

SANTA BARBARA, CA To support efforts to promote *Countdown* to Zero. **\$5.000**

NUCLEAR WATCH OF NEW MEXICO SANTA FE, NM

To support analysis, policymaker outreach and public education aimed at transforming the U.S. nuclear posture and reducing the production of U.S. weapons components and materials. **\$55,000**

PARTNERSHIP FOR A SECURE AMERICA WASHINGTON, DC

For a program of outreach to conservative audiences that promotes New START and other nuclear security policy priorities. **\$75,000**

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grants list 2009-2010

PARTNERSHIP FOR A SECURE AMERICA WASHINGTON, DC

To support an op-ed media campaign in support of a follow-on treaty to the 1991 START agreement during the U.S.-Russia summit meeting in July 2009. **\$7,000**

PEACE ACTION

WASHINGTON, DC To support efforts to promote *Countdown* to Zero. **\$25.000**

PEACE ACTION EDUCATION FUND SILVER SPRING, MD

To support the nuclear security agenda through coordination of the National Security Working Group, a monthly convening of nuclear security organizations and members of the Congressional Progressive Caucus. **\$50,000**

PEACE ACTION WEST

OAKLAND, CA

To support advocacy on a range of nuclear policies through focused activities in key western states and districts. **\$50,000**

PHYSICIANS FOR SOCIAL RESPONSIBILITY WASHINGTON, DC

To support a national network of statebased field organizers mobilizing health professionals in support of ratification of New START. **\$125,000**

PHYSICIANS FOR SOCIAL RESPONSIBILITY

WASHINGTON, DC To support efforts to promote *Countdown* to Zero. **\$25,000**

PHYSICIANS FOR SOCIAL RSEPONSIBILITY

KANSAS CITY, KS To support local education and organizing opposing plans to build a new nuclear weapons component plant in Kansas City. **\$15,000**

PROJECT FOR NUCLEAR AWARENESS

PHILADELPHIA, PA To support efforts to promote *Countdown* to Zero. **\$5,000**

PROJECT ON GOVERNMENT OVERSIGHT WASHINGTON, DC

For efforts to engage the media and policymakers aimed at reducing the production of U.S. weapons components and materials. **\$60,000**

PUBLIC INTERNATIONAL LAW AND POLICY GROUP

WASHINGTON, DC To support pursuit of negotiations between India and Pakistan aimed at reducing conflict stemming from disputes over

water resources. \$55,314

PUBLIC RADIO INTERNATIONAL

MINNEAPOLIS, MN



Public Radio International's flagship program, *The World*, produces some of the most

grounded and timely coverage of international politics, global security and regional conflicts. The daily news and commentary hour is currently broadcast on more than 275 public radio stations across the country and downloaded by millions of podcast listeners. What sets *The World* apart from other programs is its emphasis on indigenous reporting, which elevates local voices from key hot spots and provides U.S. listeners with nuanced coverage of world events. Support from Ploughshares Fund enables The World's team, including anchor and senior producer Marco Werman (pictured), to provide intensive coverage of Pakistan and Afghanistan and of the nuclear programs of Iran and North Korea. \$100,000

PUGWASH CONFERENCES ON SCIENCE AND WORLD AFFAIRS

WASHINGTON, DC

To support costs of a European delegation to Washington, DC to meet with colleague policymakers on issues of nuclear security. **\$15,000**

PUGWASH CONFERENCES ON SCIENCE AND WORLD AFFAIRS

WASHINGTON, DC

For dialogues between policy figures, experts, scientists and others in South Asia to discuss U.S.-Pakistan relations, economic diplomacy in Kashmir and other confidence-building measures. **\$100,000**

PUGWASH CONFERENCES ON SCIENCE AND WORLD AFFAIRS WASHINGTON, DC

To support Ambassador Jayantha Dhanapala's global efforts to promote policy ideas and norms in support of nuclear weapons elimination. **\$40,000**

PUGWASH CONFERENCES ON SCIENCE AND WORLD AFFAIRS WASHINGTON, DC

To support travel and meeting expenses for a conference in the Middle East on Iran's nuclear program. **\$9,500**

RETHINK MEDIA

BERKELEY, CA

To support state-of-the art media tools, communications strategies, media training for partner organizations and the development of media narratives that support nuclear weapons reductions. **\$104,394**

PETER SCOBLIC

WASHINGTON, DC

To support publication and promotion of a feature article in *The New Republic* that challenges assumptions behind nuclear deterrence posture. **\$9,890**

SOCIAL SCIENCE RESEARCH COUNCIL

BROOKLYN, NY To support efforts to explore cooperative ways to end North Korea's nuclear weapons and missile programs and to defuse the armed confrontation on the Korean Peninsula. **\$50,000**

STIMSON CENTER

WASHINGTON, DC

For in-depth analysis and policy recommendations on how to practically implement deep cuts and the eventual elimination of nuclear weapons. **\$100,000**

MASAFUMI TAKUBO

TOKYO, JAPAN

To educate Japanese government officials and the media about nuclear weapons policy and to advocate for official statements that support significant reductions in the U.S. arsenal. **\$10,000**

TRI-VALLEY CARES

LIVERMORE, CA

To support efforts to conduct research, analysis, public education and advocacy to influence the U.S. nuclear weapons complex, budget and stockpile management plan. **\$60,000**

TRUMAN NATIONAL SECURITY PROJECT WASHINGTON, DC

To train a new generation of national security leaders in the Administration and on Capitol Hill to address the problems of nuclear proliferation in Iran, and nuclear tension in India and Pakistan. **\$75,000**

TWO FUTURES PROJECT

NASHVILLE, TN To cultivate leadership among influential faith leaders on nuclear disarmament. **\$120,000**

UNION OF CONCERNED SCIENTISTS CAMBRIDGE, MA

For technical analyses and the engagement of scientists to influence the outcome of the Nuclear Posture Review, and to support nuclear reductions and a ban on nuclear testing. **\$125,000**

UNION OF CONCERNED SCIENTISTS CAMBRIDGE, MA

To support the annual Peace and Security Legislative Strategy Retreat. **\$12,617**

UNIVERSITY OF CALIFORNIA AT SAN DIEGO

To support a North Korean delegation to attend the Northeast Asia Cooperation

attend the Northeast Asia Cooperation Dialogue, a periodic event that allows for unofficial communication between North Korean officials and other nations. **\$7,500**

GREGORY E. VAN DER VINK CHEVY CHASE, MD

To support congressional education and media efforts to promote U.S. ratification of the CTBT. **\$37,500**

VERTIC

LONDON, UK

For technical reports aimed at persuading U.S. officials of the ability to monitor and verify the CTBT. **\$37,782**

JOEL WIT

WASHINGTON, DC

For the publication and dissemination of a comprehensive policy analysis and recommendations to the U.S. government about how to engage with North Korea to end its nuclear program. **\$70,500**

WOMEN'S ACTION FOR NEW DIRECTIONS

ARLINGTON, MA

For educational programming to strengthen the capacity of state legislators, community leaders and activists to shape the public policy debate, and to engage policymakers on nuclear disarmament. **\$75,000**

Ploughshares Fund board member Edie Allen is a member of this organization's Board of Directors. Please see Conflict of Interest Policy, page 29.

WOMEN'S ACTION FOR NEW DIRECTIONS

ARLINGTON, MA

For the Women Legislators' Lobby, a bipartisan network of women state legislators advocating for nuclear reductions. **\$50,000**

Ploughshares Fund board member Edie Allen is a member of this organization's Board of Directors. Please see Conflict of Interest Policy, page 29.

HOUSTON WOOD

CHARLOTTESVILLE, VA

To support travel and participation in the Urenco Safeguards conference in London to examine ways to constrain trade of uranium enrichment technology. **\$2,848**

WORLD SECURITY INSTITUTE WASHINGTON, DC



In the short time since Global Zero launched a bold campaign to eliminate the world's nuclear

weapons, it has built an extensive cadre of high-level opinion shapers and catalyzed a movement of young leaders in support of its Global Zero Action Plan – a well thought-out, practical blueprint for getting to zero nuclear weapons. Through two large summits in Paris, direct engagement with world leaders and organizing around the documentary film, Countdown to Zero, produced by Lawrence Bender (pictured), more than 400,000 individuals have joined the movement. With statements of support from the UN Secretary General and Presidents Obama and Medvedev, Global Zero is building a potent and robust network that has the power to achieve the vision of zero. \$650,000

Ploughshares Fund President Joe Cirincione is a member of this organization. Please see Conflict of Interest Policy, page 29.

financial highlights

This year, Ploughshares Fund awarded \$6,505,050 to organizations and individuals to promote the elimination of nuclear weapons, prevent the emergence of new nuclear states, and prevent conflict and build security, particularly in regions where nuclear weapons are a factor.

An additional \$624,947 was spent on Ploughshares Fund's own initiatives including strategic convening of grantees; a series of "insider" off-the-record dinners between administration officials, congressional staff and nongovernmental experts; public events to raise the profile of the nuclear security agenda; and a communications effort to identify and promote validators in the media. Total investments in efforts to build a safe, secure, nuclear weapon-free world were \$8,163,038, a reflection of the Board of Directors' determination that Ploughshares Fund should continue to expand its institutional capacity and grantmaking in order to capitalize on this historic and strategic moment to make progress toward a world free of nuclear weapons.

Ploughshares Fund exceeded its contributed income budgeted goal for annual support in 2010. Notably, the Skoll Global Threats Fund awarded one of its first grants to Ploughshares Fund, \$1 million over two years, establishing a strong partnership between the two funds. All annual contributions go directly to the programs we fund, with nothing subtracted for administration or fundraising. These operational expenses are paid for by the Fund's Board of Directors and a draw from our capital reserves (permanent and board-designated endowment funds).

Ploughshares Fund is a resourceful, cost-effective organization that works to keep operational and fundraising expenses low in order to allocate as much money as possible to programs aimed at building a safer, more peaceful world. This year we spent 87% of our budget on grantmaking and program services, exceeding standards set by the National Charities Information Bureau/Better Business Bureau and Charity Navigator, which awarded Ploughshares Fund a 4-star rating for excelling in financial management and effectiveness – its highest rating.

Of the \$34,305,586 in total net assets reported at the end of the year, \$33,078,390 is held in our capital reserves, which were established to provide stable and predictable funds for annual and long-term grantmaking and operations.

4% 9% Administrative costs

sing

87% Grants and program services

financial **report**¹

SUPPORT AND REVENUE	2010	2009	ASSETS AND LIABILITIES	2010	2009
Contributions	3,993,562	9,740,727²	For fiscal year ended June 30, 2010		
Interest and short term investment return	1,169	9,695	Assets ⁴	700 400	1 005 005
Investment return	3,427,410	-4,937,301	Cash and cash equivalents	796,480	1,695,935
Changes in value of split-interest			Promises to give	1,734,746	2,149,832
agreements	12,787	7,296	Interest receivable and other assets	20,707	46,750
TOTAL SUPPORT AND REVENUE	7,434,928	4,820,417	Long term investments	33,664,169	33,686,941
EXPENSES			Fixed assets (less accumulated depreciation and amortization)	45,461	54,265
Program Services			TOTAL ASSETS	36,261,563	37,633,723
Grants from Ploughshares Fund	6,329,736	5,021,542			
Grants from Cowles Fund	175,314	215,109	LIABILITIES AND NET ASSETS		
Special Projects	624,947	72,900	Liabilities		
Program support	1,033,041	985,300	Accounts payable and accrued expenses	111,970	68,741
Supporting Services ³			Grants payable	1,786,628	1,260,803
General administration	387,840 501,904	Deferred revenue	57,379	70,166	
	812,477	678,150	TOTAL LIABILITIES	1,955,977	1,399,710
Development	012,477	070,150		,,.	, , .
TOTAL EXPENSES	9,363,355	7,474,905	NET ASSETS		
CHANGE IN NET ASSETS	-1,928,427	-2,654,488	Unrestricted	9,356,177	11,320,605
	26 224 012	38,888,501	Temporarily restricted	20,008,106	20,015,090
NET ASSETS, BEGINNING OF YEAR	36,234,013	38,888,501	Permanently restricted	4,941,303	4,898,318
NET ASSETS, END OF YEAR	34,305,586	36,234,013	TOTAL NET ASSETS ⁵	34,305,586	36,234,013
			TOTAL NET LIABILITIES AND NET ASSETS	36,261,563	37,633,723

1 A completed audited financial report is available upon request.

2 Includes a one-time \$5 million bequest from the Cow Hollow Foundation.

3 All administrative and fundraising expenses are covered by contributions from Ploughshares Fund's Board of Directors, an annual draw from the capital reservers and allocations from a few foundations.

4 These assets include investments in Ploughshares' Pooled Income Fund.

5 Net assets include \$1.738 million in pledges, \$949,626 of which is included in Ploughshares Fund's capital reserves.

ploughshares council

Members of the Ploughshares Council provide leadership and sustained support for Ploughshares Fund through their annual gifts of \$1,000 or more.

COUNCIL AMBASSADORS

Gifts of \$100,000 or more

Edie Allen Brico Fund, LLC Michael K. Douglas Bob and Mary Lloyd Estrin The William and Flora Hewlett Foundation The Schooner Foundation Skoll Global Threats Fund Margaret and Angus Wurtele Family Foundation Anonymous

Gifts of \$25,000 - \$99,999

Frances and Benjamin Benenson Foundation craigslist Charitable Fund Working Assets/CREDO Grantmaking Fund of Tides Foundation John C. and Chara C. Haas Charitable Trust Roger Hale and Nor Hall John and Susan Hess The James Family Foundation New-Land Foundation, Inc. Susan and Bill Oberndorf Sarah and Richard Pritzlaff Cynthia Ryan Lucinda Watson Westly Foundation Anonymous (3)

Gifts of \$10,000 - \$24,999

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Lucinda Watson Greenwich, CT



As a healer and communications expert, Lucinda Watson has dedicated herself to achieving peace on a global scale. Lucinda was the 2010 chair of Julia's Circle, the driving force behind our Mother's Day for Peace campaign.

"I support Ploughshares Fund because I think it is doing the most important work in this field as of this moment. I am passionate about arms control as I know unless we address and solve this issue we will not be living in a safe world. I want to see a world where my children and my future grandchildren do not have to worry about the threat of nuclear weapons and all nations agree on a policy of nuclear arms control."

Joan and William Matson Roth Margaret E. Saunders Gail Seneca Suzanne and Theodore R. Seton Clara B. Shoemaker Stanley and Sydney Shuman Margaret R. Spanel Barry and Marjorie Traub Brooks Walker III Alba Witkin Peggy and Lee Zeigler Anonymous (2)

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Gifts of \$5,000 - \$9,999

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COUNCIL DIPLOMATS

Gifts of \$1,000 - \$4,999 Corinne Abel Pat and Ronald D. Adler Sandra and Ethan Alyea Connirae & Steve Andreas Alan Appleford Reza Aslan Julia G. Axtell Elizabeth Balderston Gustavo Bamberger Richard C. Barker Francis Beidler III Douglas J. Bender William and Rita Bender Nancy Bernstein and Robert Schoen Murray L. Berrie Dr. Robert W. Birge Jonathan Bishop Elspeth G. Bobbs Amy and Joshua Boger Charles Brainard John D. Brennan and Stephanie McKown Leslie and Walter Burlock Susan Okie Bush Sheana Butler Leo J. and Celia Carlin Fund Ann Carter Elizabeth Case Barbara and Leibe Cavalieri Samuel and Janine Chapin Dr. Nirupa Chaudhari and Dr. Stephen Roper Michele Chavez-Pardini Dorothy D. Ciarlo Joseph Cirincione Louise Harvey Clark Doloris Cogan

Wayne Glass Pacific Palisades, CA



Dr. Wayne Glass is not only a supporter of Ploughshares Fund, but also an expert in the field. A lecturer at the School of International Relations at the University of Southern California and a former staff member in the United States Senate, he has great expertise in nuclear weapons policies, nonproliferation and international arms control agreements.

"The Ploughshares Fund reaches more broadly and deeply – both at the grassroots level and in the nation's capitol – than any other peace organization I know. From my own experience in Washington, I believe that activism both inside and outside the beltway is the key to affecting political change for peace. No one knows nuclear weapons issues and our political system better than Joe Cirincione. His leadership, experience and vision ensure that resources made available to Ploughshares will find their most effective application across the nation. I am proud of Ploughshares' accomplishments, I believe in its vision, and I am confident of its future contributions for peace." >>

ploughshares council

Laurie Cohen Fund of the San Francisco Foundation Doris and Howard Conant William and Barbara Cowan Patsv Cravens Constance Crawford Robert and Loni Dantzler **Diao Family Foundation** Cindy and Jeff Dohse Reverend James K. Donnell Catherine Douglass **Christine Doyle** Joan and Graham Driscoll Gloria Duffy Nathan M. Dunfield George and Kathy Edwards Peter and Charlotte Ehrenhaft Dr. Anne Ehrlich Joan and Peter Eilbott William Elkins Lisa Esherick Fund of the East **Bay Community Foundation** Carol and John Field Veronica Fields First Church of Round Hill Barbara Forster and Larry Hendrickson

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Laurie and Bill Benenson **Pacific Palisades, CA**



Committed environmentalists and a dynamic creative team, Laurie and Bill Benenson see the "big picture." Bill, a film producer, and Laurie, a writer, created the documentary *Dirt – The Movie*, a 2009 Sundance documentary selection, now distributed by Independent Lens.

"As ardent supporters of environmental causes for many years, we had the realization that a world free of nuclear weapons might be the most important environmental issue of all. Nuclear war would, after all, be the ultimate environmental, as well as human, catastrophe. We were impressed and heartened when we learned about Ploughshares' proactive strategy for lessening the threat of nuclear proliferation, by engaging both the world nuclear powers and small, influential stakeholders whose agility allows them to make an end run around the more intractable bureaucratic entities. We're very happy to be supporters of such an innovative and effective organization."

Penelope More Claire and Lawrence Morse Mary Munter Sara Nerken Jim Newman Thomas B. Newman, M.D., MPH Frances C. Nyce Obermayer Foundation, Inc. Virginia and Herbert Oedel Mary and Benjamin Page Helen and Blair Pascoe Bruce and Vicki Pate Elizabeth C. Peters Helen and Joseph Pickering Lyle Poncher Robert and Marcia Popper Nancy R. Posel **Olive Higgins Prouty** Foundation, Inc. Elizabeth Puro Andrea and Alan Babinowitz Brenda Richardson Marian F. & Horace Y. **Rogers Foundation**

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PEACE AND SECURITY **FUNDERS GROUP** The Arca Foundation Berghof Foundation Carnegie Corporation of New York The Charitable Foundation via Velocity Concepts Party Ltd Chino Cienega Foundation **Compton Foundation Crosscurrents Foundation** Diana, Princess of Wales Memorial Fund The Ford Foundation Harry Frank Guggenheim Foundation The John D. and Catherine T. MacArthur Foundation Mr. Conrad Martin Peace Development Fund **Prospect Hill Foundation** Samuel Rubin Foundation Schooner Foundation The Simons Foundation Stokes Foundation

Irene Weigel Lincoln, MA



When Irene Weigel visited Hiroshima with her daughter, she came face to face with the horrific realities of nuclear weapons. Meeting Ploughshares Fund's dynamic and indefatigable founder Sally Lilienthal further seeded Irene's commitment to working for a nuclear weapon-free world.

"My work is the study and teaching of world faith traditions, with the goal of promoting intercultural understanding and mutual respect. I like to think that Ploughshares Fund and I are partners, connected by core values and sharing a vision of a peaceful world. Ploughshares' way is in the public sphere, using political and scientific avenues to abolishing nuclear weapons. Mine is the more personal arena, guiding toward understanding 'other' with less fear and defensiveness, and toward developing respect as a baseline response to the world. Ideally, our two journeys would end at the same place: a safer, peaceful world inhabited by less tribal, more 'one world citizens.' Without the hope that Ploughshares Fund offers, our world is a bleakly shadowed place. Ploughshares is the first gift check I write each year."

nuclear-free legacy society

Ploughshares Fund's Nuclear-Free Legacy Society honors individuals who have made a commitment to building a world free of nuclear weapons by including Ploughshares Fund in their estate plans. Ploughshares Fund acknowledges and thanks the following members for their vision and generosity:

Edie Allen Barbara and Bob Bachner Helene F. Belz I. Inka Benton David Bezanson Julia Bloomfield James B. Blume and Kathryn W. Frank Dr. Richard Bradus Mimi and Dick Brukenfeld Lew and Sheana Butler Marguerite Craig Patsy Cravens Martin Dreyfuss Joan and Peter Eilbott Bob and Mary Lloyd Estrin Veronica Fields Angela and Jeremy Foster Jean Fraser and Geoffrey R. Gordon-Creed Barbara S. Green Joe Gutstadt Roger Hale and Nor Hall Julie and Parker Hall Frances K. Harris David and Arlene Holloway Jacques F. Jacobson Wayne Jaquith Bud Johns Peter Kohnke Ann L. Krumboltz Herbert and Edythe Kurz Jane Langley Thomas C. Layton and Gyongy Laky Jeffrey R. Leifer Alastair Mactaggart Marjorie D. Main David and Sandra Matteson Carole L. Mendelsohn Mr. and Mrs. William R. Miller Lynda Palevsky Merrill and Charlotte Palmer Abraham and Camille Pollack Jean S. Prokopow Edward Rawson Robert A. Rubinstein and Sandra Lane Margaret E. Saunders Vickie Ann Sherwood and James G. Sherwood Trust **Rosalind Singer** Margaret R. Spanel Mary B. Strauss Patricia Sullivan Marilyn L. Thomas Brooks Walker III Rebecca Wood Watkin Edith B. Wilkie Philip Yun Anonymous

There are many ways to integrate gifts to Ploughshares Fund into your personal financial plans, such as including Ploughshares in your will, making an exceptional gift from your IRA or setting up an individual charitable trust. If you would like more information about estate planning, visit our special interactive website: www.PeaceGiving.org. We also invite you to speak with our planned giving advisor to discuss charitable giving opportunities, at no cost to you and in complete confidence. Please contact Samara Dun, Director of Donor Relations, at 415-775-2244 for more information.

Lew Butler, Chairman Emeritus, with Board Member Michael Douglas Global Green USA Millennium Awards, 2005



Lew Butler has committed his life to service. He directed the Peace Corps in Malaysia in the early 1960s and later became Assistant Secretary of the Department of Health, Education and Welfare during the Nixon Administration. In 1983 he founded California Tomorrow, an organization whose mission is to make California a successful multiracial, multicultural society. On his watch as Chairman of Ploughshares Fund's Board of Directors, the organization grew to become the largest grantmaking foundation dedicated exclusively to peace and security issues.

"In 1980 at a meeting organized by Sally Lilienthal to discuss urgent threats, I sat next to a stranger who was to became a great friend. He was Owen Chamberlain, Nobel Laureate in Physics from U.C. Berkeley. After about an hour he spoke quietly and said, 'There are a great many big problems in the world but only one can mean the end of civilization. That is nuclear weapons.' At that moment Ploughshares was born and its mission set. The danger of nuclear proliferation has grown but the chance of abolishing such weapons are profoundly better enhanced by the President's declaration in Prague. That is why Ploughshares will share in my estate, and I hope in many others."

ploughshares board of directors, advisors and staff

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CHAIRMAN EMERITUS Lewis H. Butler

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Haleh Hatami Program Officer

Catharine Kalin Director of Operations

Robert Leonard Government Affairs Representative

Benjamin Loehrke Research Associate

Megan Murphy Administrative Assistant

Tjiska Van Wyk Chief Development Officer

CONFLICT OF INTEREST POLICY

As a public foundation, dependent upon the contributions and involvement of the public, Ploughshares Fund has a special commitment to ethical behavior and transparency in our work. The board and staff of Ploughshares Fund are encouraged to play active roles in their communities, which may lead, from time to time, to potential conflicts of interest or the appearance of such. It is our policy to acknowledge such conflicts openly and appropriately. In cases where a grant is awarded and one or more of Ploughshares Fund's board members has abstained from voting on or discussing the proposed grant because of a conflict or the appearance thereof for example, he or she is a member of that organization's Board of Directors or is employed by the organization - such circumstances are clearly identified in the Annual Report.

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1808 Wedemeyer Street, Suite 200 The Presidio of San Francisco San Francisco, California 94129 415 775 2244

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www.ploughshares.org